

# *PT VAIN*

*(changes and results)*

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# Why is VAI*NT* important?

Temperatures  
evapotranspiration



Seasonal  
phenology



Extreme events

- ❖ Seasonal phenological cycle of summer/winter crops
- ❖ Biogeophysical/chemical processes
  
- ❖ Energy and water cycle
- ❖ Seasonal cycle of the albedo and water availability
  
- ❖ Increase in frequency
- ❖ Increase in the need for modelling



## Current version of COSMO model:

- uses the Jarvis-Stewart stomatal resistance approach with the BATS parametrization
- the “one-big leaf” approach
- the phenology cycle based on a 6-year climatology and follows the same sinusoidal fitted curve between its max and min values

## COSMO model

## Current version of COSMO model:

- neglects any influence or feedback on the environmental conditions  
(no connection to the biogeochemical cycle via photosynthesis, no plant growth, etc... )
- applies in Jarvis approach the functions which are independent of each other
- does not consider the influence of atmospheric CO<sub>2</sub> concentration
- applies highly simplified dependencies, for which the leaf photosynthesis and CO<sub>2</sub> uptake cannot be calculated





## SubTask1: Implementation of new photosynthesis/phenology scheme:

- a) The canopy photosynthesis and stomatal regulation module (**done**)
- b) The carbon allocation and plant growth module (**testing**)
- c) The heterotrophic respiration and litter/soil carbon module (**testing**)

## SubTask2: Validation of new photosynthesis/phenology scheme:

- a) Run *COSMO\_CLM\_v5.16* with and without updates (**done for SubTask 1a, in progress for Subtask 1b, 1c**);
- b) Run *COSMO\_v5.0.8* with and without updates (**in progress**);

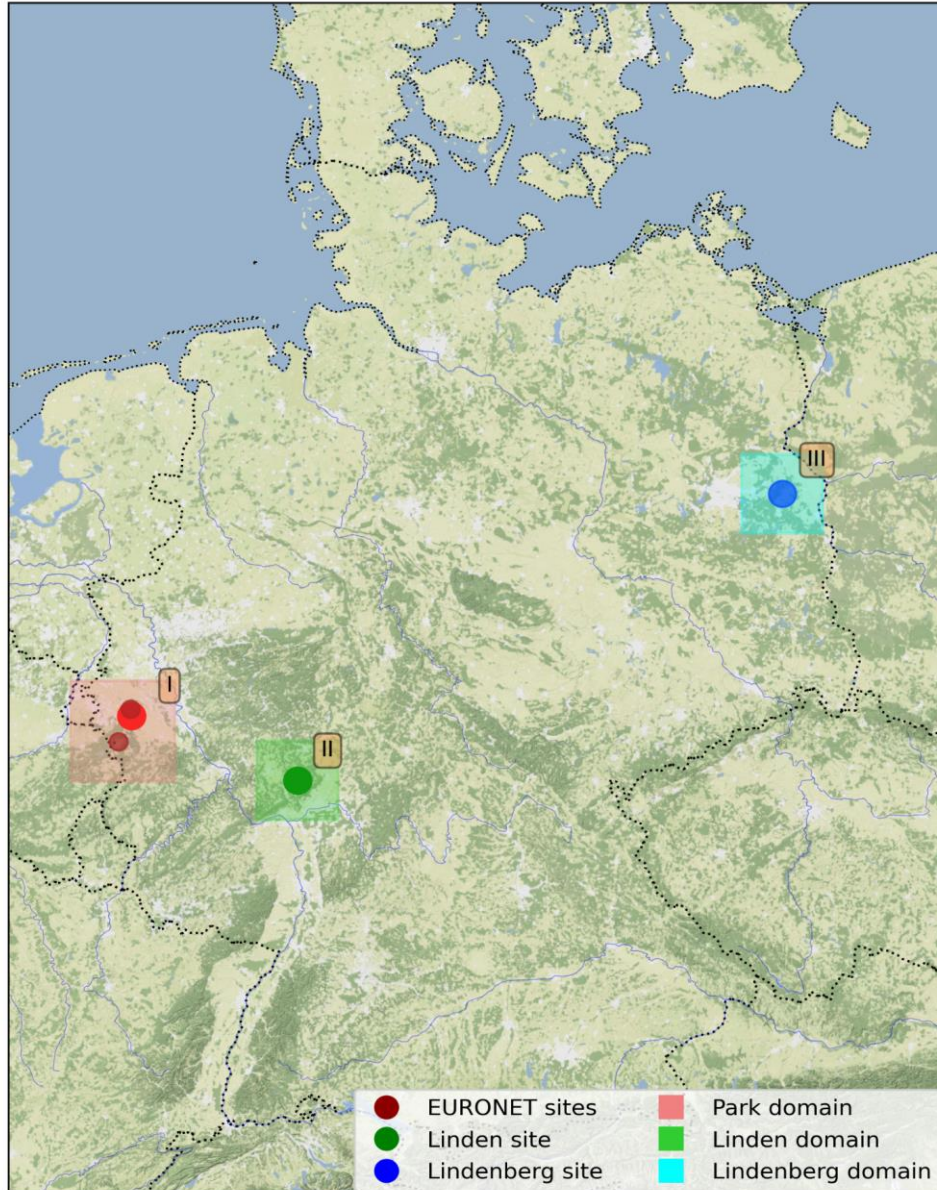
## SubTask3: Validation of implementation:

- a) Validation of the new implementations from the SubTask 1a (**done**);
- b) Validation of the new implementations from the SubTask 1b and 1c (**in progress**);

## SubTask4: Documentation:

- a) The first version of the documentation + block schemes for CLM 3.5 and COSMO-CLM (**done**);
- b) The first article (**in progress**)





### *COSMO-CLM parameters:*

- Time increment: 25 s
- Spatial resolution:  $0.0275^\circ \sim 3$  km
- Grid size:  $25 * 25$
- Numbers of vertical layers: 50
- Numbers of soil layers: 9

### **Verification parameters:**

- AEVAP, ALHFL<sub>PL</sub>, ALHFL<sub>S</sub>, ASHFL<sub>S</sub>, QV<sub>2M</sub>, QV<sub>S</sub>, T<sub>2m</sub>, T<sub>S</sub>, T<sub>max</sub>
- T<sub>min</sub>, PS, RELHUM<sub>2M</sub>, ZTRALEAV, ZVERBO, RSTOM

### **Data for comparisons:**

- ❑ HYRAS, E-OBS, GLEAM datasets (T<sub>2m</sub>, T<sub>S</sub>, T<sub>max</sub>, T<sub>min</sub>, AEVAP, ZVERBO)
- ❑ EURONET, FLUXNET web-projects
- ❑ Linden and Lindenberg sites information (requests)

**Experiments:****Differences between experiments:****Research period:**

➤ CCLMref  
*Terra-ML without changes*

The original code of COSMO-CLM based on v5.16 (stomatal resistance based on Jarvis approach, no leaf photosynthesis, one-big leaf approach)

from 1999 to 2017

➤ CCLMv3.5  
*Terra-ML + CLM 3.5*

The code of COSMO-CLM\_v5.16 with the new implementations (stomatal resistance, leaf photosynthesis, two-big leaf approach) based on **CLM 3.5 algorithms**

from 2010 to 2015

➤ CCLMv4.5  
*Terra-ML + CLM 4.5*

The code of COSMO-CLM\_v5.16 with the new implementations (stomatal resistance, leaf photosynthesis, two-big leaf approach) based on **CLM 4.5 algorithms**

from 2010 to 2015

➤ CCLMv4.5e  
*Terra-ML + CLM 4.5*  
*+ changes in Terra-ML*

The code of COSMO-CLM\_v5.16 with **the CCLMv4.5 implementations** + additional **changes for dry leaf calculations** (transpiration from dry leaves) based on CLM 4.5 algorithm

from 2010 to 2015

# Differences in approach



## Algorithm for “2-leaf” canopy (sunlit and shaded leaves)

- Sunlit ( $f_{sun}$ ) and shaded ( $f_{sha}$ ) fraction of canopy:

$$f_{sun} = 1 - \frac{e^{-KL}}{KL} \quad f_{sha} = 1 - f_{sun}$$

- Sunlit ( $L^{sun}$ ) and shaded ( $L^{sha}$ ) leaf area indices:

$$L^{sun} = f_{sun}L \quad L^{sha} = f_{sha}L$$

- Sunlit ( $SLA^{sun}$ ) and shaded ( $SLA^{sha}$ ) specific LAI:

$$SLA^{sun} = - \frac{cSLA_m KL + cSLA_m + cSLA_0 L - SLA_m - SLA_0 K}{K^2 L}$$

$$SLA^{sha} = \frac{L \left( SLA_0 + \frac{SLA_m L}{2} \right) - SLA^{sun} L^{sun}}{L^{sha}}$$

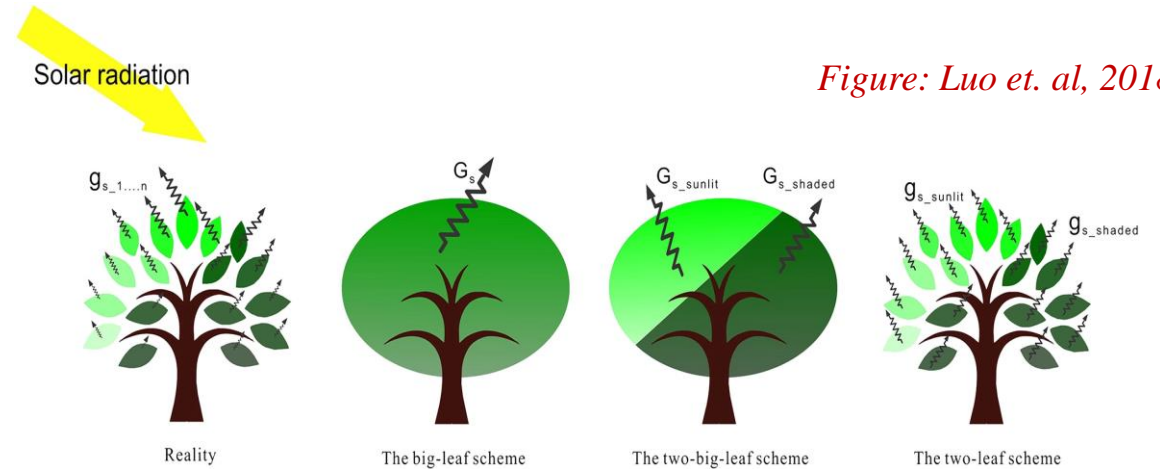


Figure: Luo et. al, 2018

where:  $e^{-KL}$  – the fractional area of sun flecks on a horizontal plane below the leaf area index –  $L$ ;

$K$  – the light extinction coefficient;

$SLA$  – the specific leaf area indices

$L, S$  – the leaf and stem area indices

$SLA_m$  – the linear slope coefficient

$SLA_0$  – the value for  $SLA$  at the top of the canopy



# Differences in approach

## Algorithm for photosynthesis (sunlit and shaded leaves)

$$A = A^{sun} L^{sun} + A^{sha} L^{sha}$$

$$A^{sun, sha} = \min(w_c, w_j, w_e)$$

where:  $A, A_{sun}, A_{sha}$  – the leaf photosynthesis for: canopy; sunlit and shaded leaves

$$w_c = \begin{cases} \frac{V_{cmax} (c_i - \Gamma_*)}{c_i + K_c (1 + \frac{O_i}{K_o})} * \\ V_{cmax} * \end{cases}$$

$V_{cmax}$  – the maximum rate of carboxylation

$K_c; K_o$  – the Michaelis–Menten constants for CO<sub>2</sub> and O<sub>2</sub>

$\Gamma_*$  – the CO<sub>2</sub> compensation point

$c_i$  – the internal leaf CO<sub>2</sub> partial pressure

$O_i$  – the O<sub>2</sub> partial pressure

$\alpha$  – the quantum efficiency coefficient

$\phi$  – the absorbed PAR

$$w_j = \begin{cases} \frac{(c_i - \Gamma_*) 4.6 \alpha \phi}{c_i + 2 \Gamma_*} * \\ 4.6 \alpha \phi * \end{cases}$$

$$w_e = \begin{cases} 0.5 V_{cmax} * \\ 4000 V_{cmax} \frac{c_i}{P_{atm}} * \end{cases}$$

\* – equations for C3 plants based on **Farquhar model** (1980)

\* – equations for C4 plants based on **Collatz model** (1992)



# Differences in approach



## TERRA\_ML

Stomatal behavior represented based on empirical *Jarvis approach* (Jarvis et. al., 1976)

$$g_{st}^{can} = \frac{1}{r_{max}} + \left( \frac{1}{r_{min}} - \frac{1}{r_{max}} \right) [F_{rad} F_{wat} F_{tem} F_{hum}]$$

vs

## TERRA\_ML (updated)

Stomatal conductance explicitly related to photosynthetic assimilation model using *Ball-Berry approach* (Collatz et. al., 1991)

$$g_{st}^{can} = g_{st}^{sun} L^{sun} + g_{st}^{sha} L^{sha}$$

$$g_{st}^{sun,sha} = \frac{1}{r_s^{sun,sha}} = m \frac{A^{sun,sha} e_s}{c_s e_i} P_{atm} + b F_{wat}$$

where:

**COSMO-CLM v5.16:**

$F_{rad}$  – radiation;

$F_{wat}$  – soil water content;

$F_{tem}$  – ambient temperature;

$F_{hum}$  – ambient specific humidity;

$r_{max}$  – maximal stomatal resistance;

$r_{min}$  – minimal stomatal resistance.

**COSMO-CLM experiments:**

$r_s^{sun}$  and  $r_s^{sha}$  – stomatal resistance for *sunlit* and *shaded* leaves;

$g_{st}^{sun}$  and  $g_{st}^{sha}$  – stomatal conductance for *sunlit* and *shaded* leaves  $A$

and  $b$  – leaf photosynthesis and the minimum  $g_{st}$ ;

$e_s$  and  $e_i$  – vapor pressure at leaf surface and inside the leaf;

$c_s$  – CO<sub>2</sub> partial pressure;

$m$  – PFT parameter;

# Stomatal resistance (*RSTOM*)



## *TERRA\_ML*

(Jarvis approach – Jarvis et al., 1976)

vs

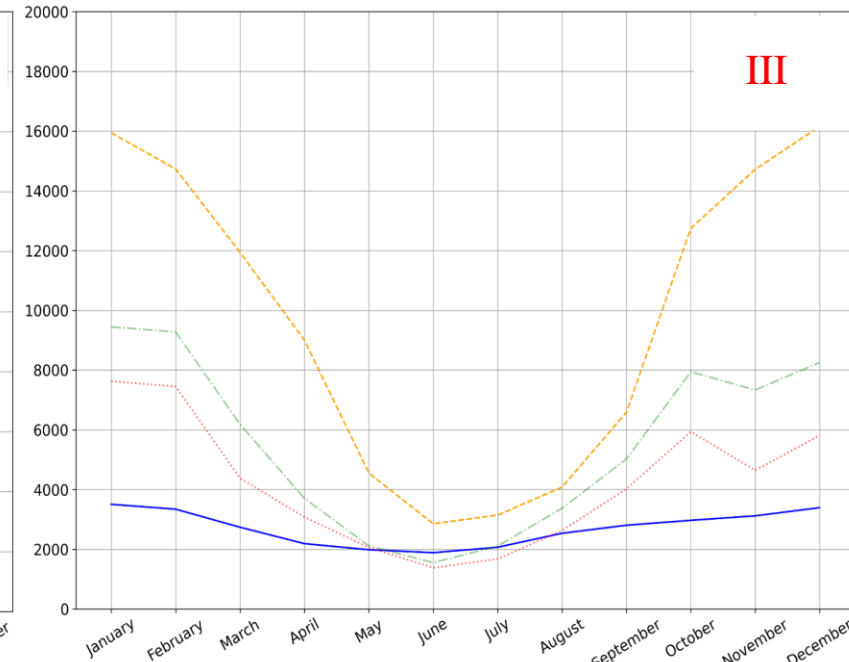
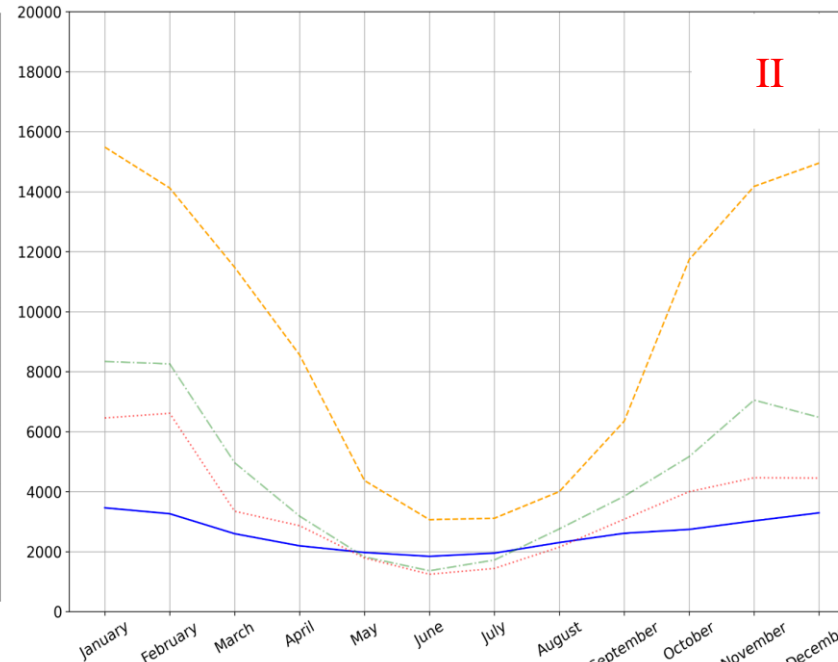
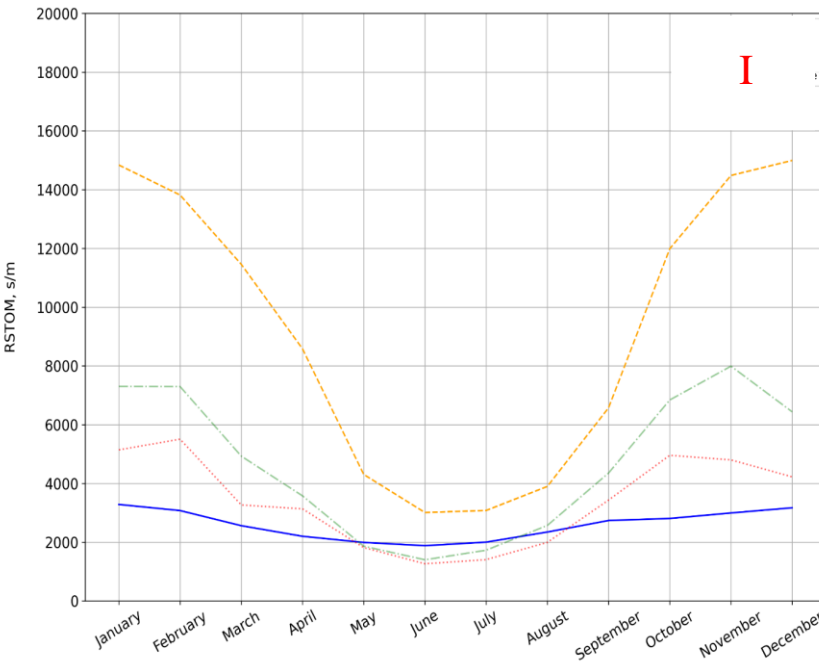
## *TERRA\_ML (updated)*

(Ball-Berry approach – Collatz et al., 1991)

$$g_{st}^{can} = \frac{1}{r_{max}} + \left( \frac{1}{r_{min}} - \frac{1}{r_{max}} \right) [F_{rad} F_{wat} F_{tem} F_{hum}]$$

$$g_{st}^{can} = g_{st}^{sun} L^{sun} + g_{st}^{sha} L^{sha}$$

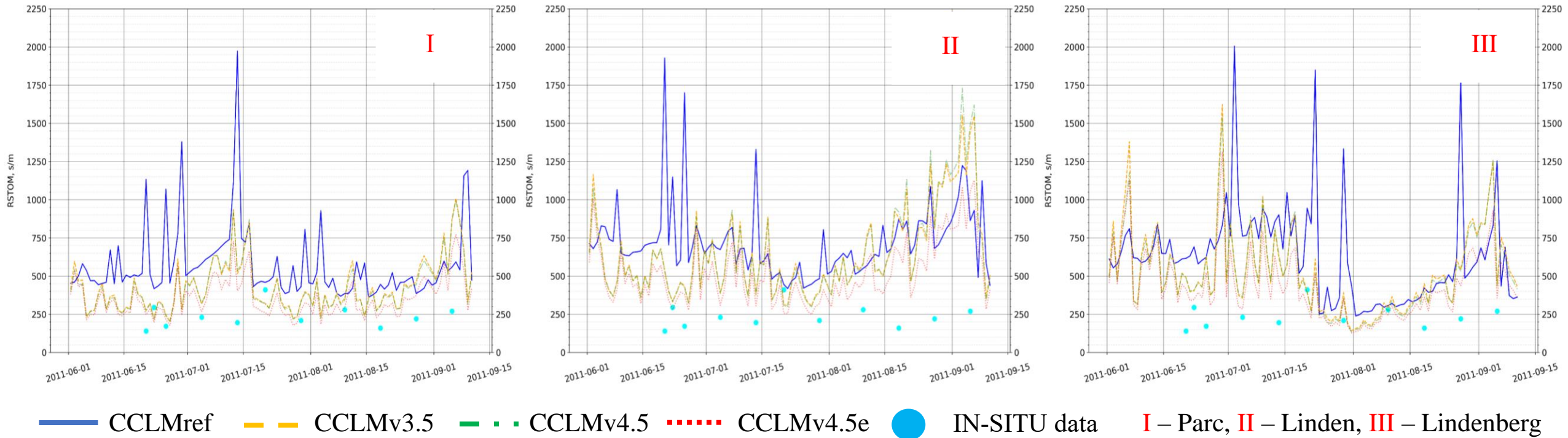
$$g_{st}^{sun,sha} = \frac{1}{r_s^{sun,sha}} = m \frac{A^{sun,sha} e_s}{c_s e_i} P_{atm} + b F_{wat}$$



# Stomatal resistance ( $R_{STOM}$ )



Time period: from 01.06.2011 to 15.09.2011



## Statistical analysis of stomatal resistance data

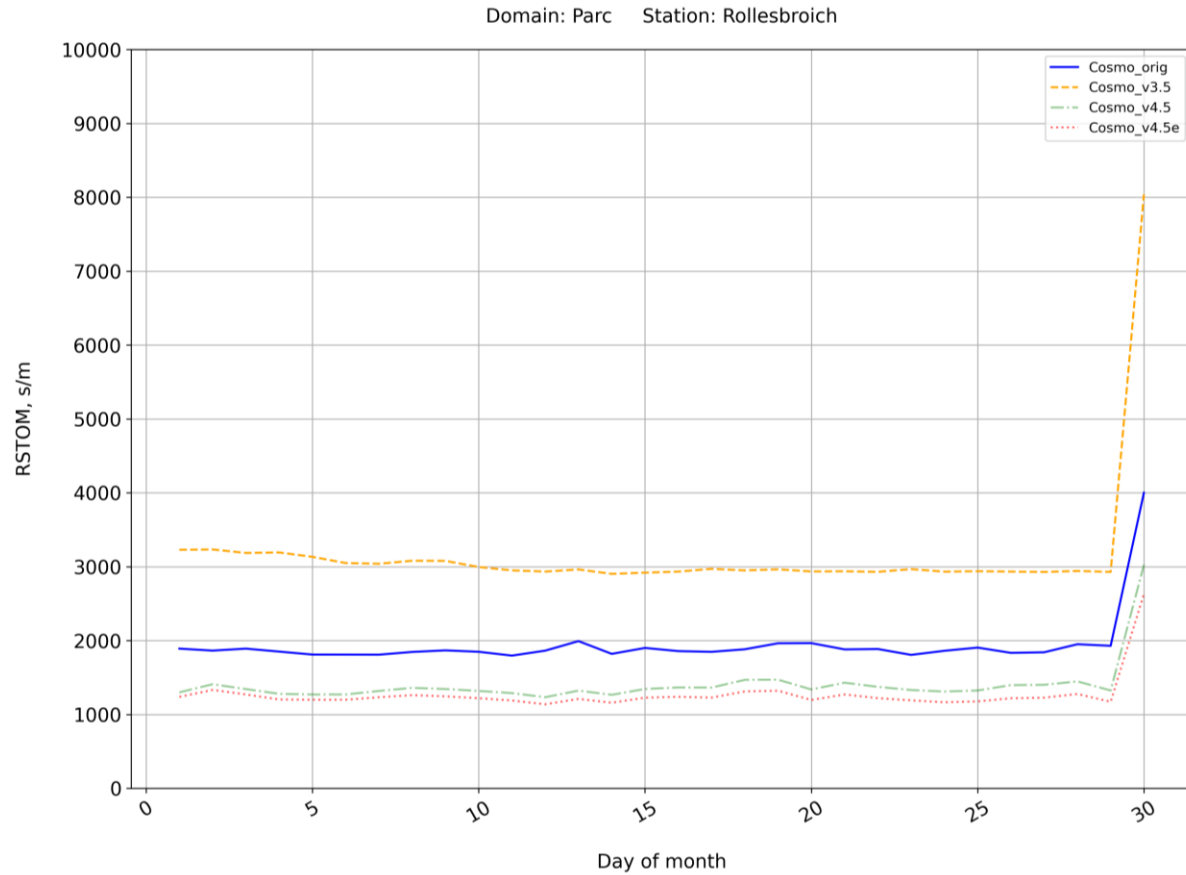
	CCLMref	CCLMv3.5	CCLMv4.5	CCLMv4.5e
mean	713	384	384	314
std	495	183	183	133
mae	477	179	179	124
rmse	696	235	235	161
pcc	-0.426	0.103	0.103	0.08

! The stomatal resistance data were measured in North America for C3 grass at 13:00 (PT)

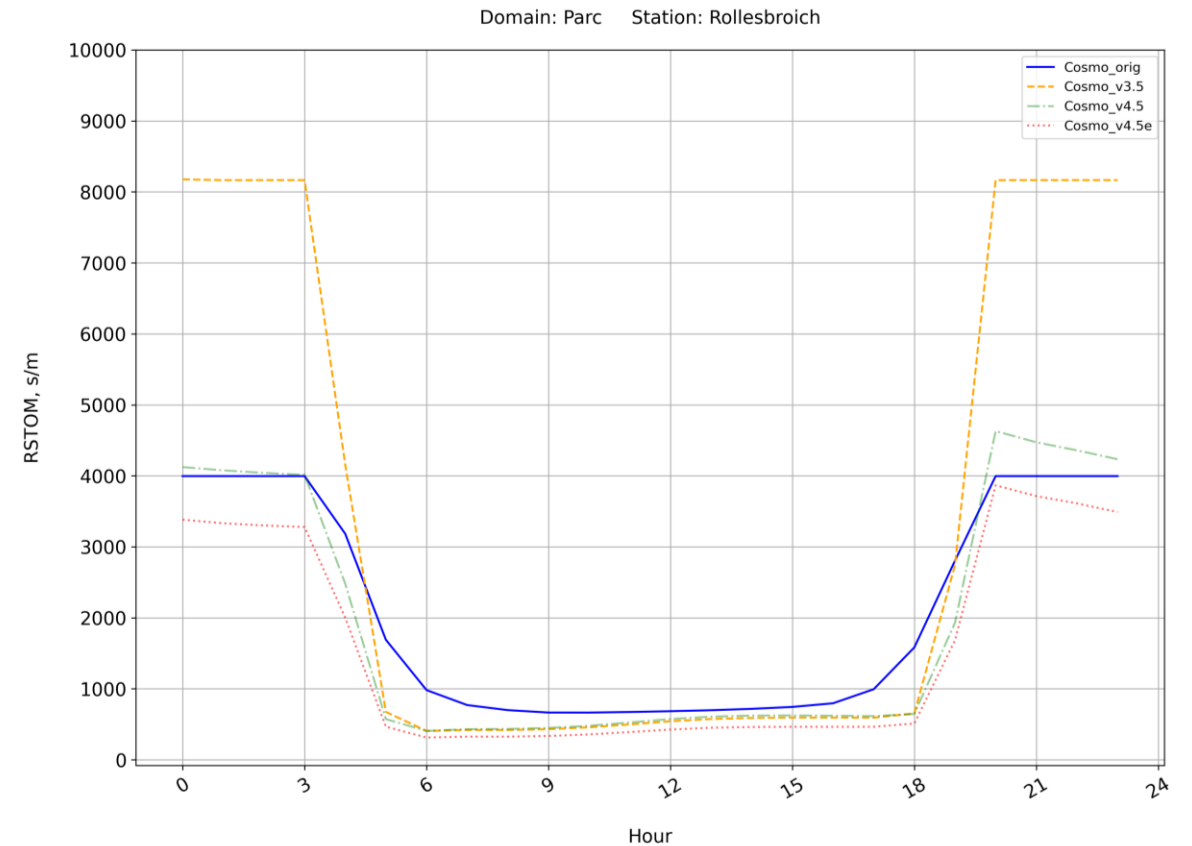
# Stomatal resistance ( $R_{STOM}$ )



## Daily average values over 2010-2015 for June



## Diurnal cycle over 2010-2015 from June to August





## *At sites:*

- Standard deviation (STD)
- Mean absolute error (MAE)
- Root mean square error (RMSE)
- Pearson correlation coefficient (PCC)

## *Grid points:*

- Root mean square deviation (RMSD)
- Pearson correlation coefficient (PCC)
- Kling-Gupta Efficiency index (KGE)
- Distribution added value index (DAV)

$$KGE = 1 - \sqrt{(\rho - 1)^2 + \left(\frac{\sigma_m}{\sigma_{obs}}\right)^2 + \left(\frac{\mu_m}{\mu_{obs}} - 1\right)^2}$$

$$DAV = \frac{\sum_1^n \min(Z_{exp}, Z_{obs}) - \sum_1^n \min(Z_{ctr}, Z_{obs})}{\sum_1^n \min(Z_{ctr}, Z_{obs})}$$

where:  $\rho$  is the Pearson correlation coefficient,

$\sigma$  is standard deviation,

$\mu$  is the mean value,

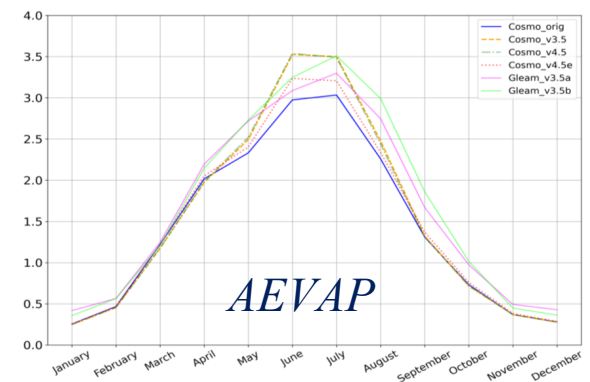
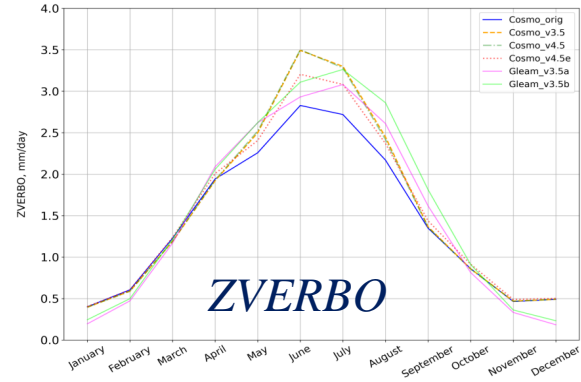
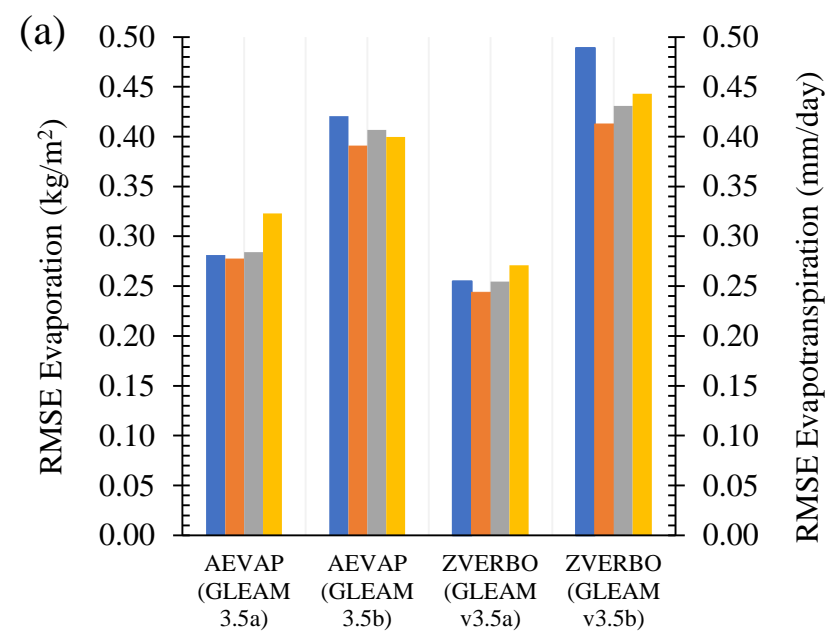
$Z$  is the frequency of values in a given bin for experiments, control run, and observations.



# Total evapotranspiration (ZVERBO) and evaporation (AEVAP)

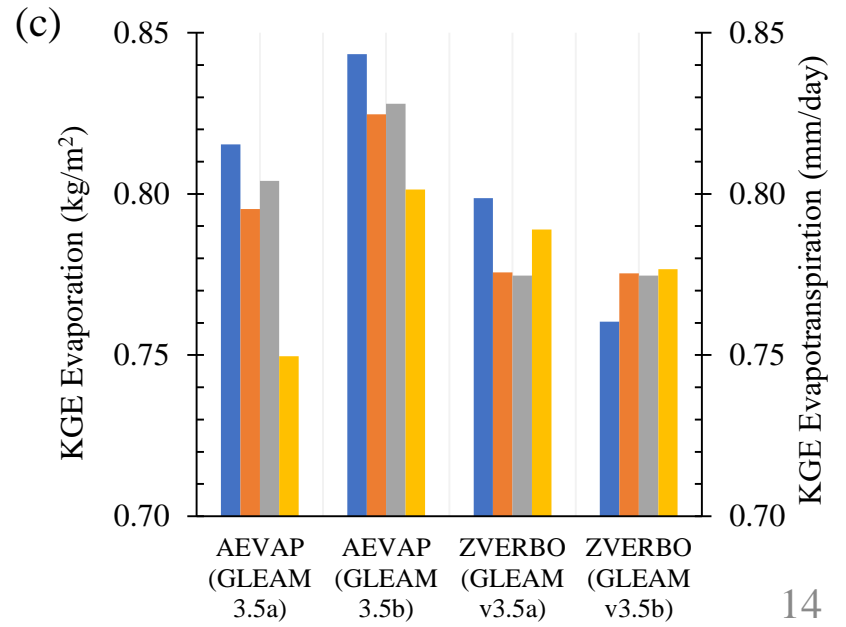
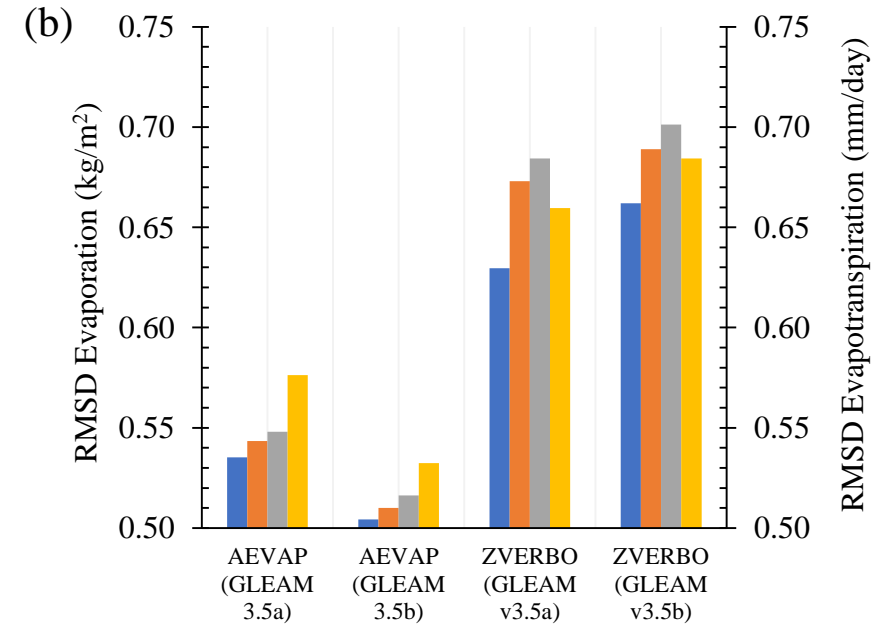


## Stations (parc domain)

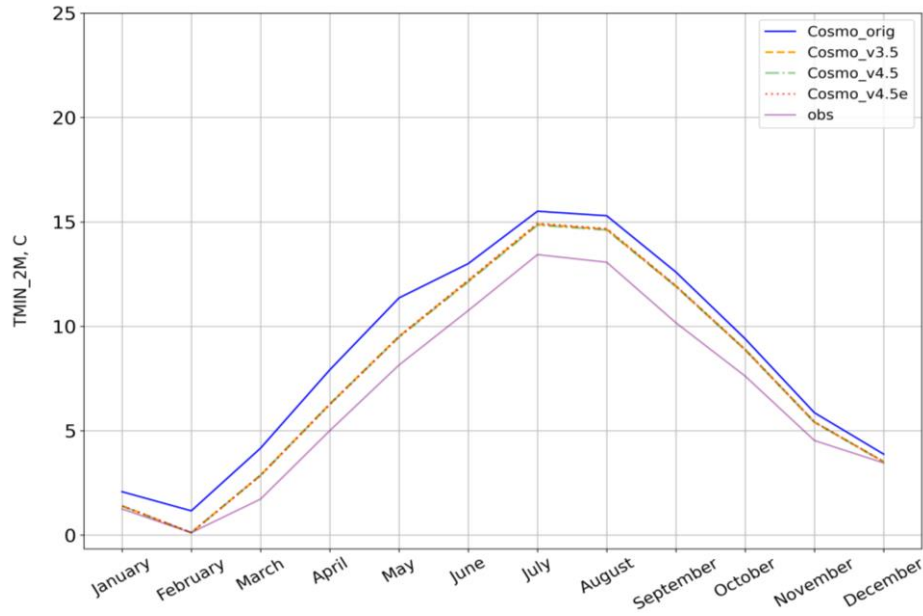


## Grid points (parc domain)

- CCLMref
- CCLMv3.5
- CCLMv4.5
- CCLMv4.5e



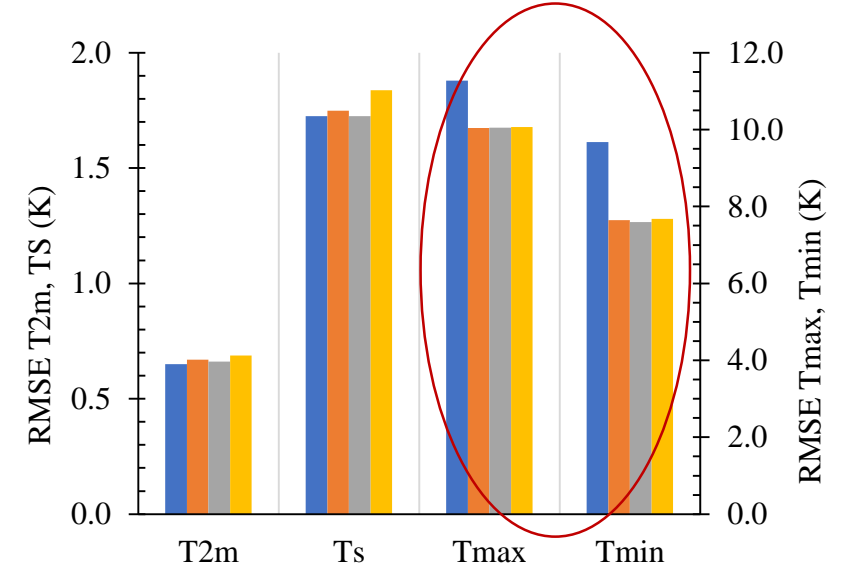
# Surface ( $T_S$ ), maximum ( $T_{max}$ ) and minimum ( $T_{min}$ ) temperatures



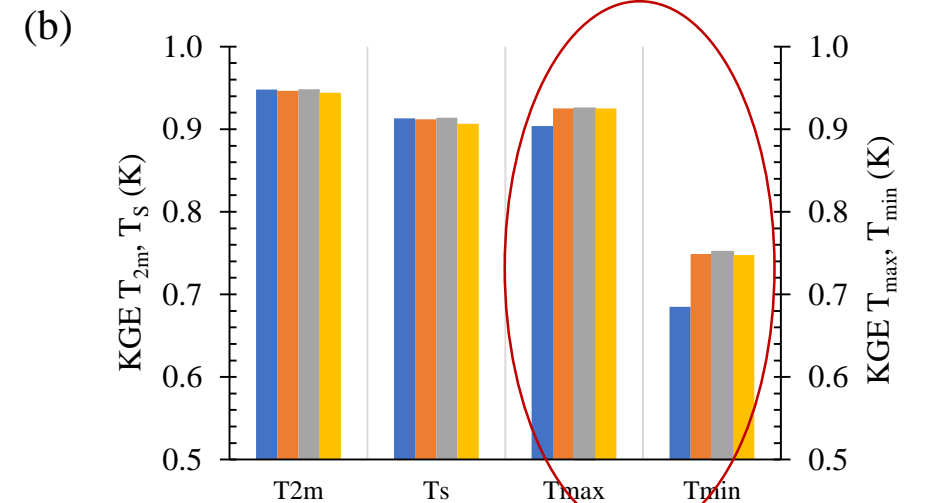
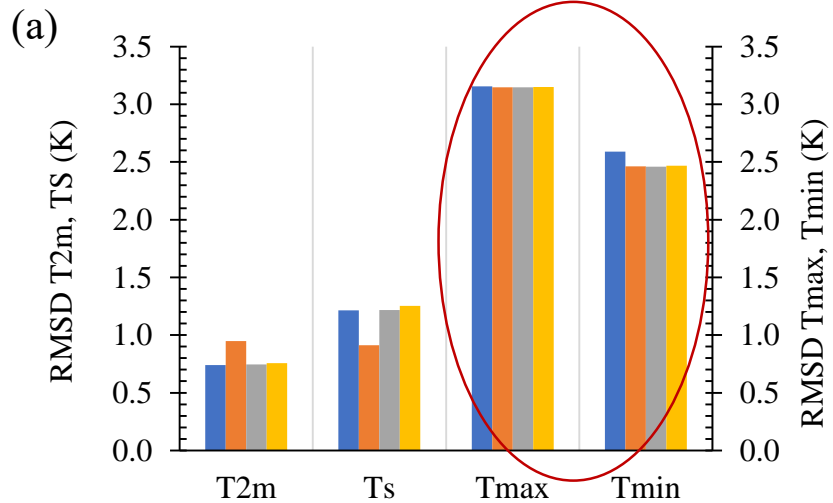
- CCLMref
- - - CCLMv3.5
- . . . CCLMv4.5
- . - . CCLMv4.5e
- IN-SITU data

- CCLMref
- CCLMv3.5
- CCLMv4.5
- CCLMv4.5e

## Stations (parc domain)




## Grid points (parc domain)






# Conclusions

The new versions (*CCLMv3.5*, *CCLMv4.5*, *CCLMv4.5e*):

- 
- consider the difference of the physiological properties between sunlit and shaded leaves
  - use the modern physically based approach for stomatal resistance.
  - apply the prognostic environmental parameters for calculations of stomatal resistance, which are connected to each other by leaf photosynthesis.
  - use stomatal resistance values, which are influenced by atmospheric CO<sub>2</sub> concentration
  - allow to calculate the leaf photosynthesis and CO<sub>2</sub> uptake

Didn't change in (*CCLMv3.5*, *CCLMv4.5*, *CCLMv4.5e*):

- 
- ❖ the phenological cycle of COSMO-CLM (yet), which is still based on a 6-year climatology and follows the same sinusoidal fitted curve between its maximum and minimum value each year neglecting any influence or feedback on the environmental conditions.



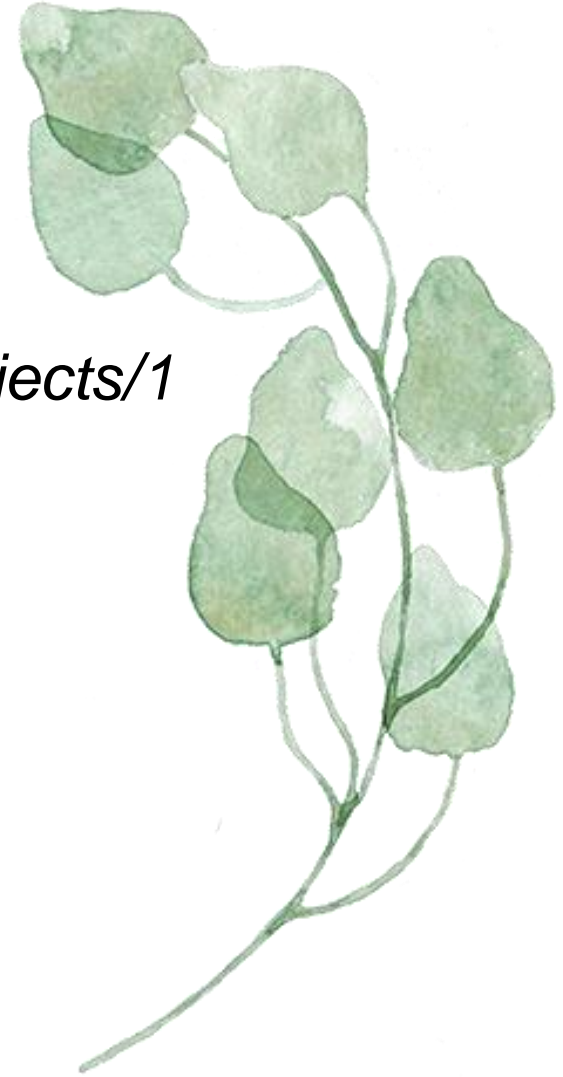
## *Our contacts:*

*GitHub page:* <https://github.com/users/merajtoelle/projects/1>

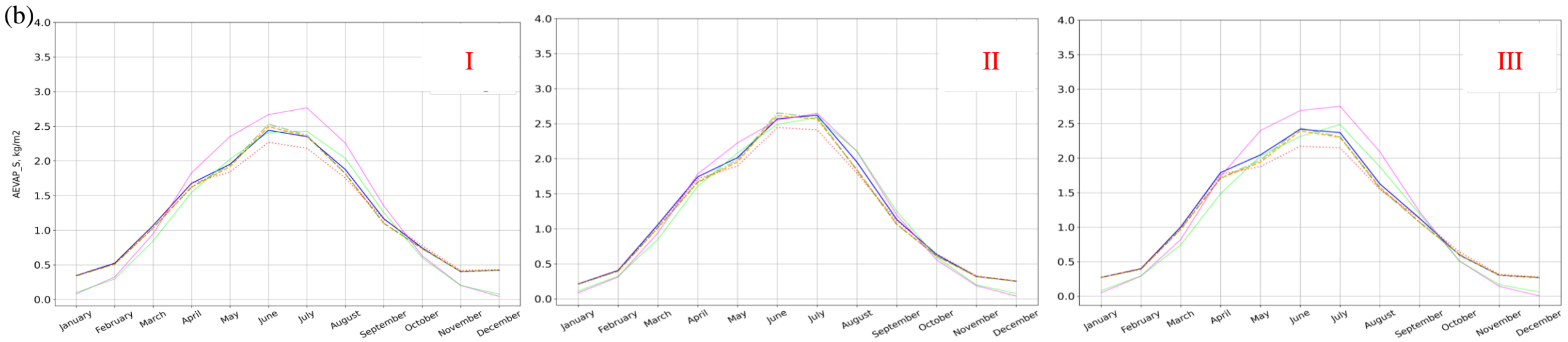
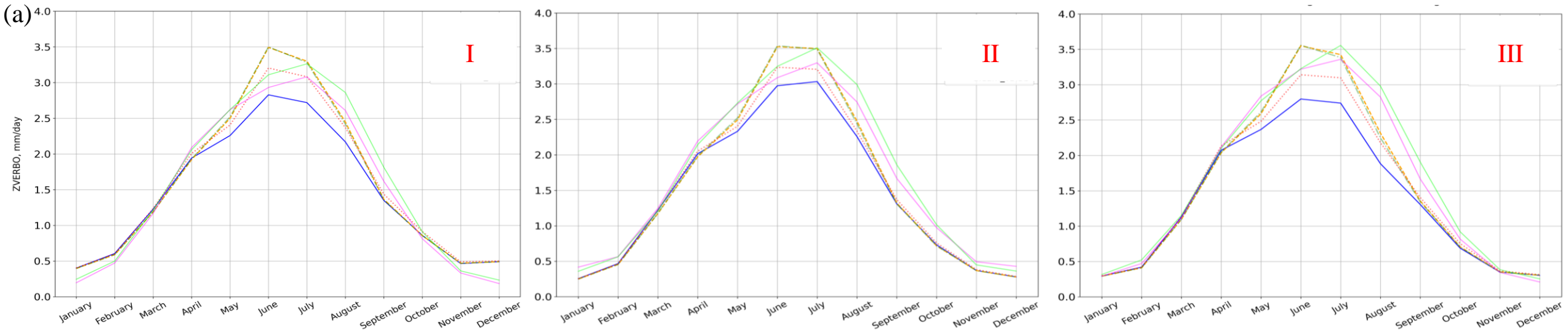
*Address:* Universität Kassel - CESR

*Wilhelmshöher Allee 47, 34117 Kassel*

*Email:* [evgenychur@uni-kassel.de](mailto:evgenychur@uni-kassel.de)



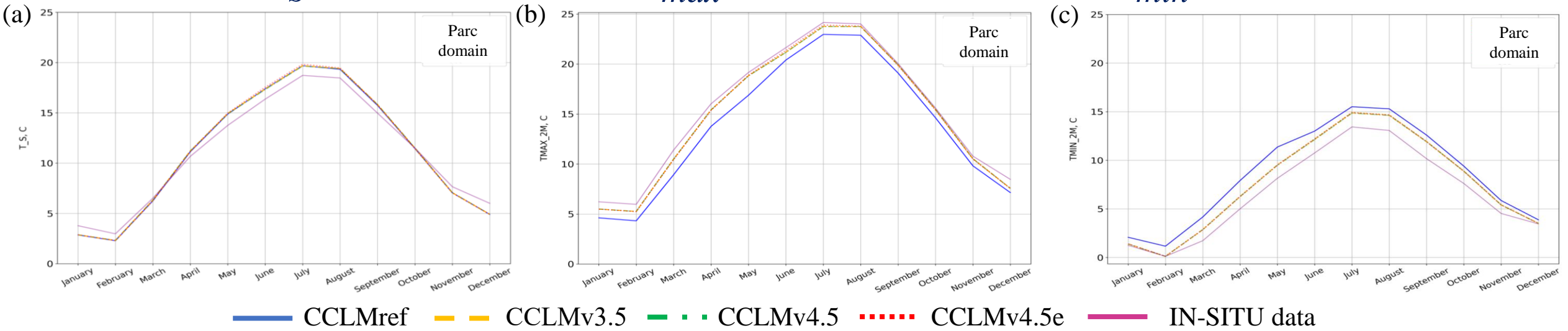
# Total evapotranspiration – ZVERBO (a) and evaporation – AEVAP (b)



— CCLMref  
 - - CCLMv3.5  
 - · - CCLMv4.5  
 · · · CCLMv4.5e  
 — Gleam\_v3.5a  
 — Gleam\_v3.5b  
 I – Parc, II – Linden, III – Lindenberg



# Surface – $T_s$ (a), maximum – $T_{max}$ (b) and minimum – $T_{min}$ (c) temperatures



## Model performance:

❖ RMSE (d) from the differences taken at sites

❖ RMSD (e) and KGE (f) at COSMO-CLM grid points over the time period 2010 – 2015

