

# Warm-Phase Spectral-Bin Microphysics in ICON

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Thanks to: Axel Seifert<sup>4</sup>, Daniel Reinert<sup>4</sup>

- (1) Israeli Meteorological Service
- (2) Pacific Northwest National Laboratory
- (3) The Hebrew University of Jerusalem
- (4) Deutscher Wetterdienst

# Outlook

1. Overview
2. Warm-Phase SBM Implementation in ICON
3. First tests of Cumulonimbus development (Weisman-Klemp 1982)

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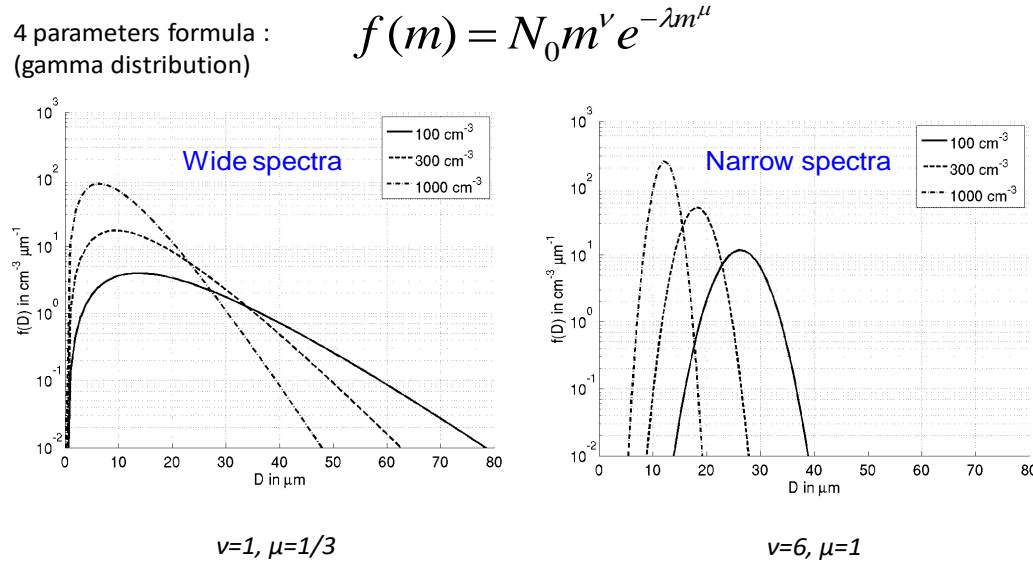
# Two methods of representing cloud microphysics

## Bulk-microphysics Parameterization

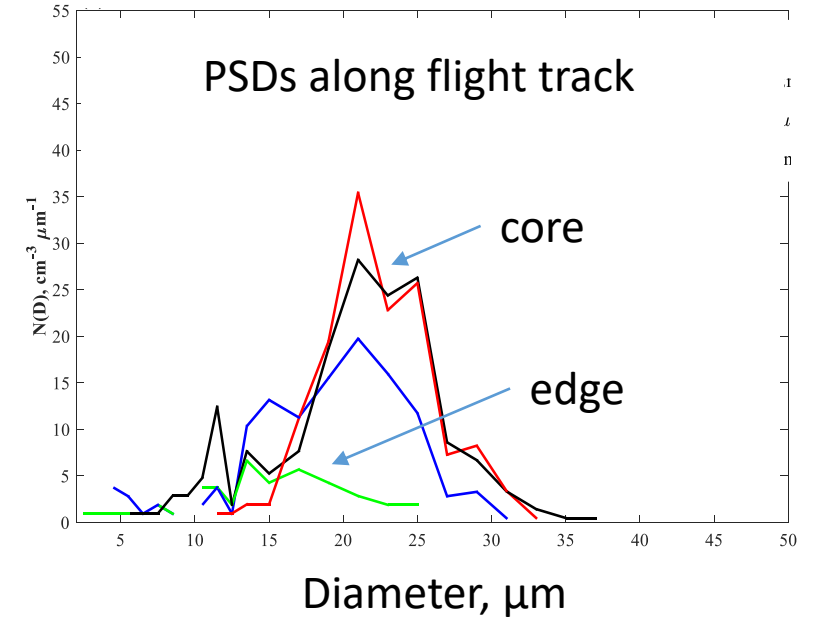
## Spectral (Bin) Microphysics (SBM)

Description of size distributions

Gamma distributions with several given parameters



Examples of real size distributions (droplets)



Basic equations

For moments:

$$M^{(k)} = \int_0^{\infty} m^k f(m) dm$$

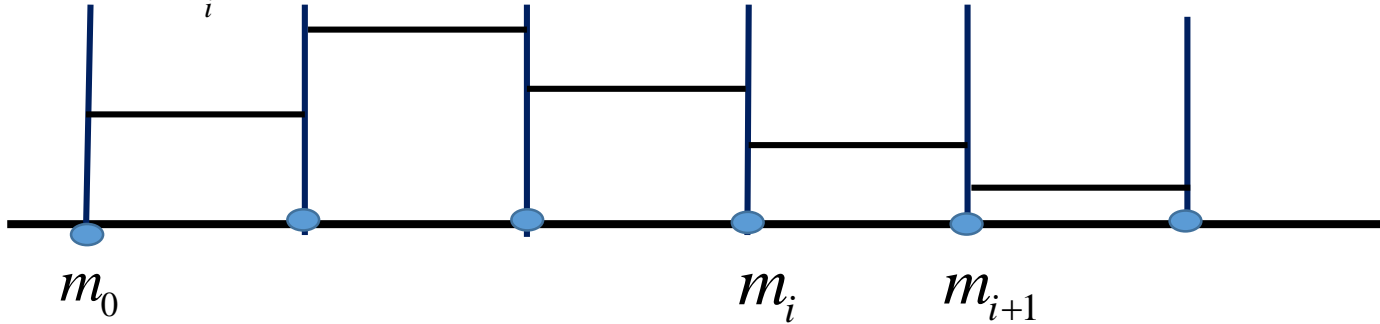
K=0 number concentration; k=1 mixing ratios; k=2-radar reflectivity.

2M  $\rightarrow$  2 parameters are free and 2 are preset

For size distribution functions f(m)

# Mass grid in SBM

$$\frac{m_{i+1}}{m_i} = \alpha \quad (= 2 \text{ in ICON})$$



## In ICON:

- 33 drops bins
- 33 ccn bins
- 33 activated ccn (for regeneration\*)

\*Currently switched off

$$N = \int_{m_{\min}}^{m_{\max}} f(m) dm \quad : \text{ number concentration}$$

$$M = \int_{m_{\min}}^{m_{\max}} mf(m) dm \quad : \text{ mixing ratio}$$

## Equation for size distribution is solved for each bin:

$$\frac{\partial \rho f_{i,k}}{\partial t} + \frac{\partial \rho u f_{i,k}}{\partial x} + \frac{\partial \rho v f_{i,k}}{\partial y} + \frac{\partial \rho (w - V_t(m_i)) f_k}{\partial z} = \left( \frac{\delta f_{i,k}}{\delta t} \right)_{nucl} + \left( \frac{\delta f_{i,k}}{\delta t} \right)_{c/e} + \left( \frac{\delta f_{i,k}}{\delta t} \right)_{d/s} + \left( \frac{\delta f_{i,k}}{\delta t} \right)_{f/m} + \left( \frac{\delta f_{i,k}}{\delta t} \right)_{col} \dots$$

mixed phase

Advection  
(outside microphysics)

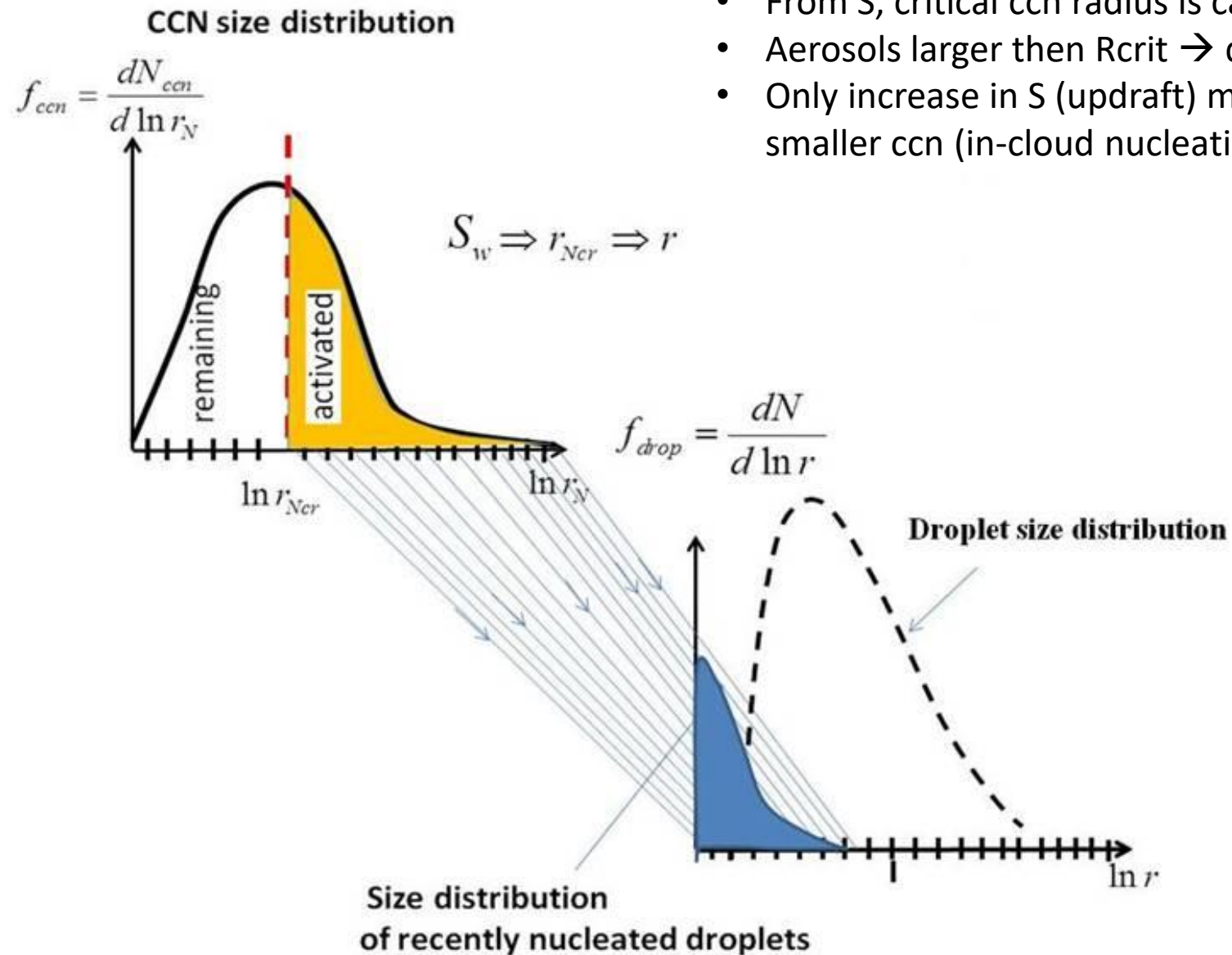
sedimentation

nucleation

cond/evap

Collisions+break up

# Description of physical processes: **Nucleation** (Kohler theory)



- From  $S$ , critical ccn radius is calculated
- Aerosols larger than  $r_{crit}$   $\rightarrow$  droplets
- Only increase in  $S$  (updraft) may lead to activation of smaller ccn (in-cloud nucleation)  $\rightarrow$  multi modal PSD

# Description of physical processes: Cond/Evap

## Solution of 2 equations:

Eqn for Supersaturation

S increases in updraft (source) and condensates (sink)

$$\frac{dS}{dt} = \left(\frac{dS}{dt}\right)_{dyn} - A \frac{dq_c}{dt}$$

S(t) = super saturation

dt = microphysical sub-step

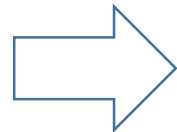
$q_c$  = cloud water content = integral over PSD

Eqn of diffusional growth for each bin  $r_i$   
(how the molecules condensate on droplet surface)

$$r \frac{dr}{dt} = \frac{1}{F} S$$

$r(t)$  = super saturation  $\rightarrow$  PSD(t)

$$\left(\frac{dS}{dt}\right)_{dyn} = \frac{S \text{ (after advection)} - S \text{ (before dynamics)}}{\text{model time step}}$$



On input, the microphysics needs:

- T and  $q_v$  before dynamics
- T and  $q_v$  after advection

# Description of physical processes: Collisions

## Stochastic equation for collisions:

Change of:  
Number of droplets  
of mass  $m$

$$\frac{df(m,t)}{dt} = \underbrace{\int_0^{m/2} f(m')f(m-m')K(m-m',m')dm'}_{\text{GAIN}} - \underbrace{\int_0^{\infty} f(m)f(m')K(m,m')dm'}_{\text{LOSS}}$$

Collision Kernel =  
probability of collision of 2 droplets.  
Depends on rel. velocity, droplets sizes

Increase of  $f(m)$  due to collisions of masses:  
 $(m')$  and  $(m-m')$   
Such that:  
 $(m')+(m-m')=m$

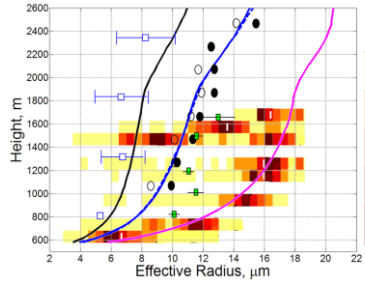
Decrease of  $f(m)$  due to collision  
of  $(m)$  with some  $(m')$

\* Works for entire  
range of drop sizes



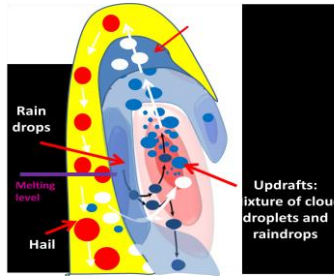
# SBM is used for various cloud-related phenomena

Shallow clouds  
(SAM-LES)



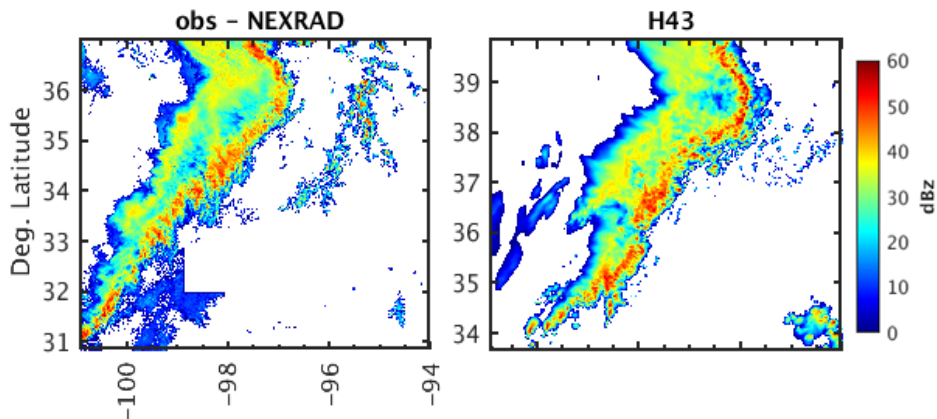
Khain P. et al. 2019  
Comparison of mean effective radius profiles simulated using SAM with observations

Hail storms:



Ilotoviz et al. 2019  
Mechanism of large hail formation in deep convective clouds (HUCM)

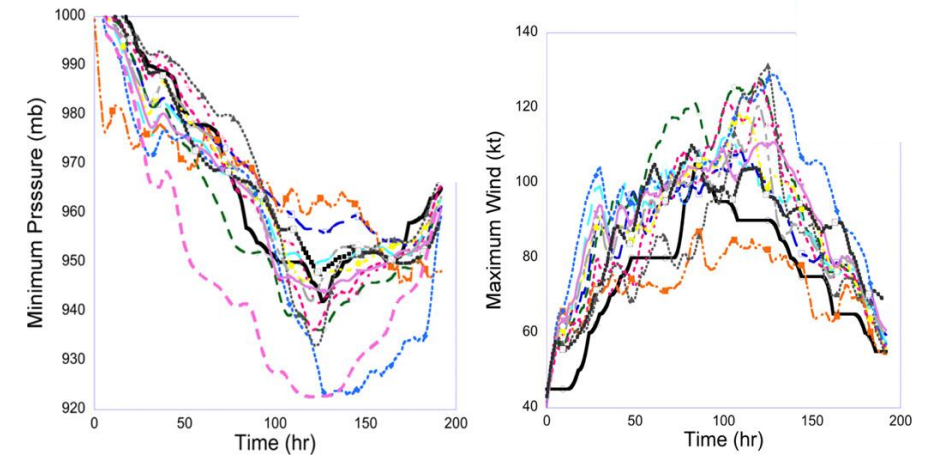
Mesoscale convective systems with squall lines:



Shpund et al. 2019

MC3E field campaign.  
Simulation using WRF-SBM

Hurricanes:



Khain et al. 2016.

Simulation of hurricane Irene (2011) using WRF-SBM and different bulk schemes

SBM is also implemented in:

- The Goddard Cumulus Ensemble (GCE) model  
<https://cloud.gsfc.nasa.gov/index.php?section=11>

- JMA-NHM (Japanese model) Iguchi, et al., 2012

- HWRF:  
[https://www.emc.ncep.noaa.gov/gc\\_wmb/vxt/HWRF/index.php](https://www.emc.ncep.noaa.gov/gc_wmb/vxt/HWRF/index.php)  
Implementation in progress

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2. Warm-Phase SBM Implementation in ICON

3. First tests of Cumulonimbus development (Weisman-Klemp 1982)

## 2. Warm-Phase SBM Implementation in ICON

subr. **integrate\_nh**

(mo\_nh\_stepping.f90)

call perform\_dyn\_substepping

call diffusion (numerical)

call step\_advection

call nwp\_nh\_interface

$T, qv = ?$

subr. **nwp\_nh\_interface** (mo\_nh\_interface\_nwp.f90)

call calc\_old\_temp (calculate T before dynamics:  $T_{new} - dt * dT/dt$ )

save T,qv (after advection and before saturation adjustment)

call **satad\_v\_3D** (saturation adjustment, LH release, qc)

call nwp\_surface (land/sea)

call nwp\_turbdiff (turbulent diffusion – atmo. column)

call **nwp\_microphysics**

call nwp\_turbtrans (atmo-surf interface)

call nwp\_convection (slow)

call cover\_koe (cloud cover - slow)

call set\_reff (calc reff for radiation - slow)

call nwp\_radiation (slow)

call nwp\_gwdrag (gravity waves drag - slow)

call nwp\_upatmo\_interface (upper-atmo physics - slow)

← High SupSat (S) after advection

} new

← Problematic with high S on input.  
Put after microphysics or switch off  
See example (A)

## 2. Warm-Phase SBM Implementation in ICON

subr. **nwp\_microphysics**

(mo\_nwp\_gscp\_interface.f90)

subr. **graupel**

(gscp\_graupel.f90)

1 moment

...

...

subr. **satad\_v\_3D**

(mo\_satad.f90)

subr. **two\_moment\_mcrph**

(mo\_2mom\_mcrph\_driver.f90)

subr. **clouds\_twomoment**

(mo\_2mom\_mcrph\_main.f90)

mixed phase switched off

call ccn\_activation\_hdcp2  
call ccn\_activation\_sk\_4d  
call autoconversionKB  
call accretionKB  
call rain\_selfcollectionSB  
call autoconversionKK  
call accretionKK  
call rain\_selfcollectionSB  
call autoconversionSB  
call accretionSB  
call rain\_selfcollectionSB  
call rain\_evaporation

subr. **sedimentation\_explicit**

(mo\_2mom\_mcrph\_main.f90)

subr. **satad\_v\_3D**

(mo\_satad.f90)

subr. **sbm**

(mo\_sbm\_driver.f90)

subr. **warm\_sbm**

(mo\_sbm\_main\_NEW.f90)

call jernucl01\_ks (**nucleation**) → water\_nucleation  
call onecond1 (**diffusional growth**)  
call coal\_bott\_new\_warm (**collisions, break up**)  
call falfluxhucm\_z (**sedimentation**)

subr. **onecond1**

call jerrate\_ks (**calc coefs for the diffusional growth eqn**)  
call jertimesc\_ks (**calc coefs for the supersaturation eqn**)  
call jersupsat\_ks (**calc integral S(t)\*dt**)  
call jerdfun\_ks → jernewf\_ks (**recalc drops size**)  
call jerdfun\_new\_ks → jernewf\_ks (**get new PSD - remapping**)

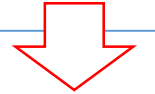
subr. **coal\_bott\_new\_warm**

call kernals\_ks (**collision efficiency X swept volume**)  
call coll\_xxx\_bott (**stochastic collision eqn**)  
call coll\_breakup\_ks (**collisional breakup**)

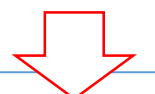
~~subr. **satad\_v\_3D**~~

~~(mo\_satad.f90)~~

PSD<sub>drops</sub>, PSD<sub>ccn</sub>  
T,qv(b.ad), T,qv(a.ad)



PSD<sub>drops</sub>, PSD<sub>ccn</sub>  
T,qv,qc,qr,qnc,qnr

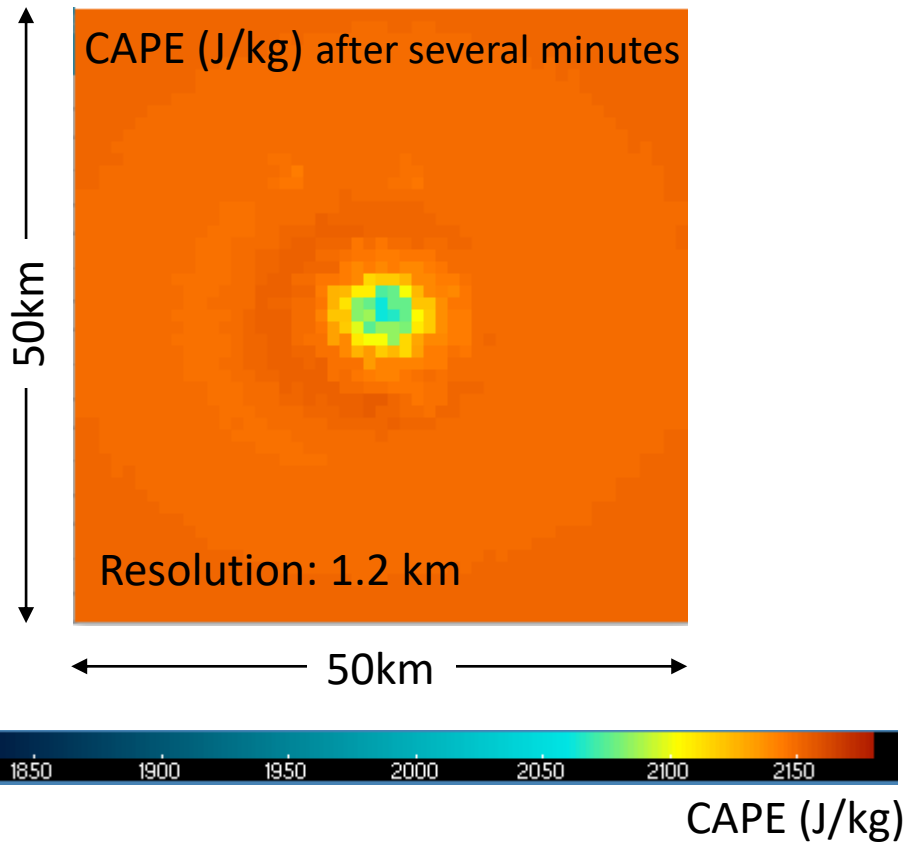


# Outlook

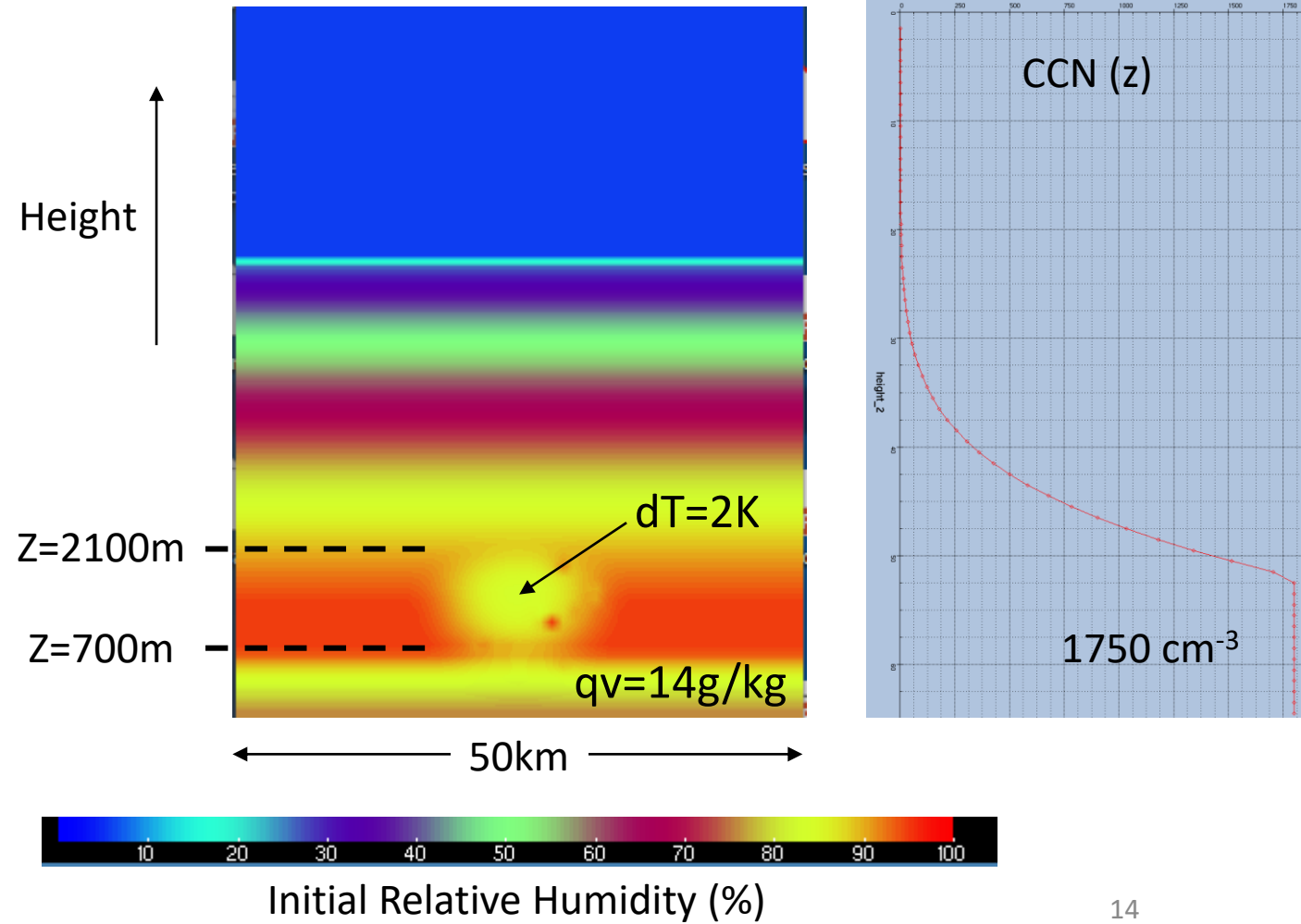
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### 3. First tests of Cumulonimbus development (Weisman-Klemp 1982)

View from above



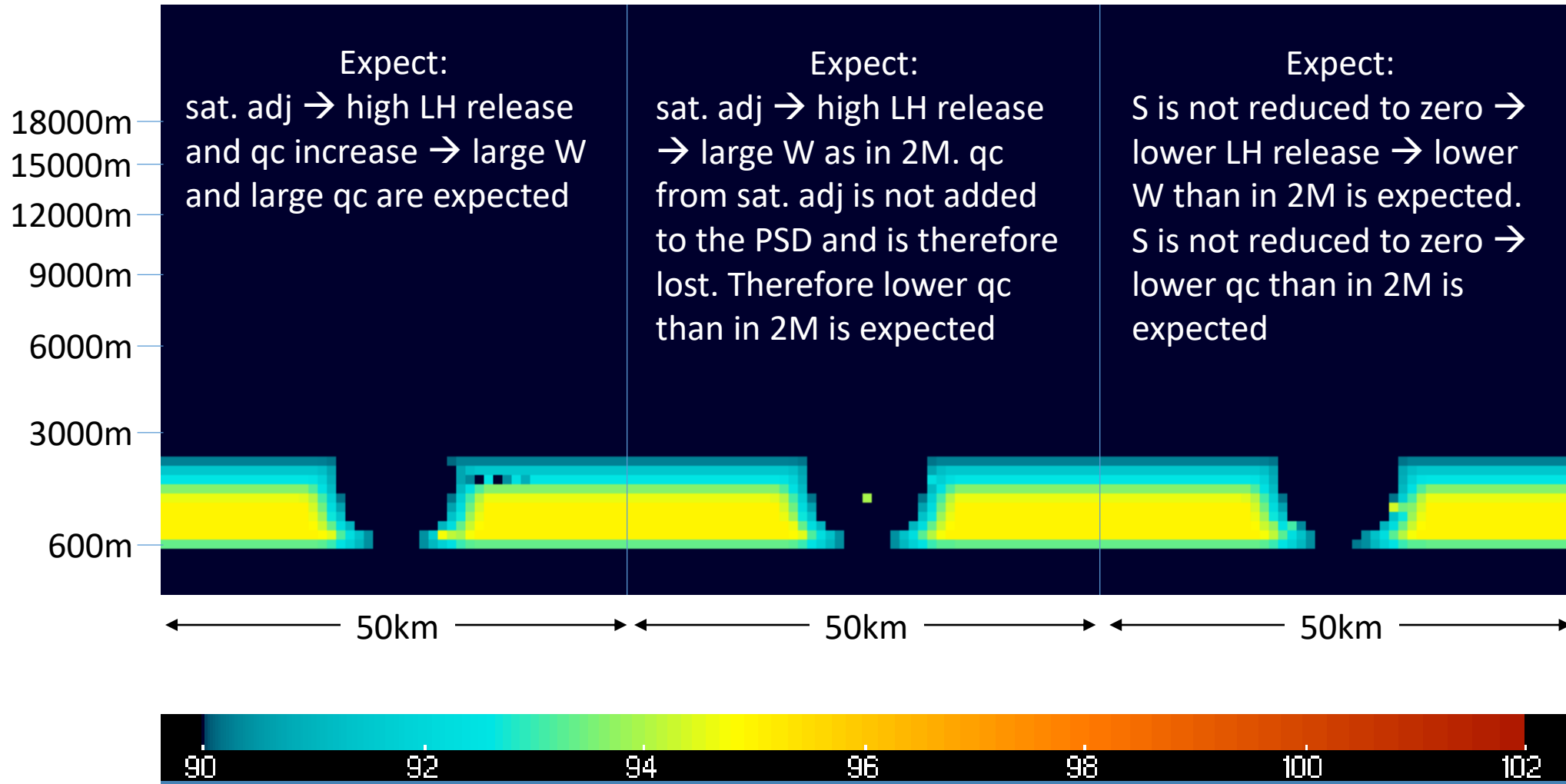
Cross section



## 2M scheme

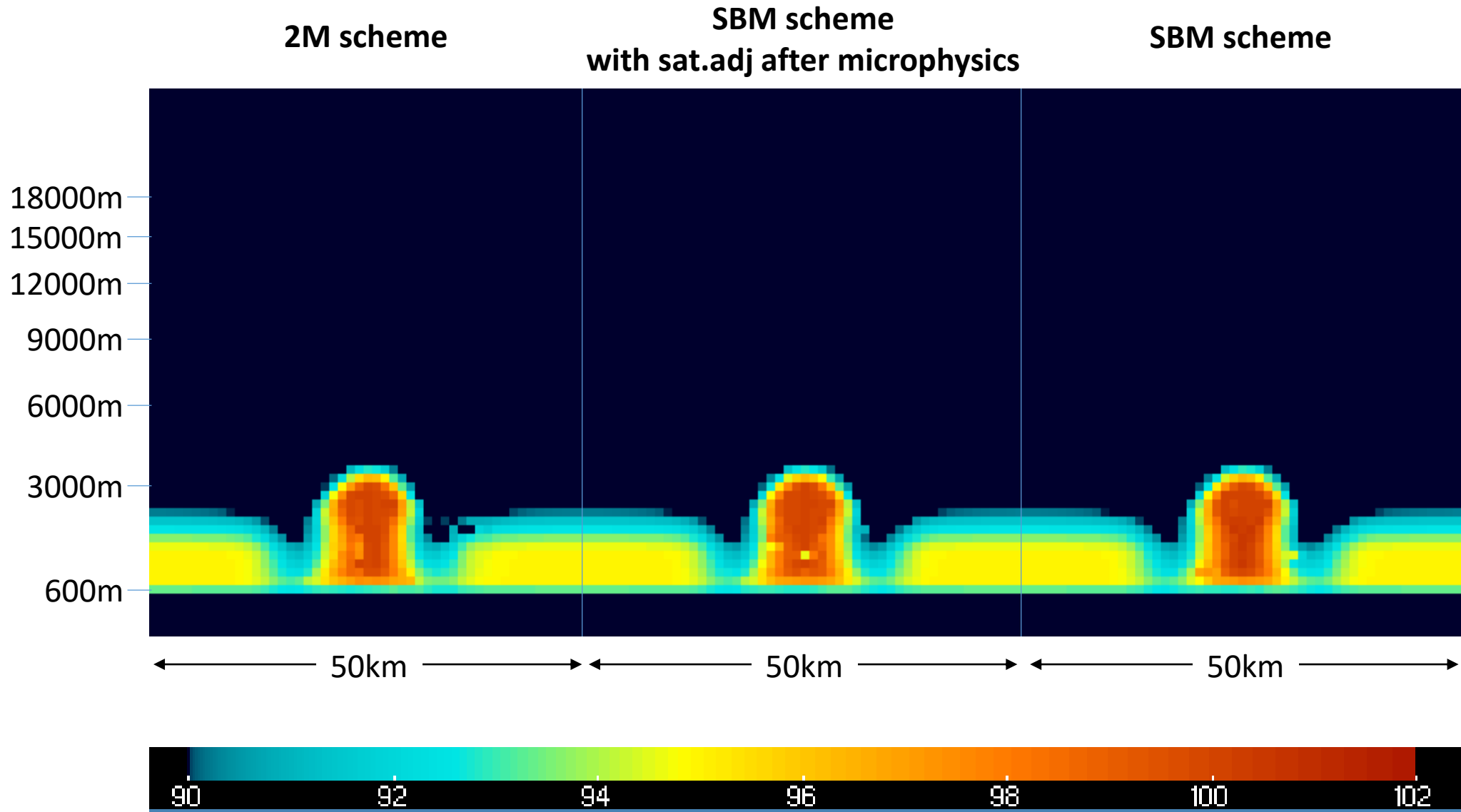
## SBM scheme with sat.adj after microphysics

## SBM scheme



# Relative Humidity (%)

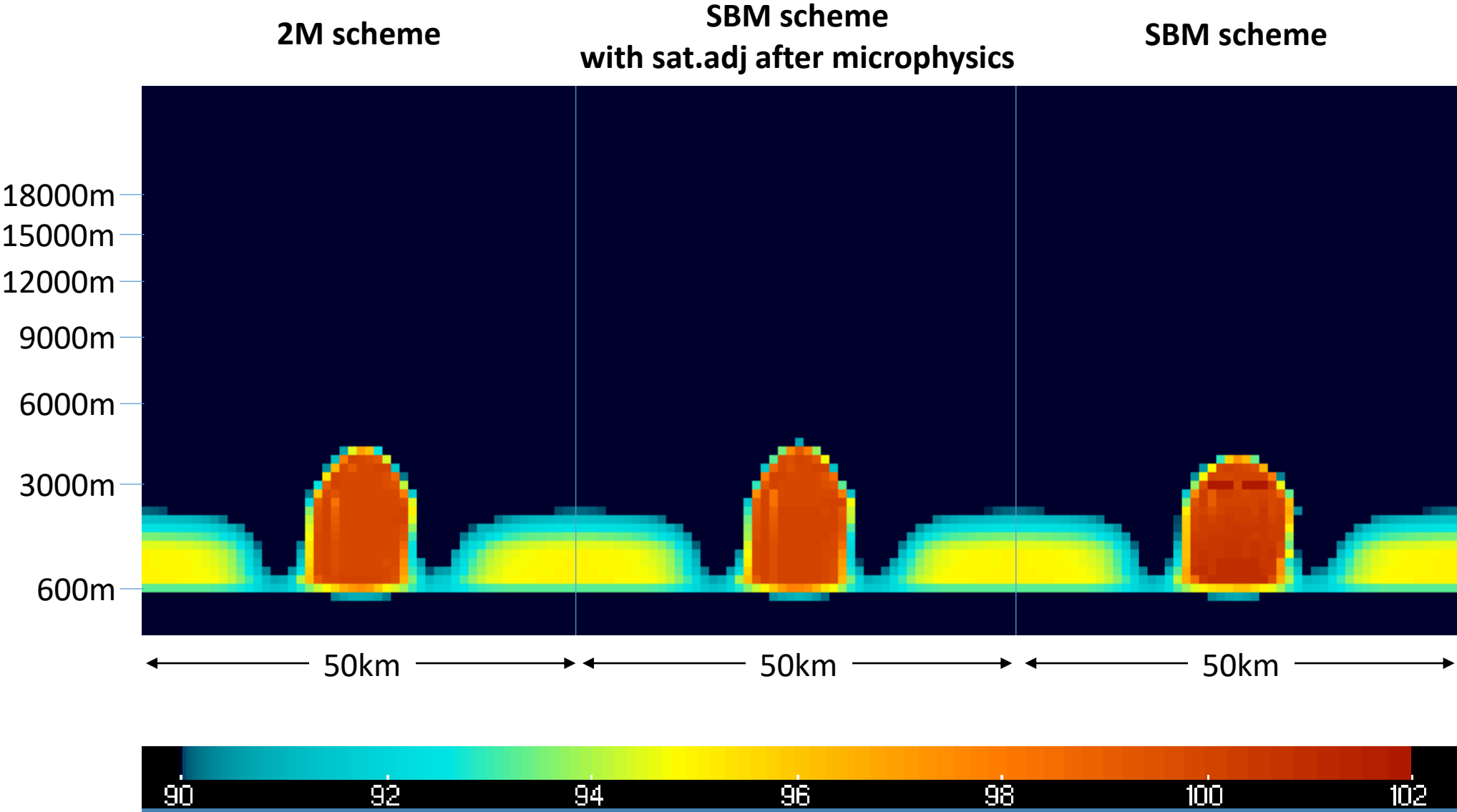
6 min





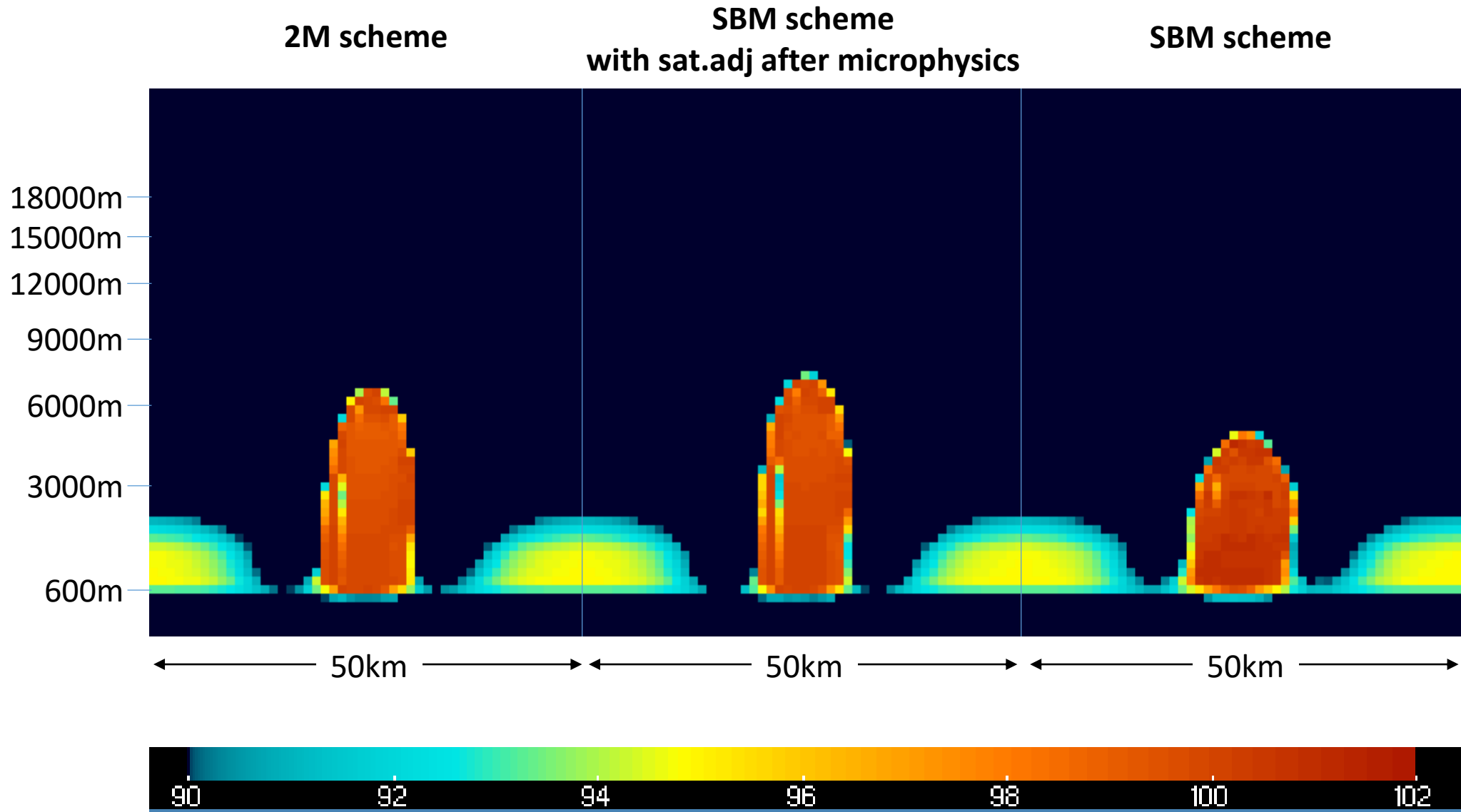
# Relative Humidity (%)

12 min



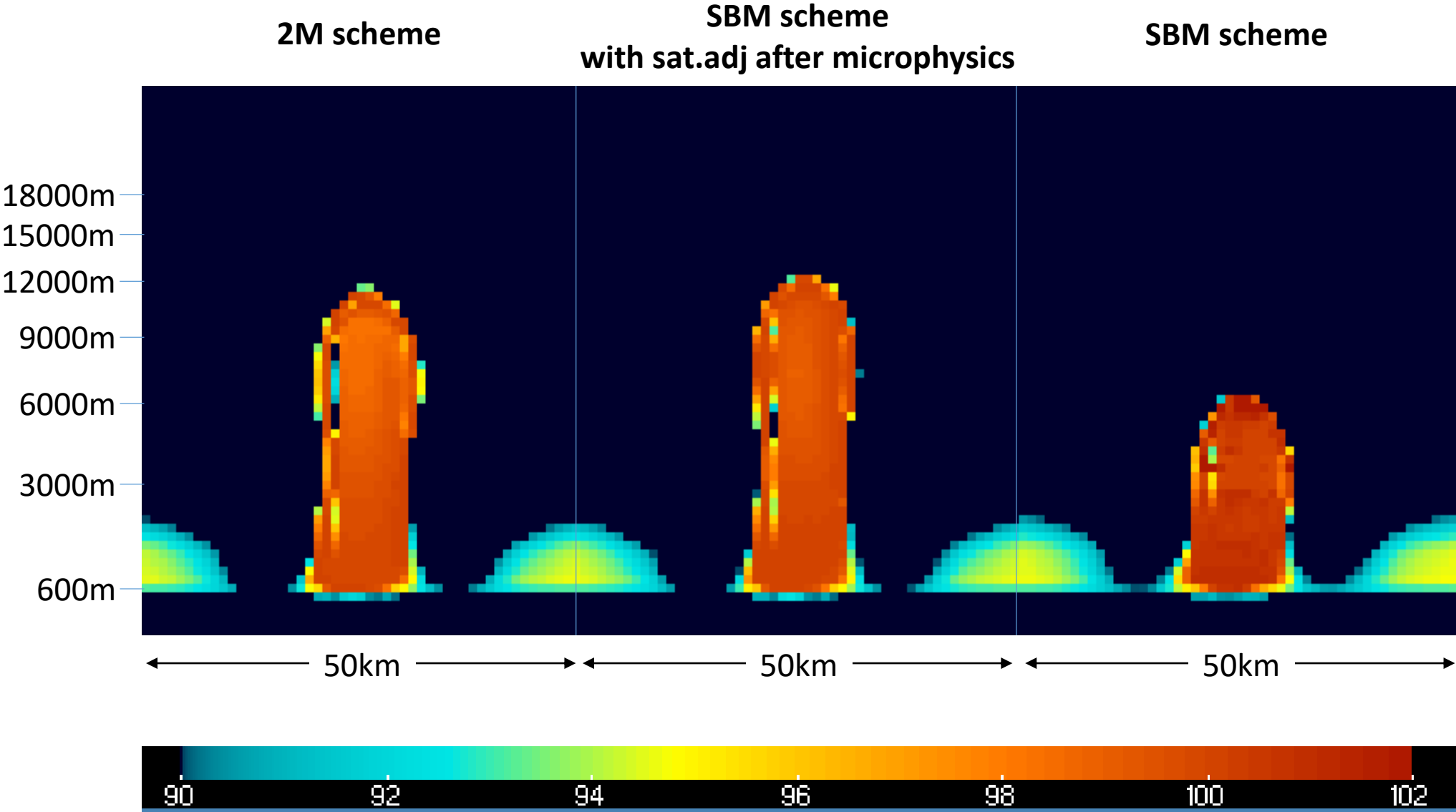
# Relative Humidity (%)

18 min



# Relative Humidity (%)

24 min



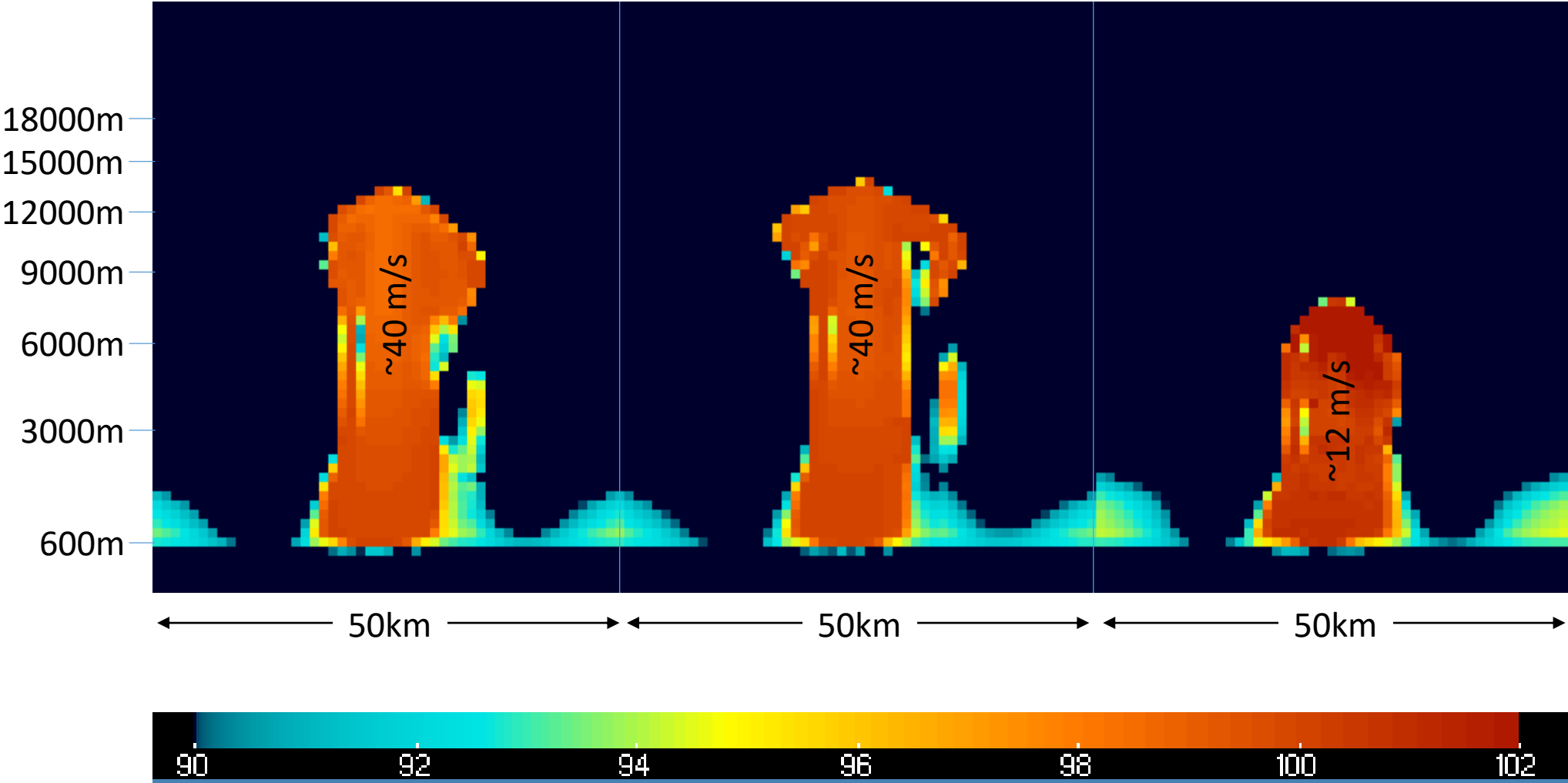
# Relative Humidity (%)

30 min

2M scheme

SBM scheme  
with sat.adj after microphysics

SBM scheme



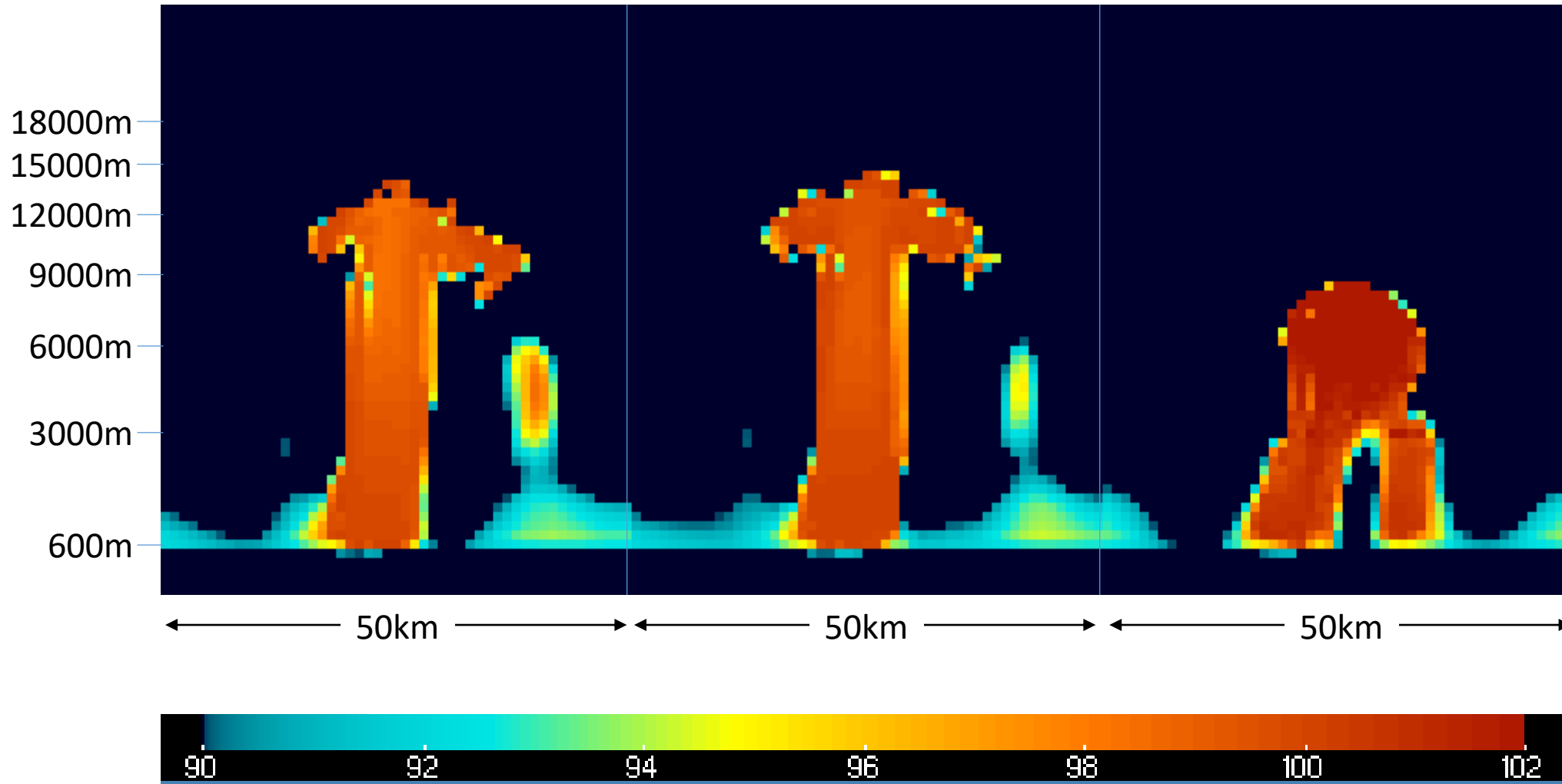
# Relative Humidity (%)

36 min

2M scheme

SBM scheme  
with sat.adj after microphysics

SBM scheme



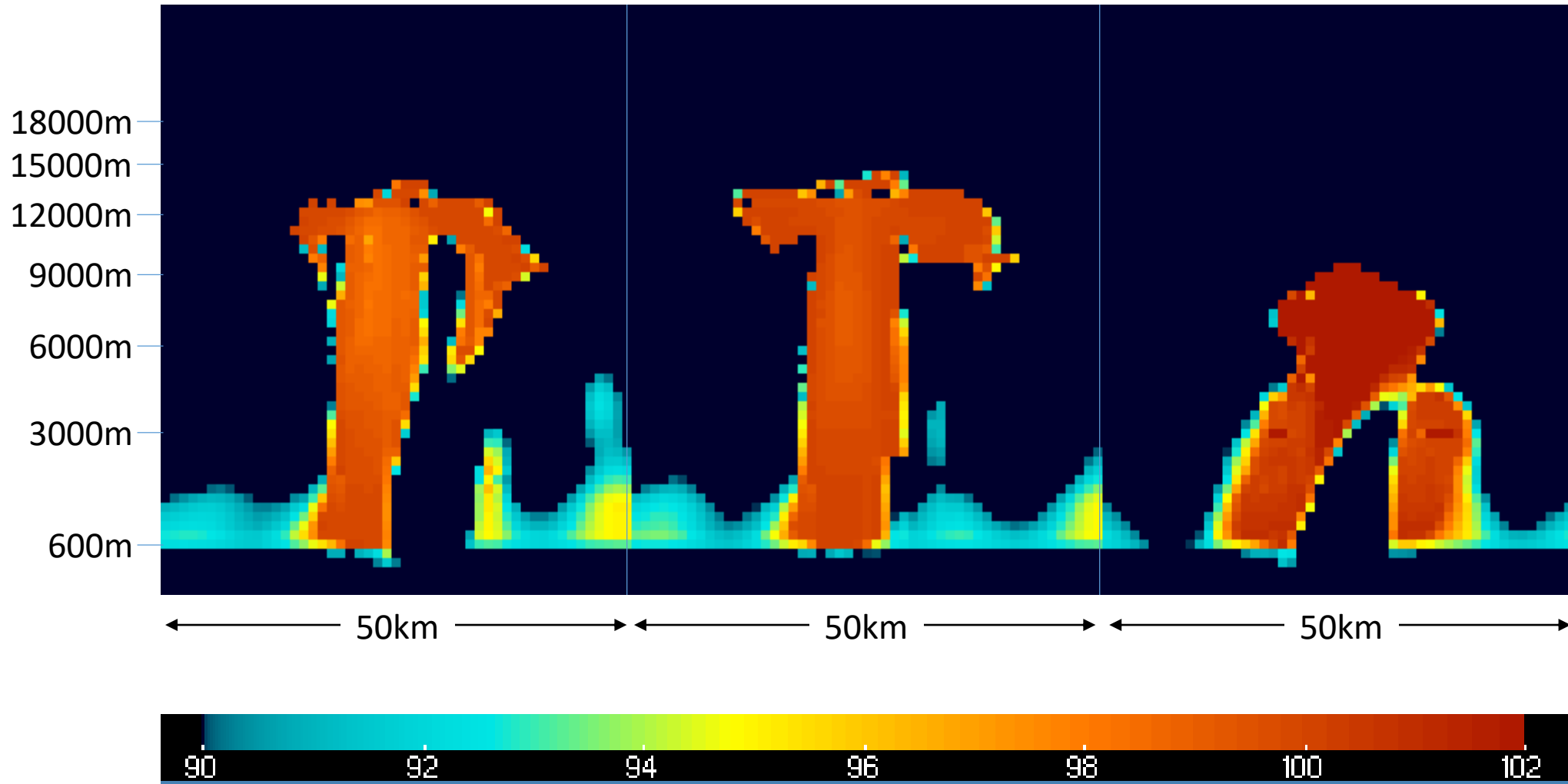
# Relative Humidity (%)

42 min

2M scheme

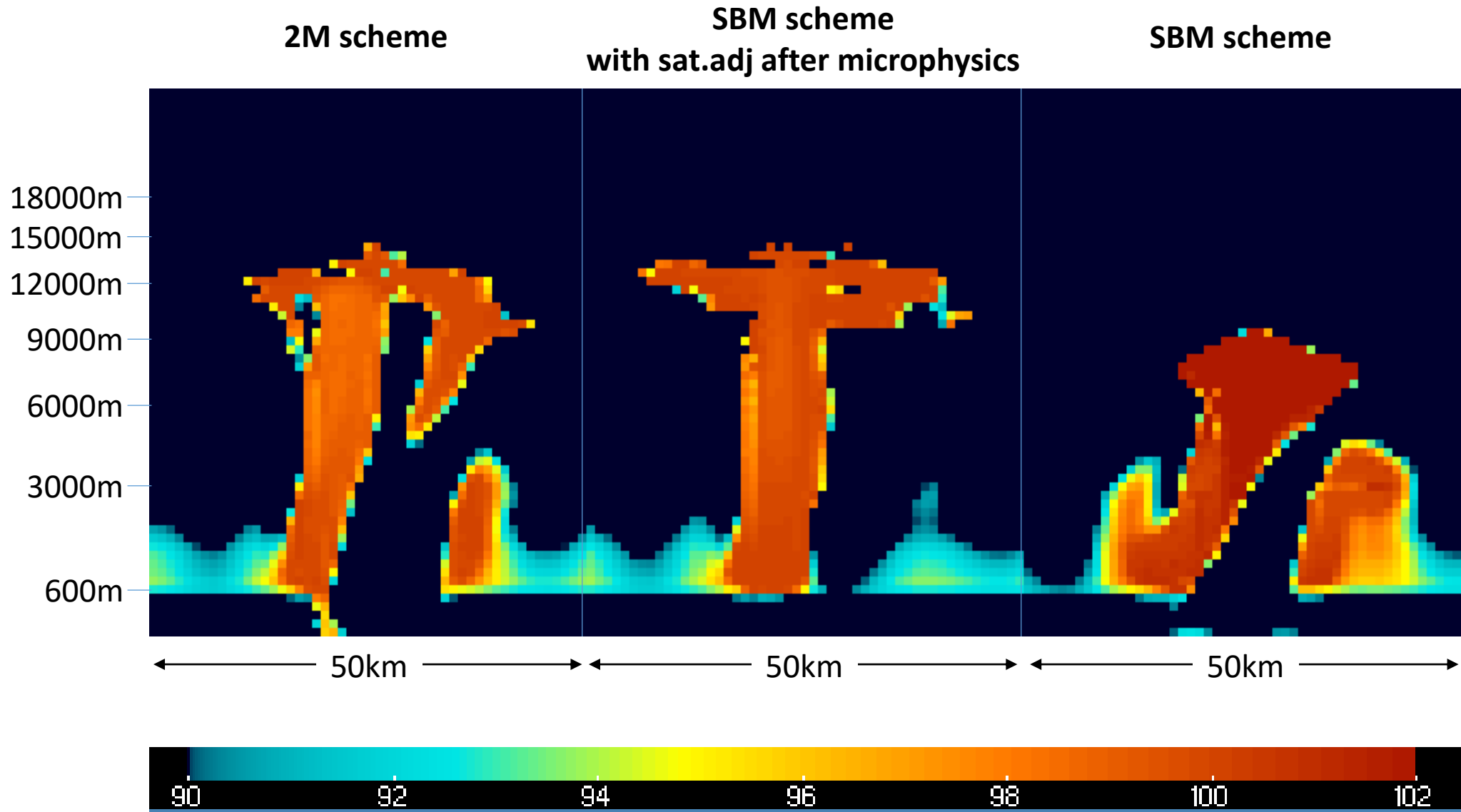
SBM scheme  
with sat.adj after microphysics

SBM scheme



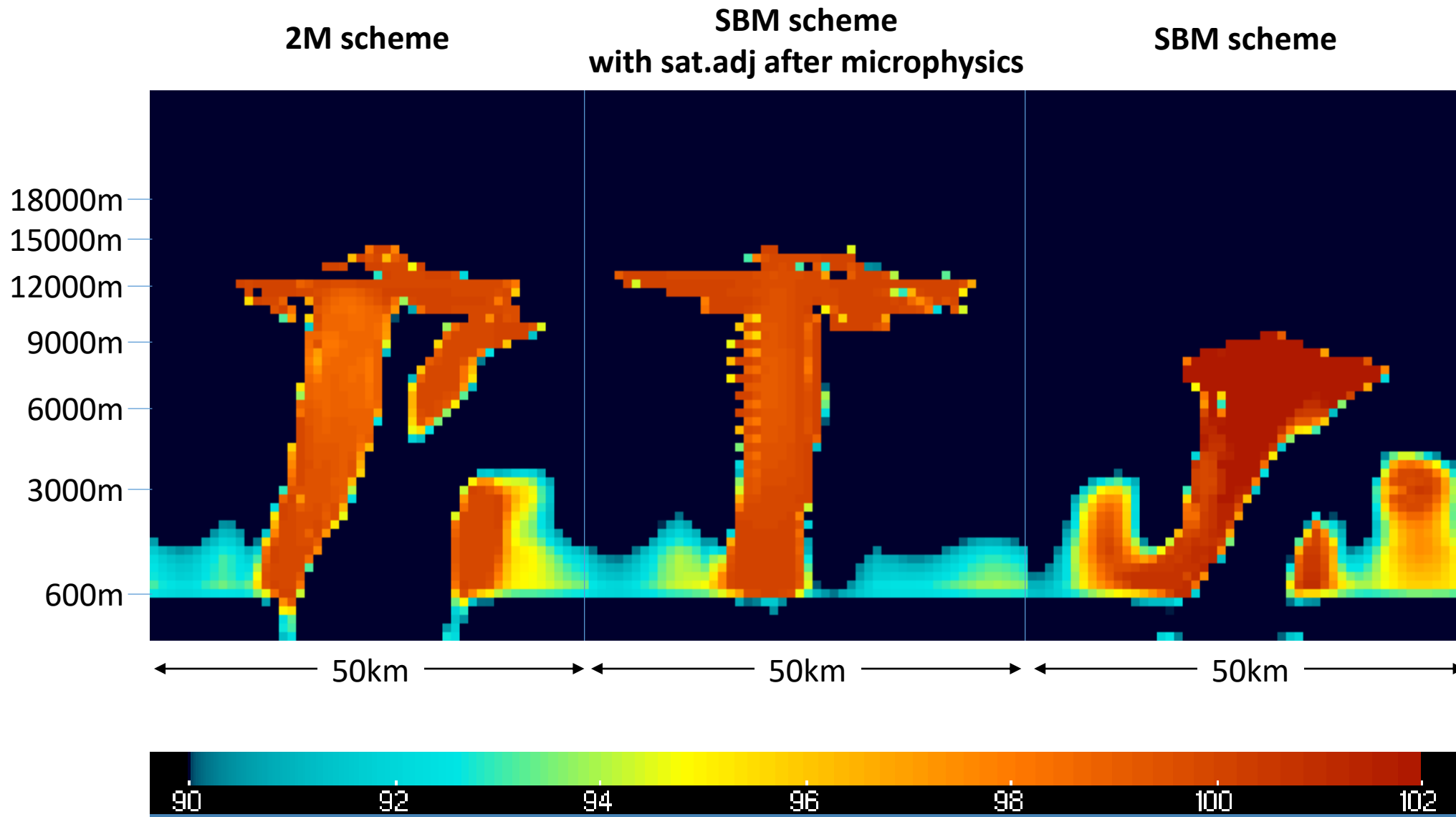
# Relative Humidity (%)

48 min



# Relative Humidity (%)

54 min





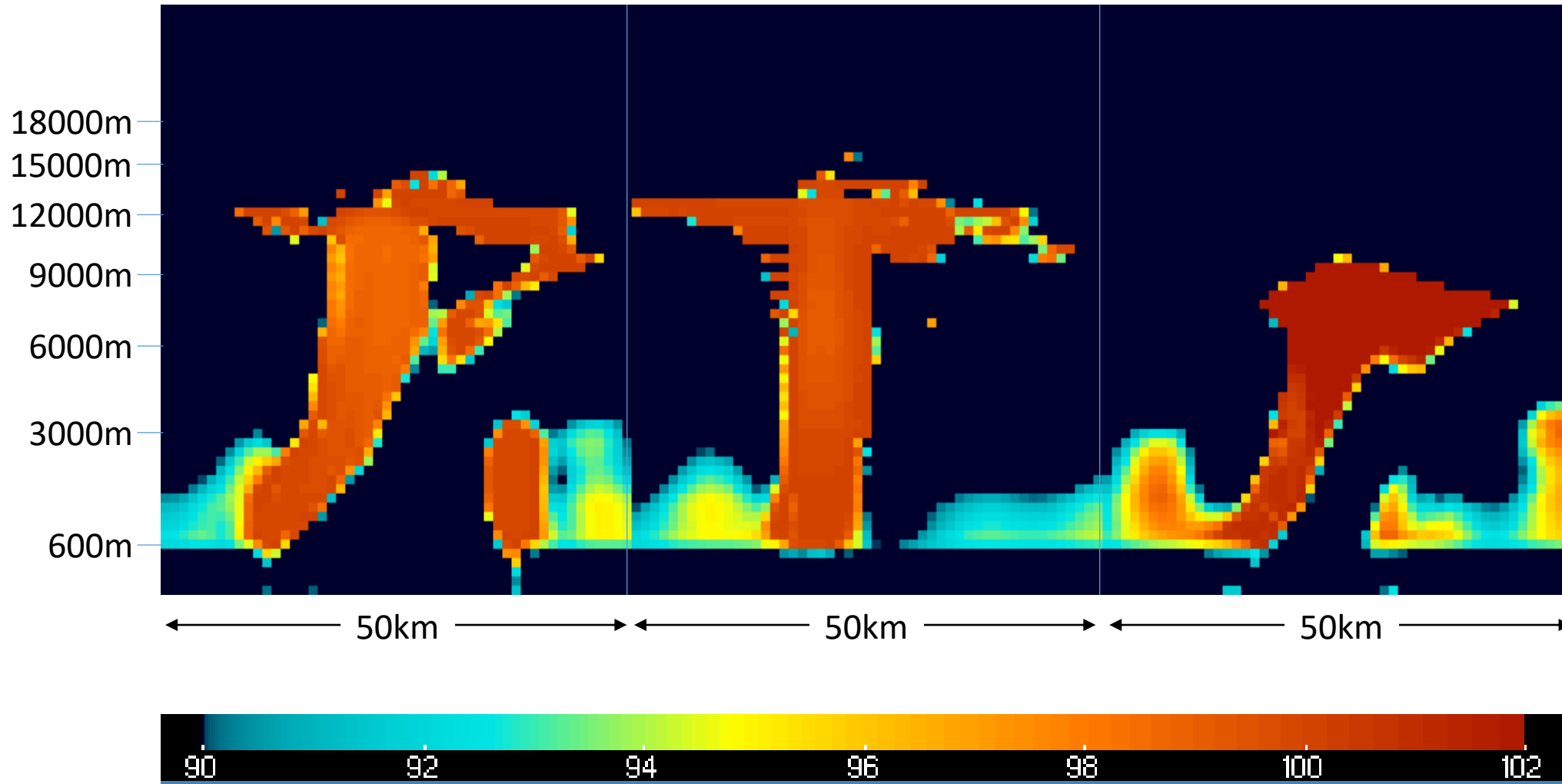
# Relative Humidity (%)

60 min

2M scheme

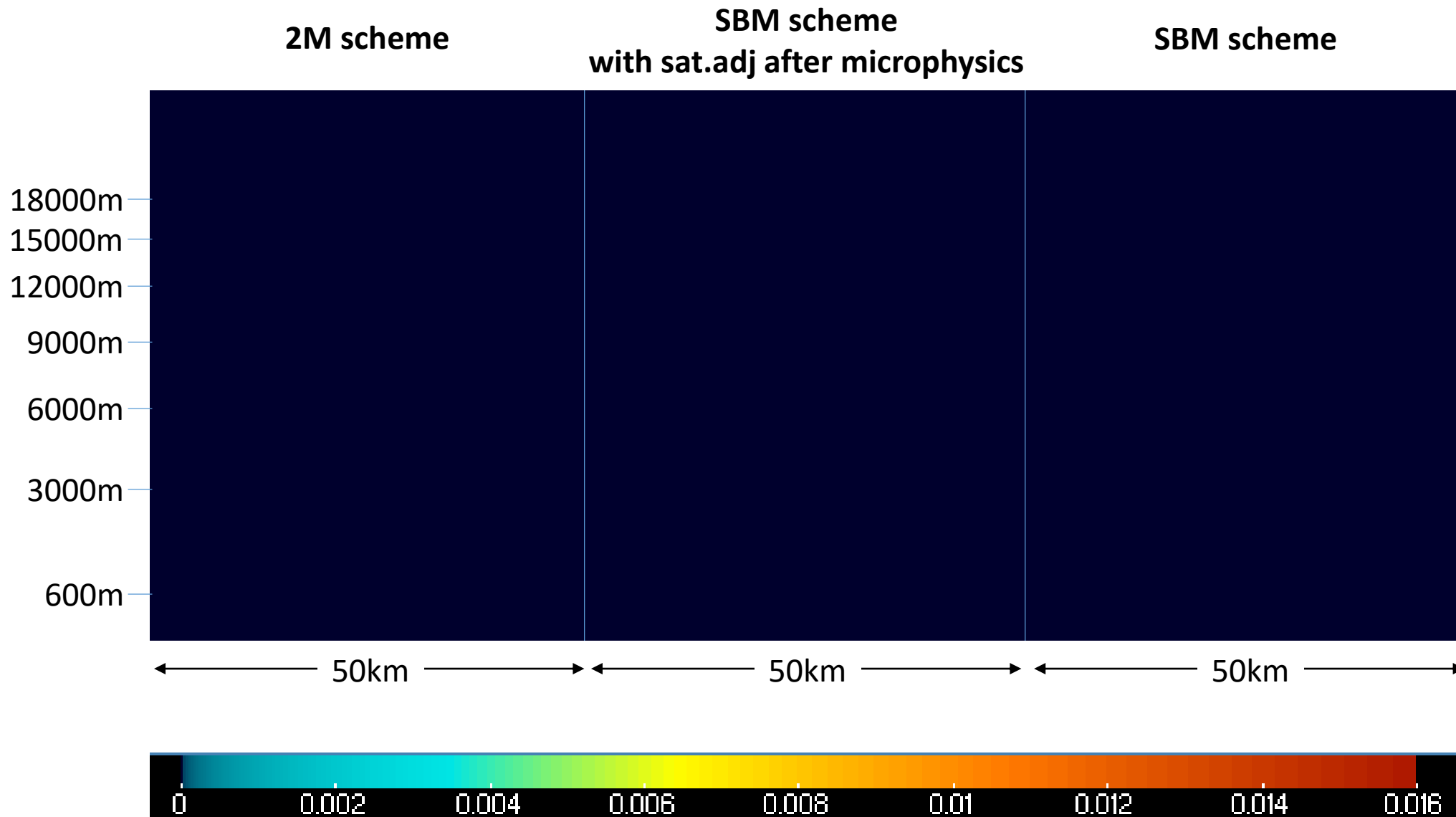
SBM scheme  
with sat.adj after microphysics

SBM scheme



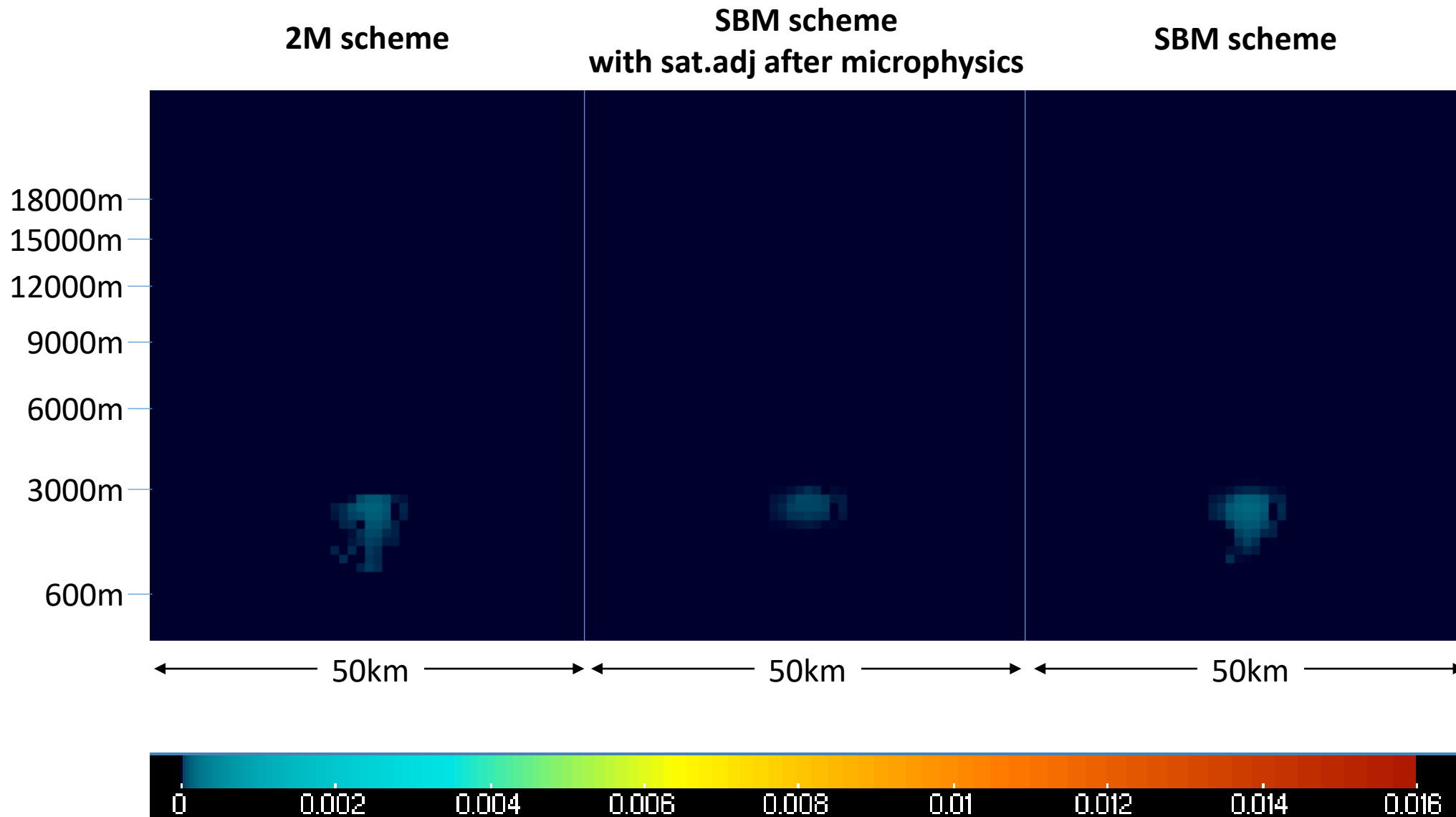
# Cloud + rain water content (kg/kg)

0 min



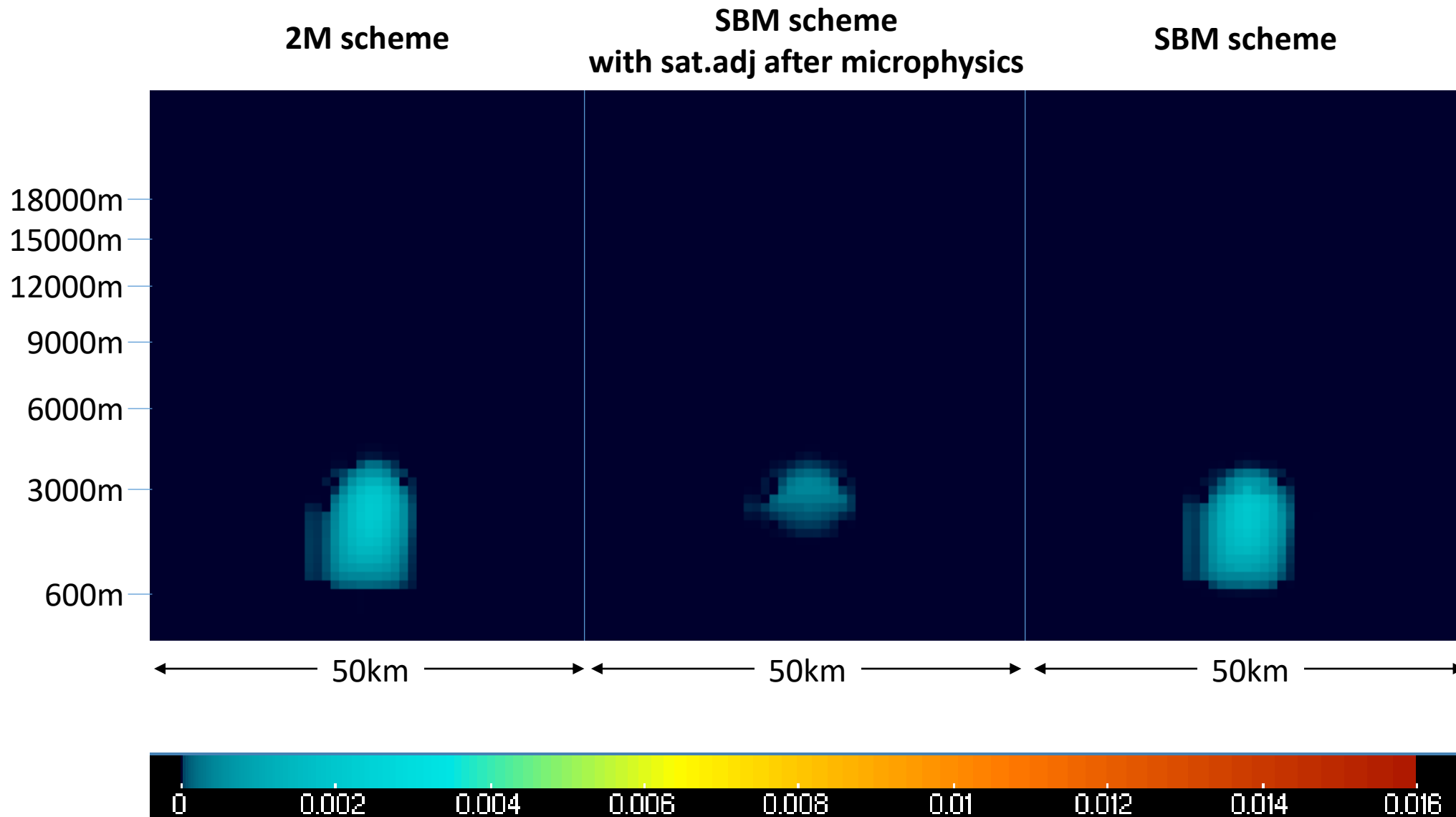
# Cloud + rain water content (kg/kg)

6 min



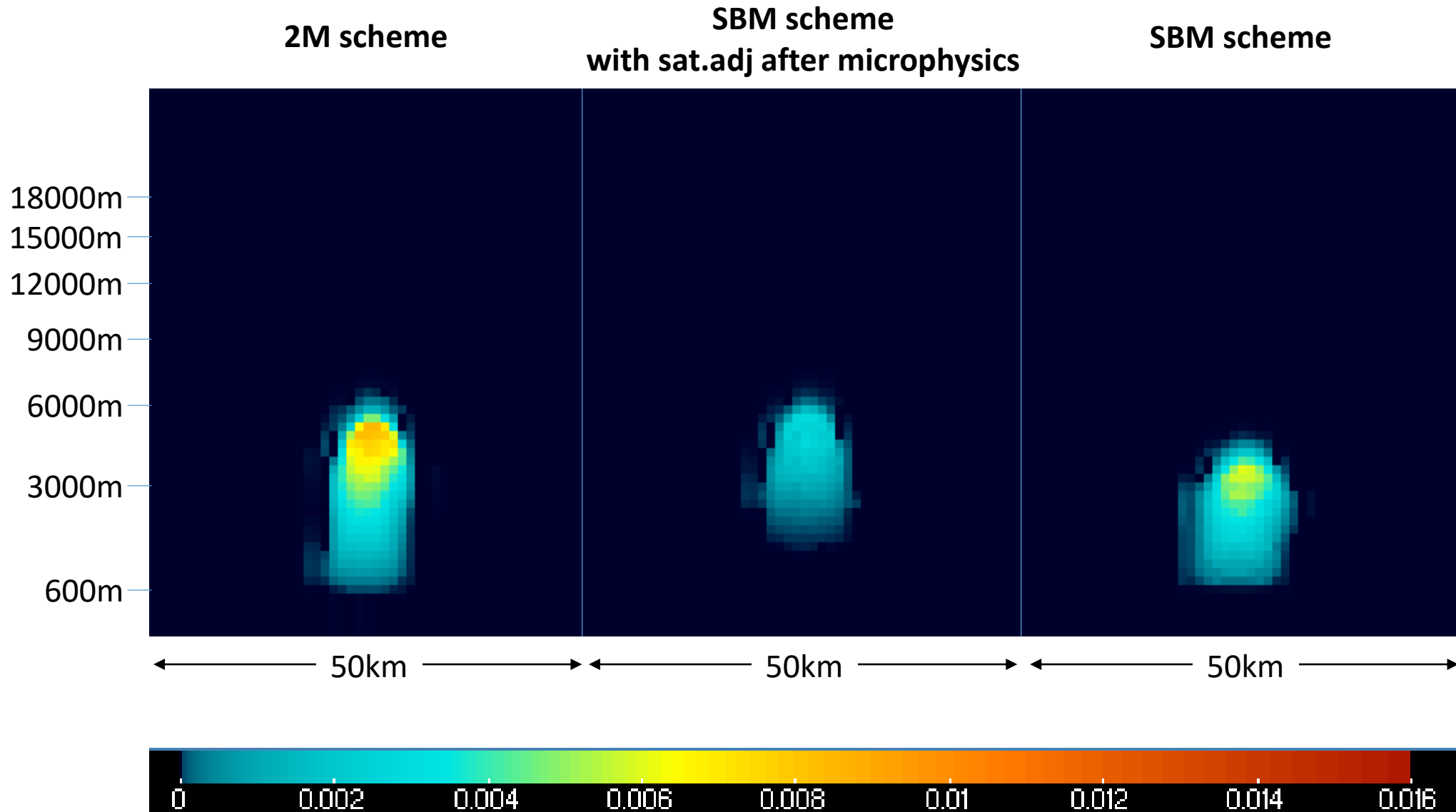
# Cloud + rain water content (kg/kg)

12 min



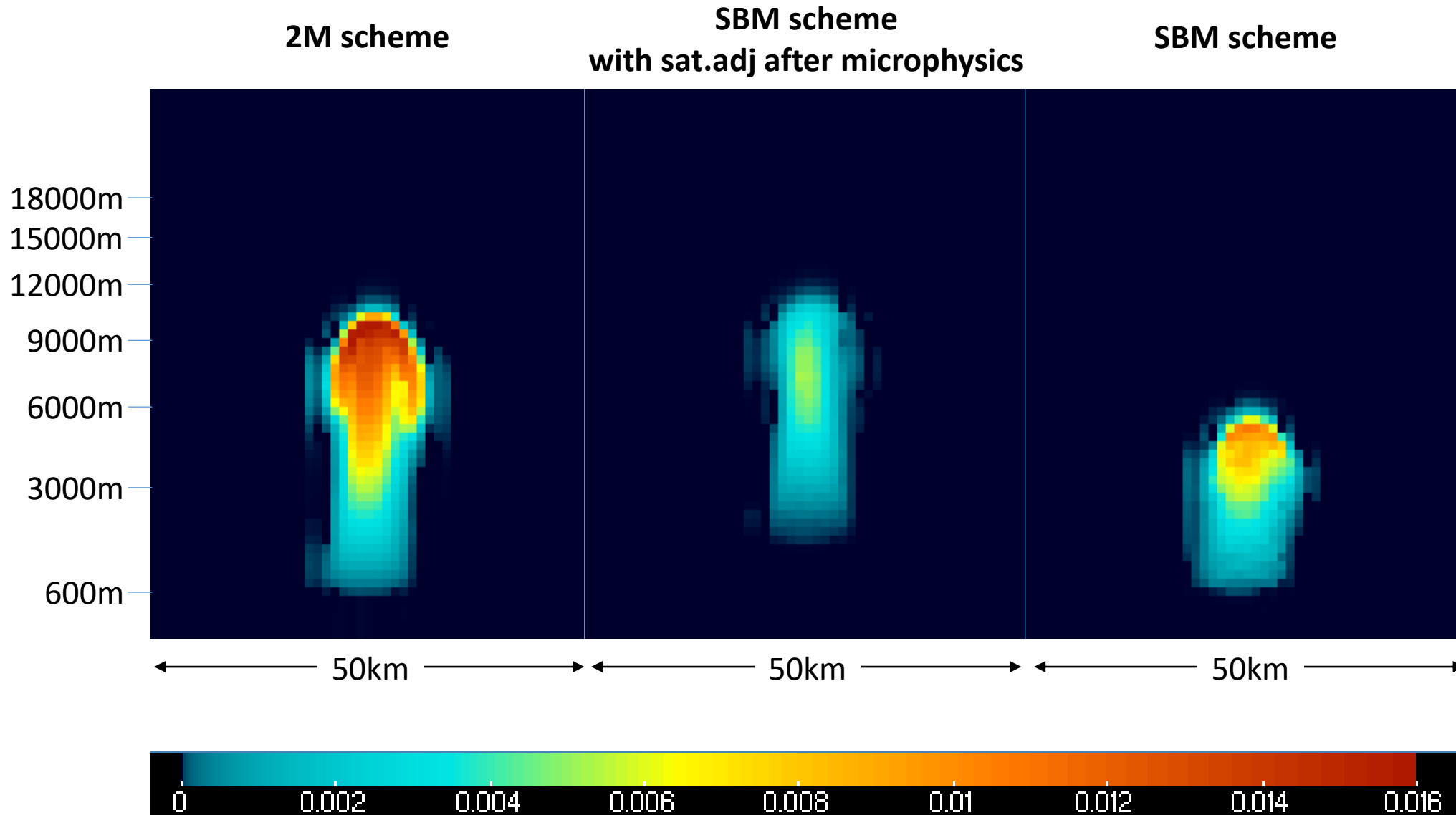
# Cloud + rain water content (kg/kg)

18 min



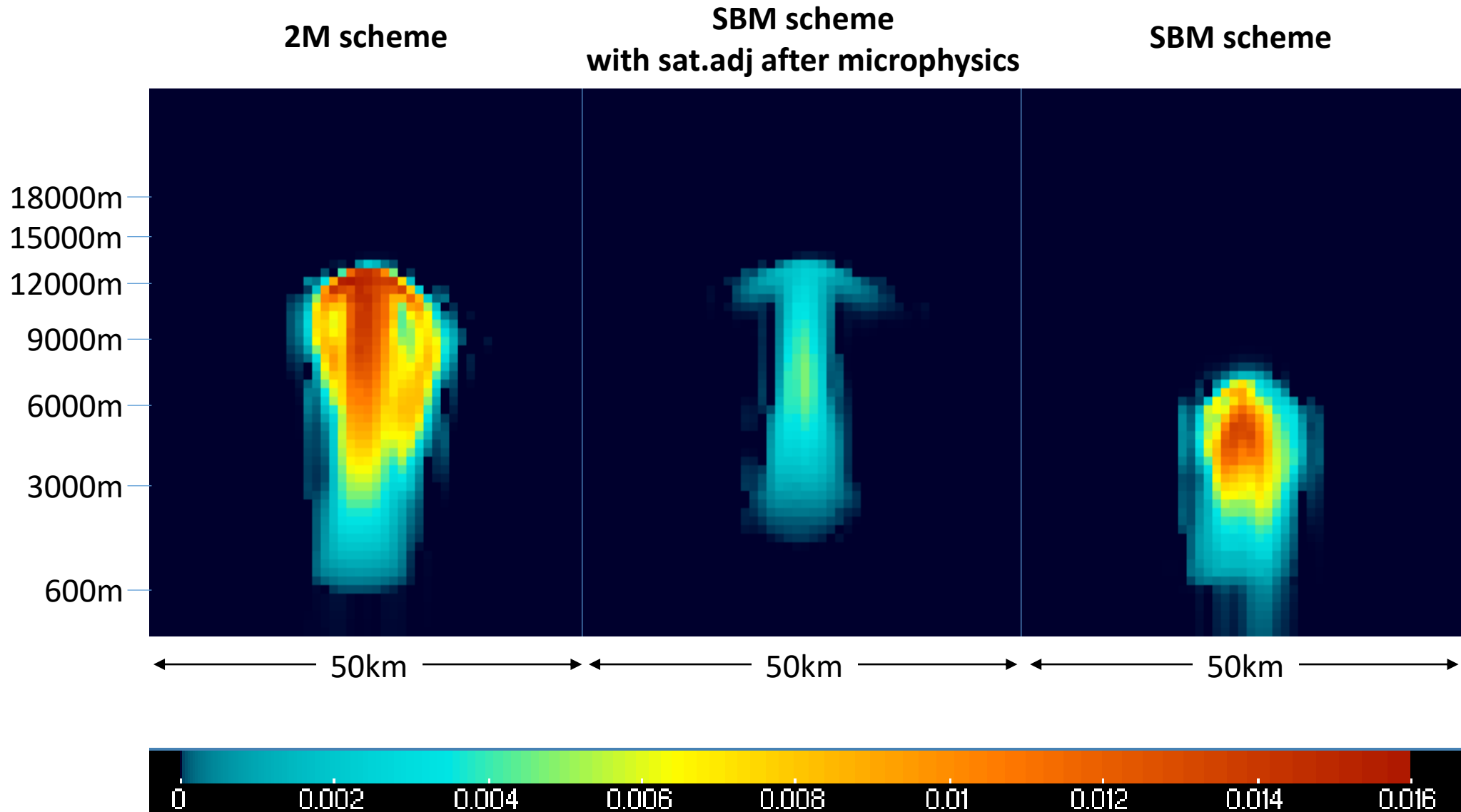
# Cloud + rain water content (kg/kg)

24 min



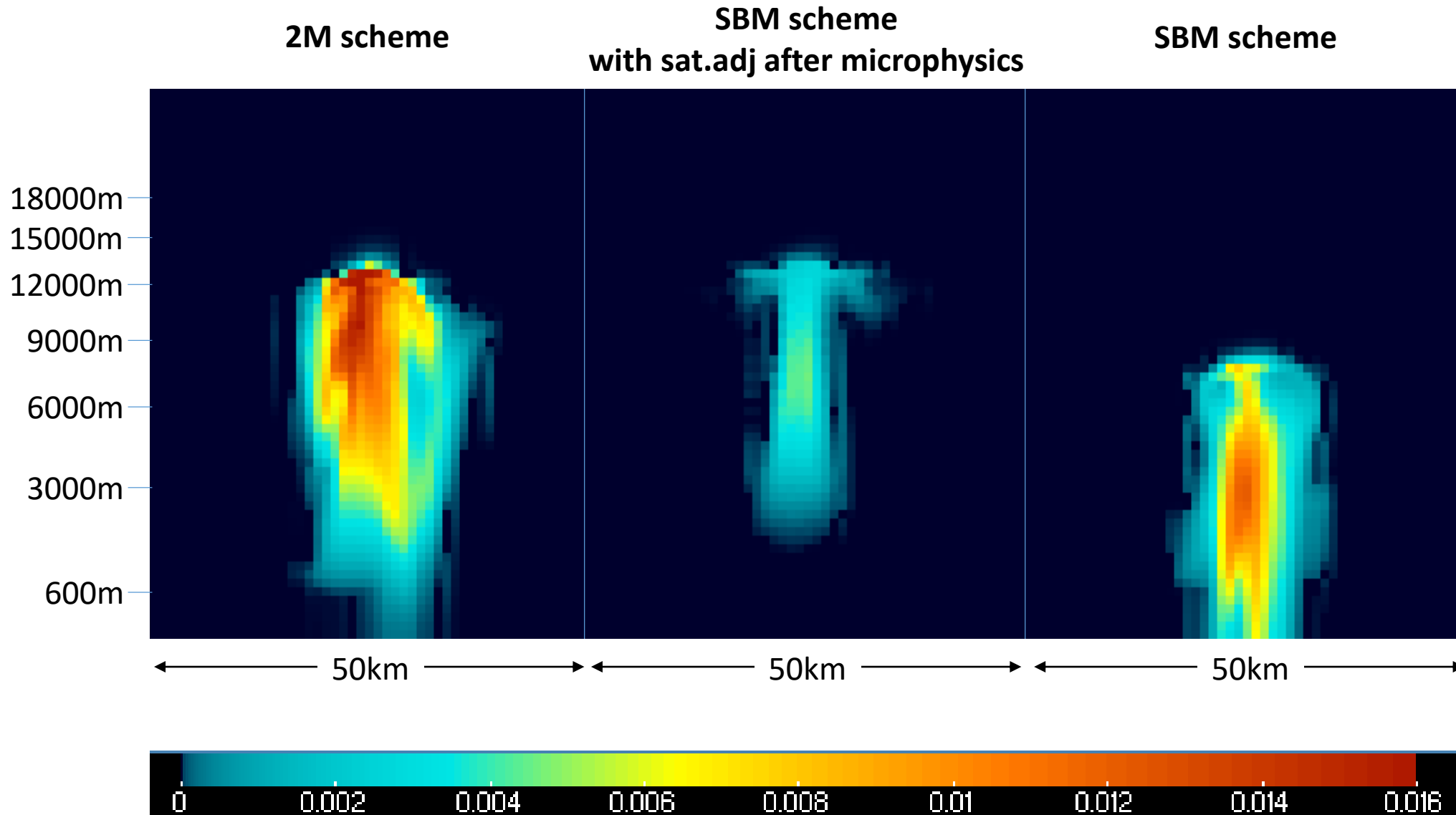
# Cloud + rain water content (kg/kg)

30 min



# Cloud + rain water content (kg/kg)

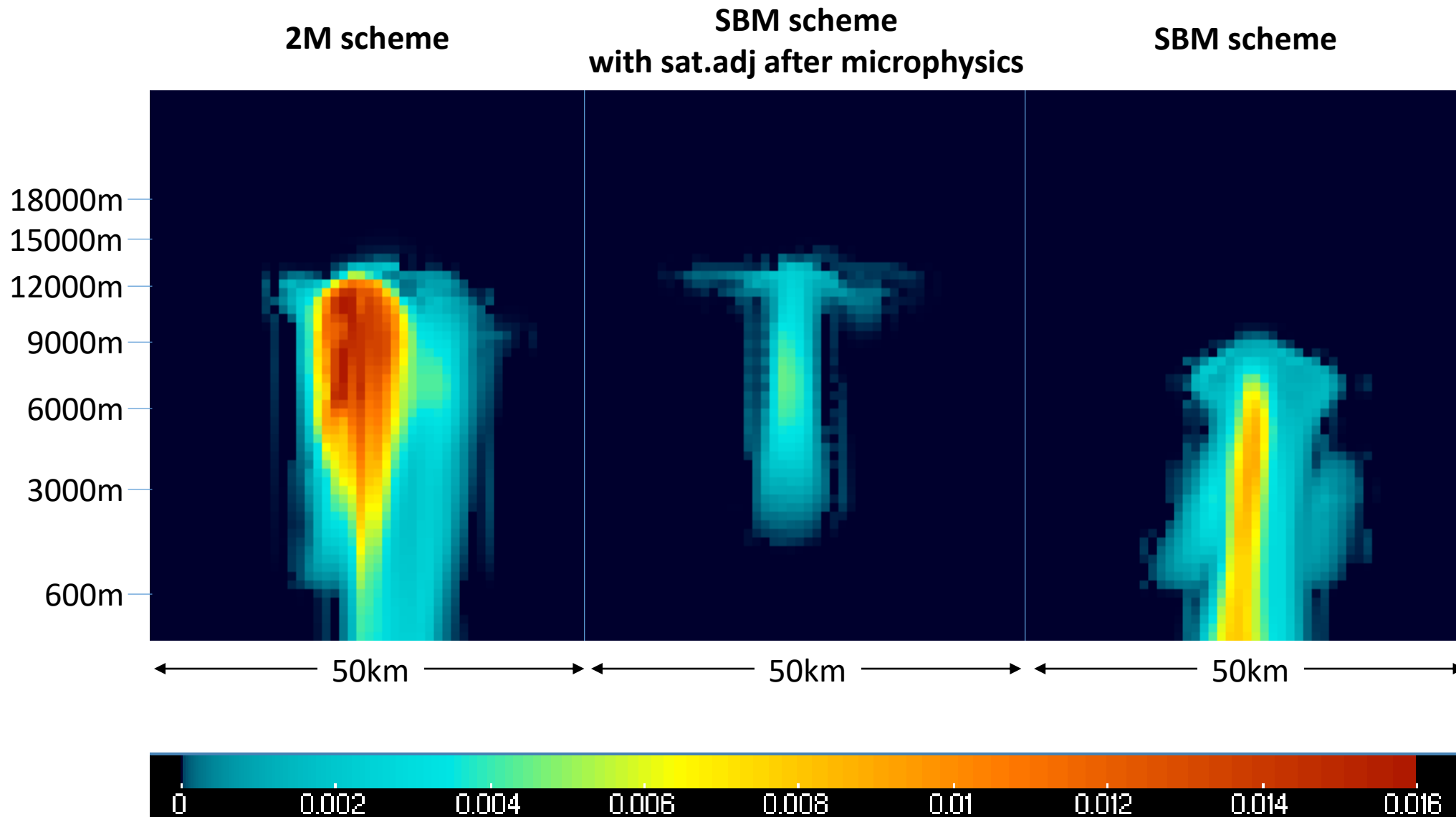
36 min





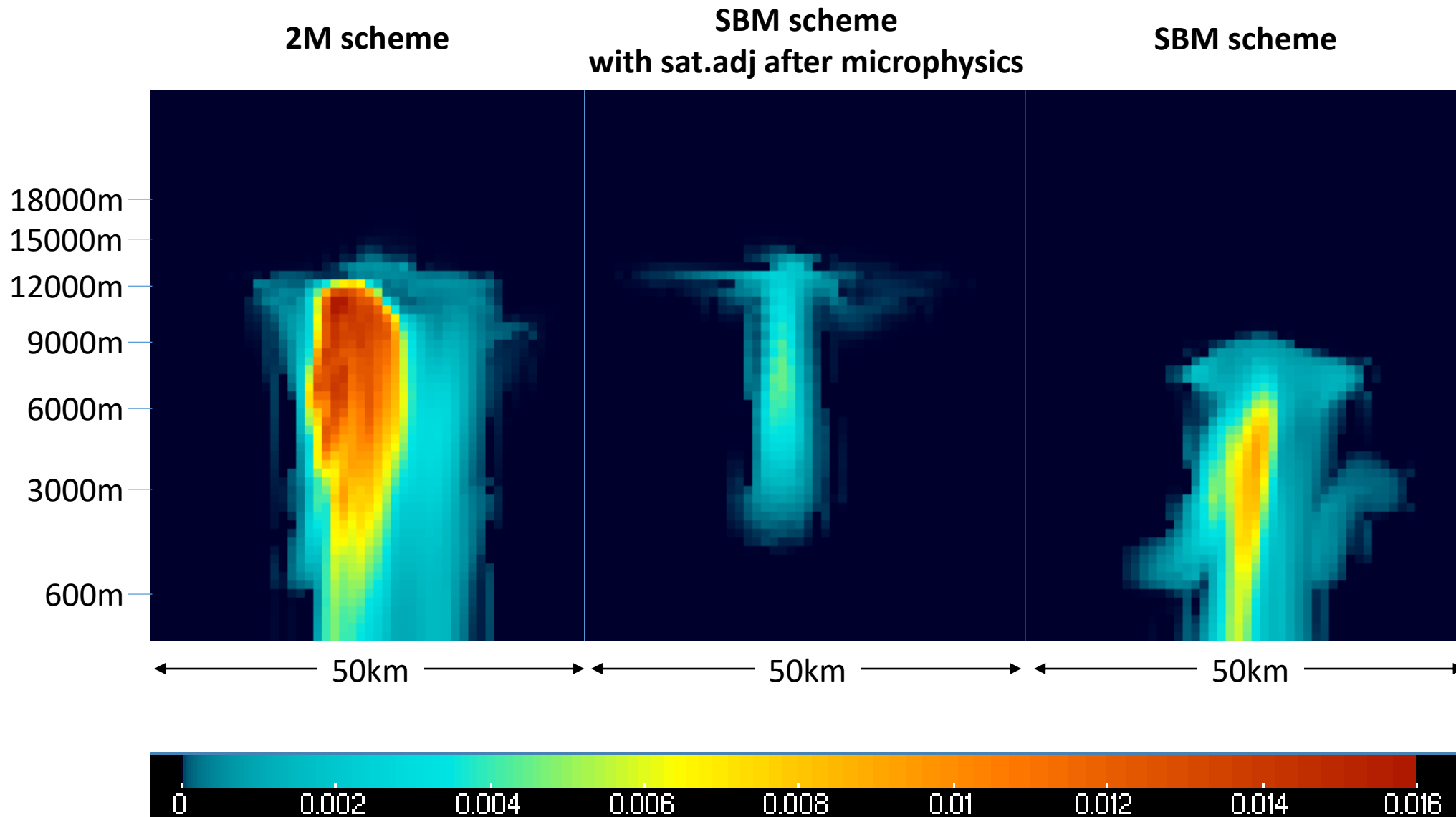
# Cloud + rain water content (kg/kg)

42 min



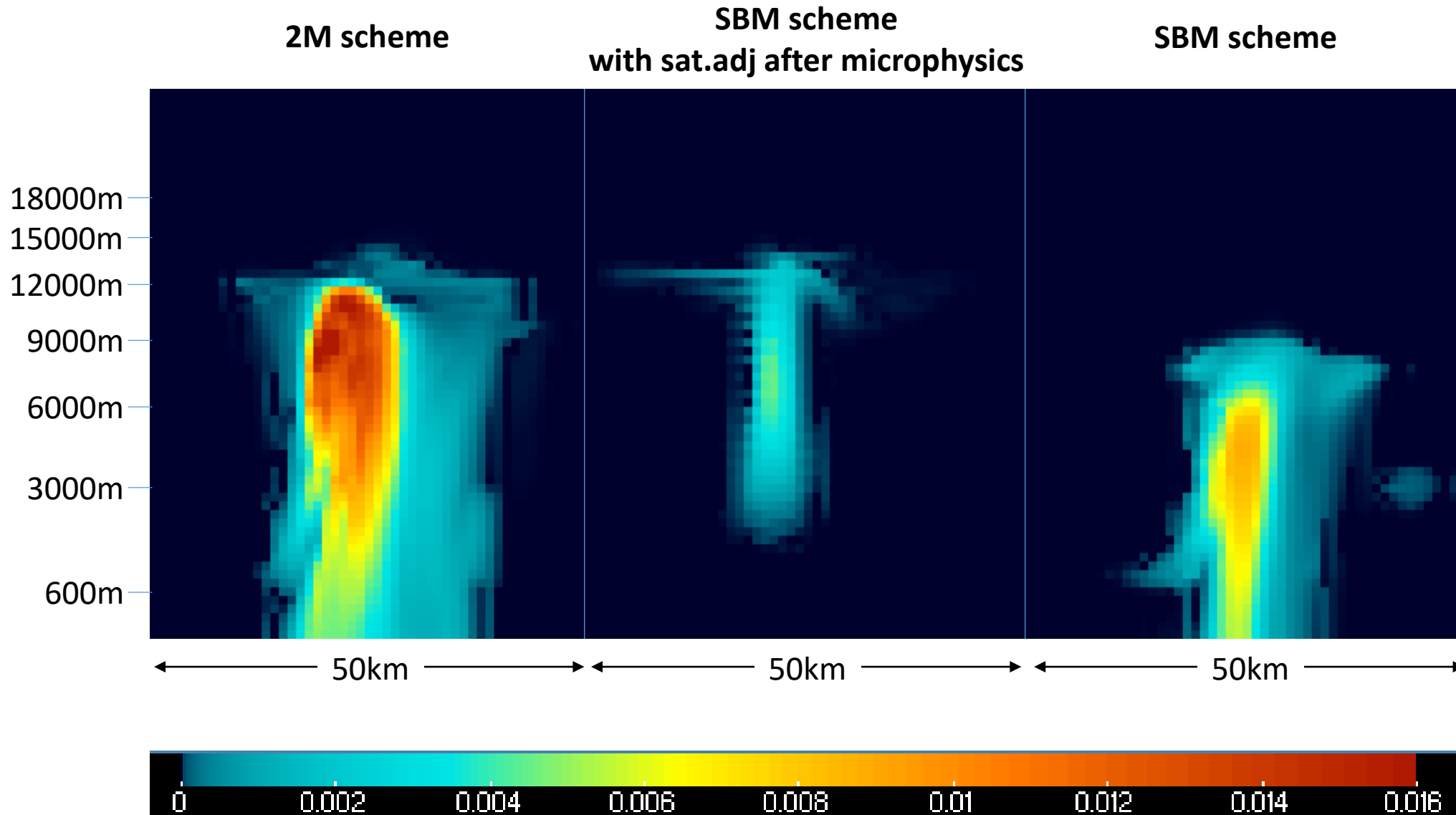
# Cloud + rain water content (kg/kg)

48 min



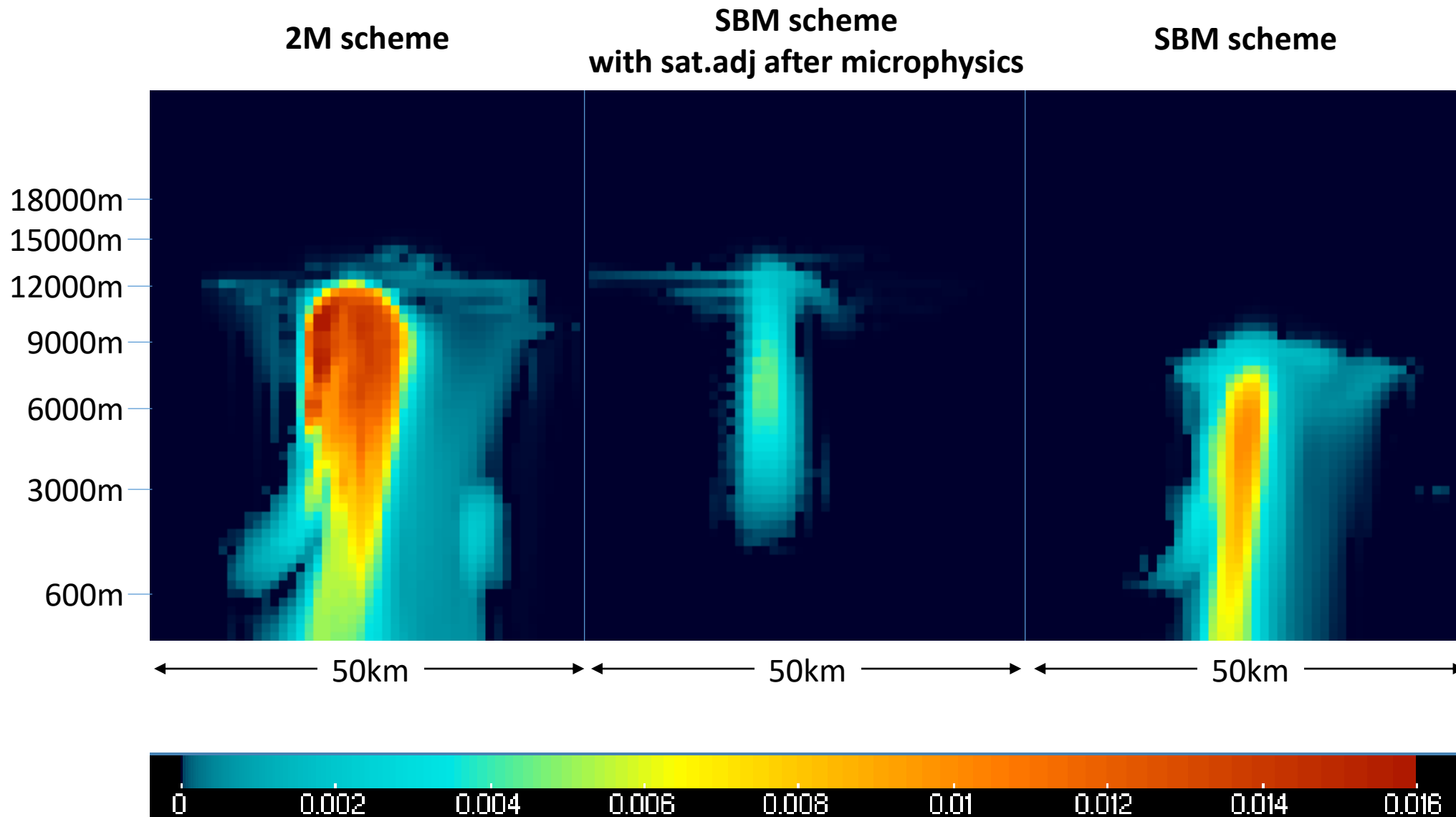
# Cloud + rain water content (kg/kg)

54 min



# Cloud + rain water content (kg/kg)

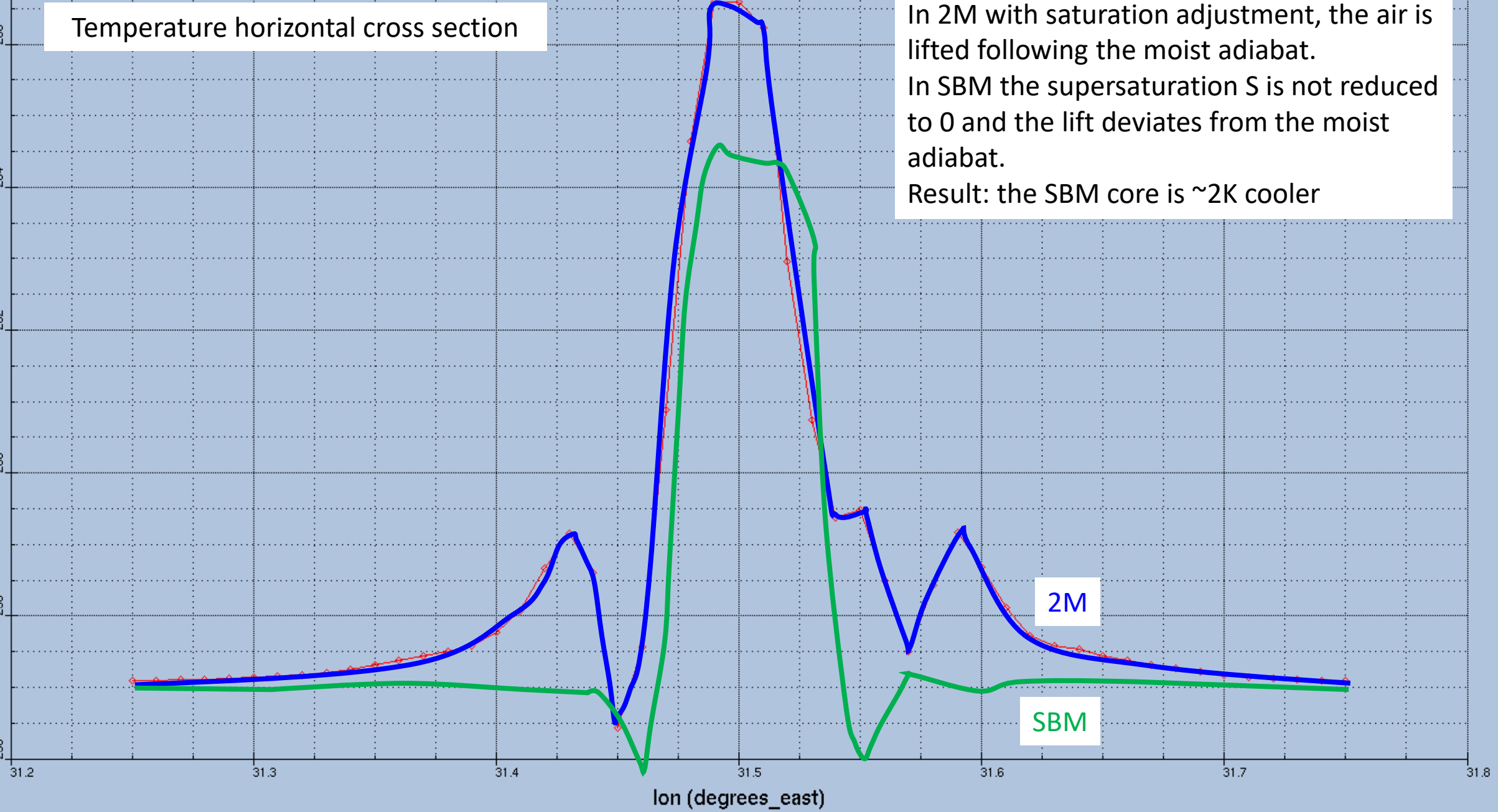
60 min



Temperature horizontal cross section

In 2M with saturation adjustment, the air is lifted following the moist adiabat.  
In SBM the supersaturation  $S$  is not reduced to 0 and the lift deviates from the moist adiabat.  
Result: the SBM core is  $\sim 2\text{K}$  cooler

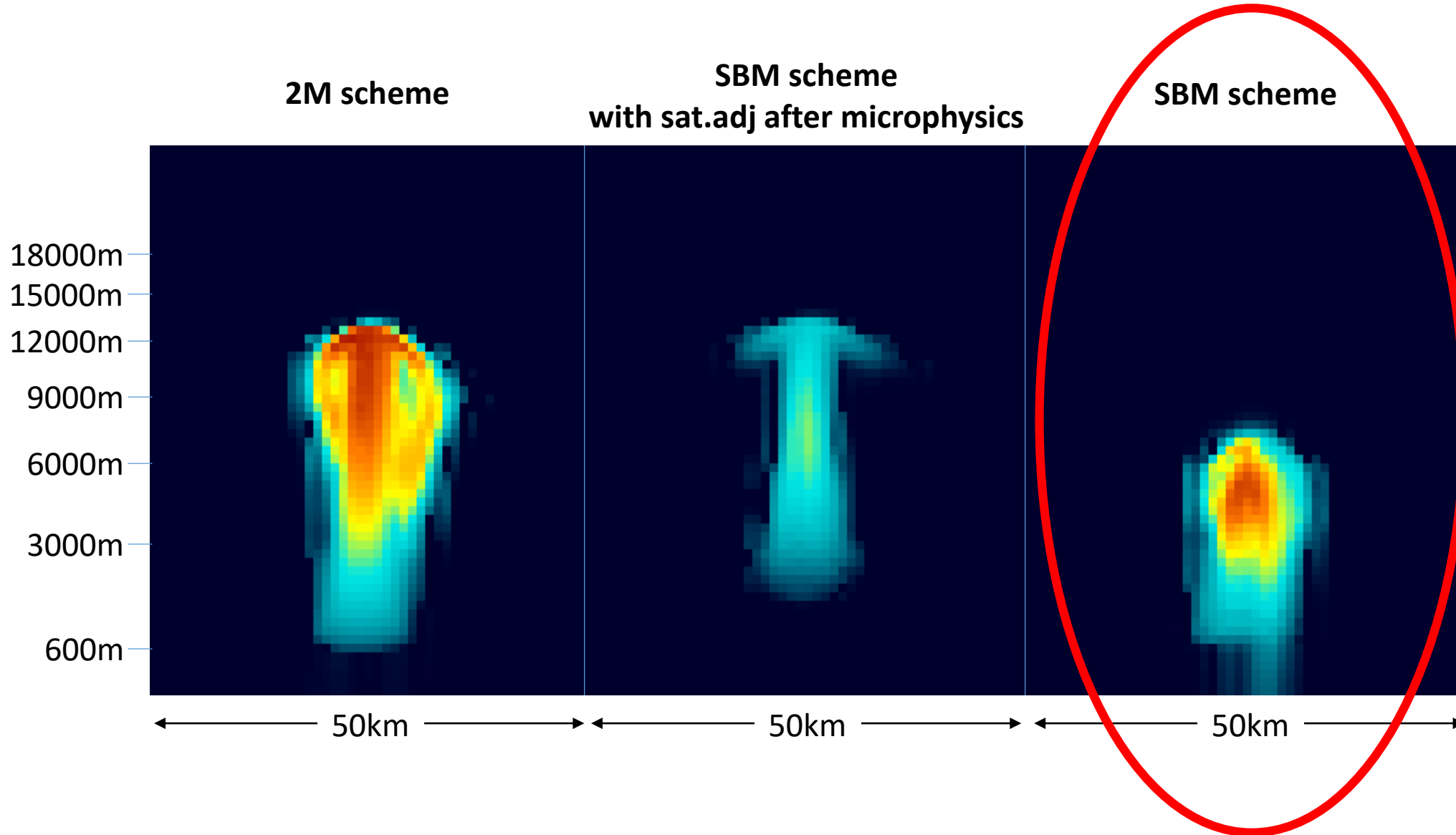
temp (K)

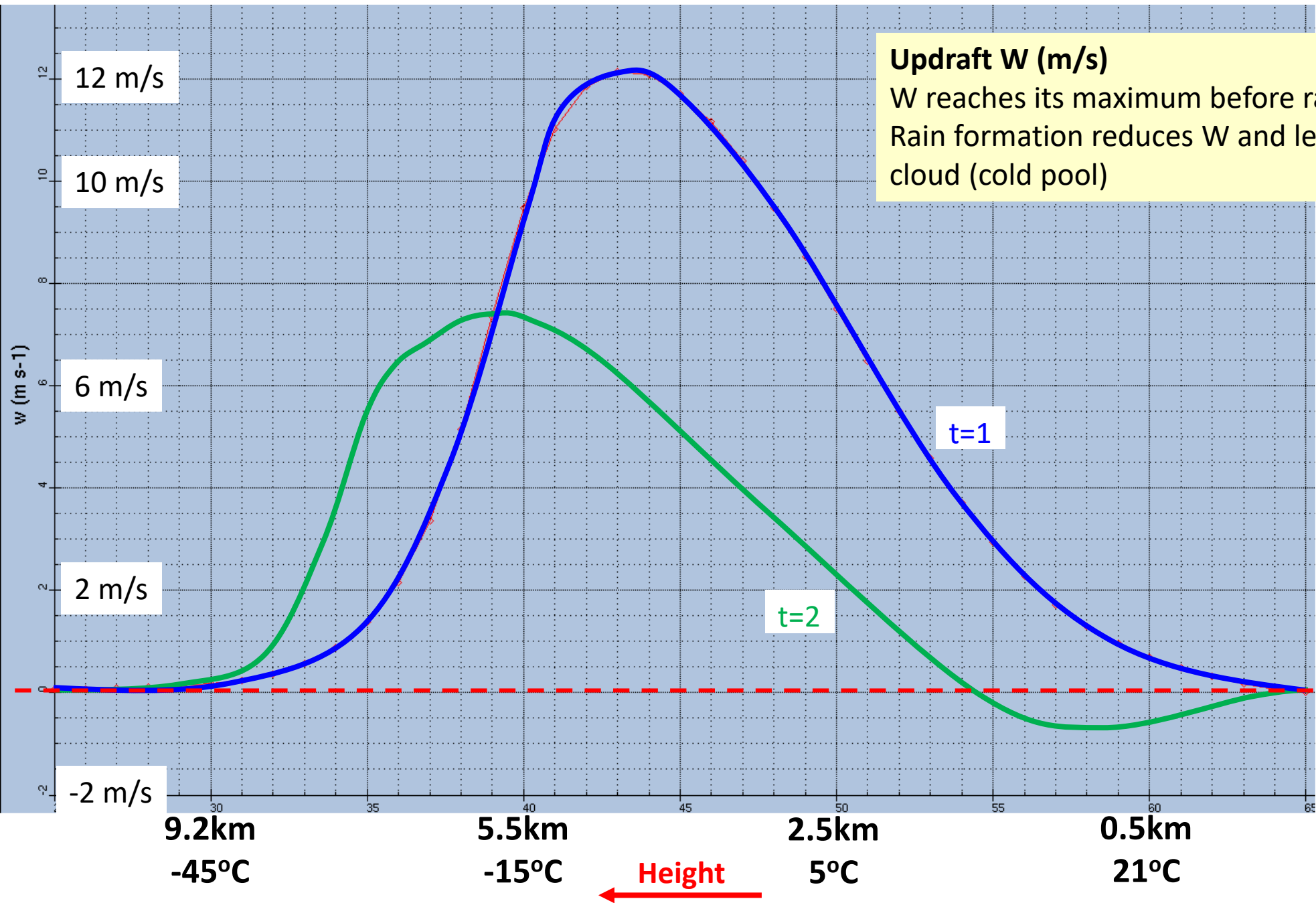


2M

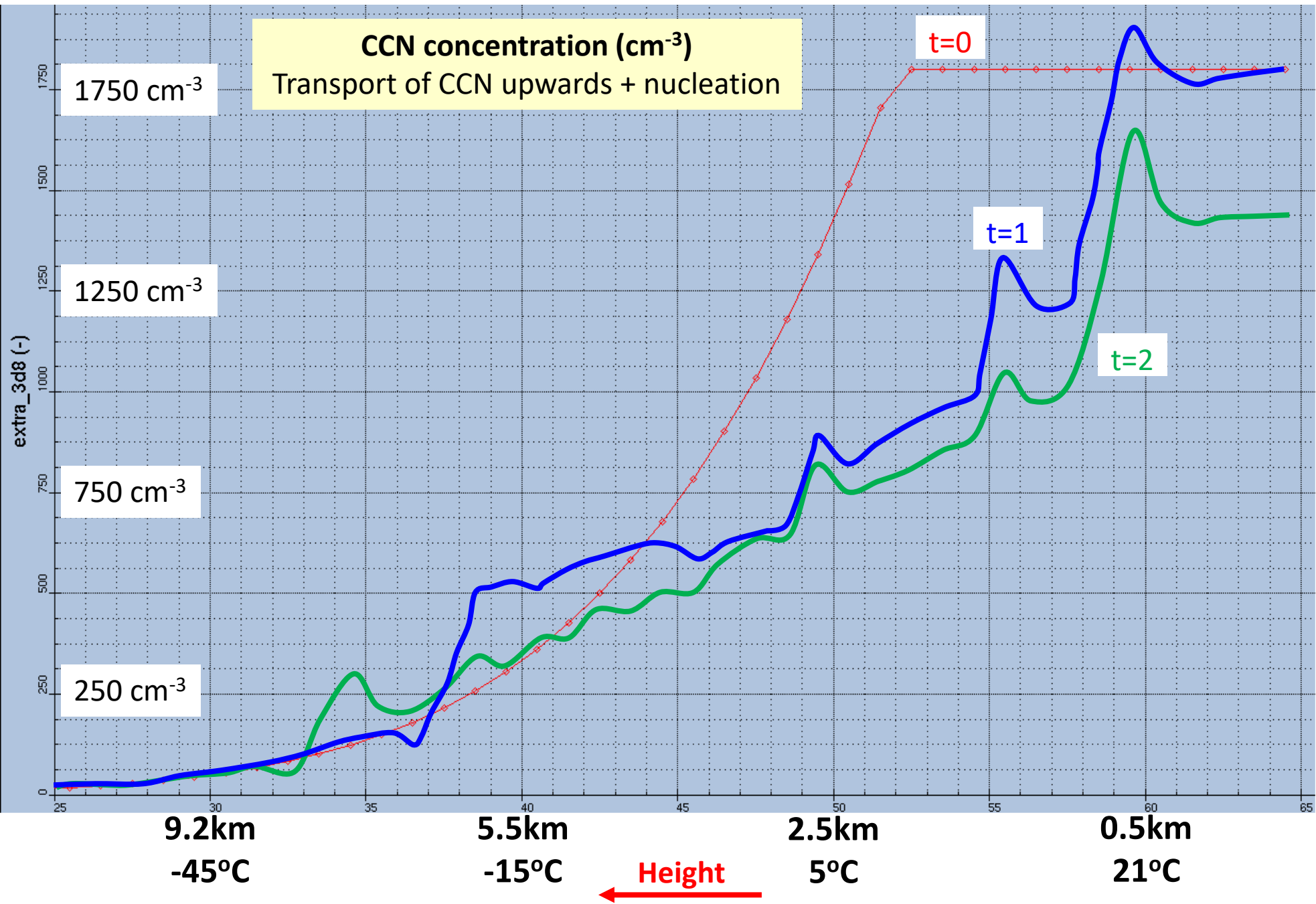
SBM

# Focus on SBM cumulonimbus development

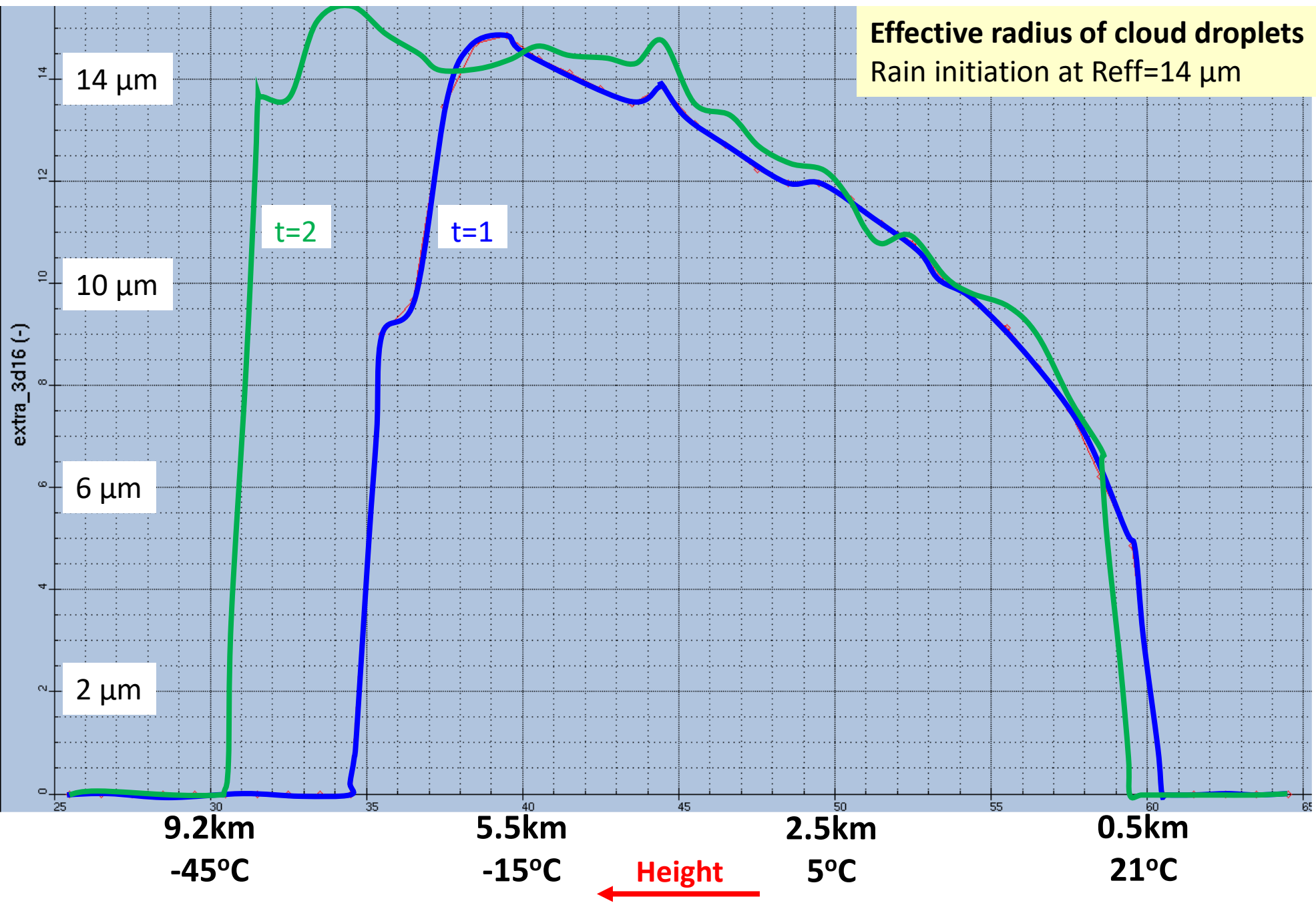


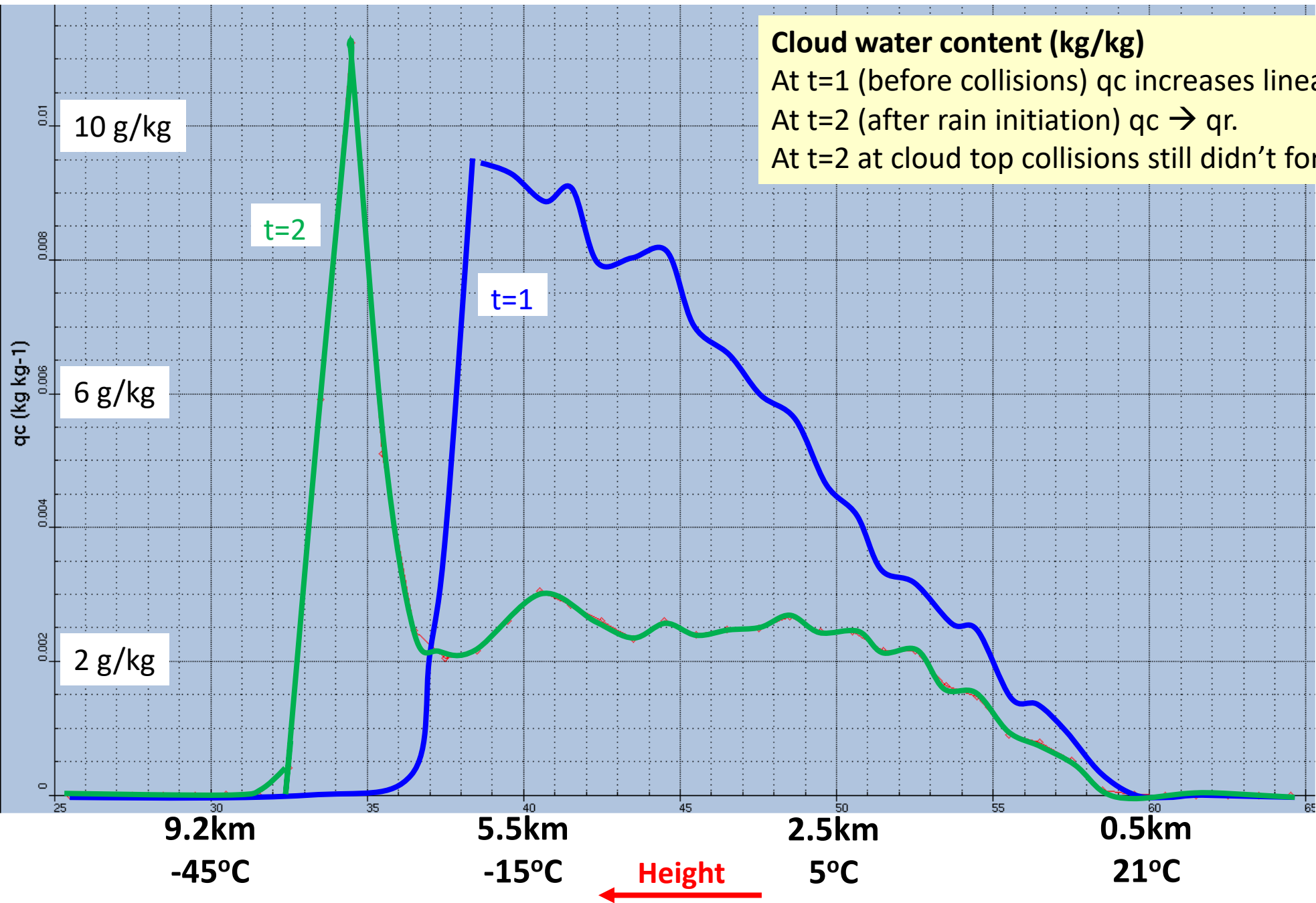


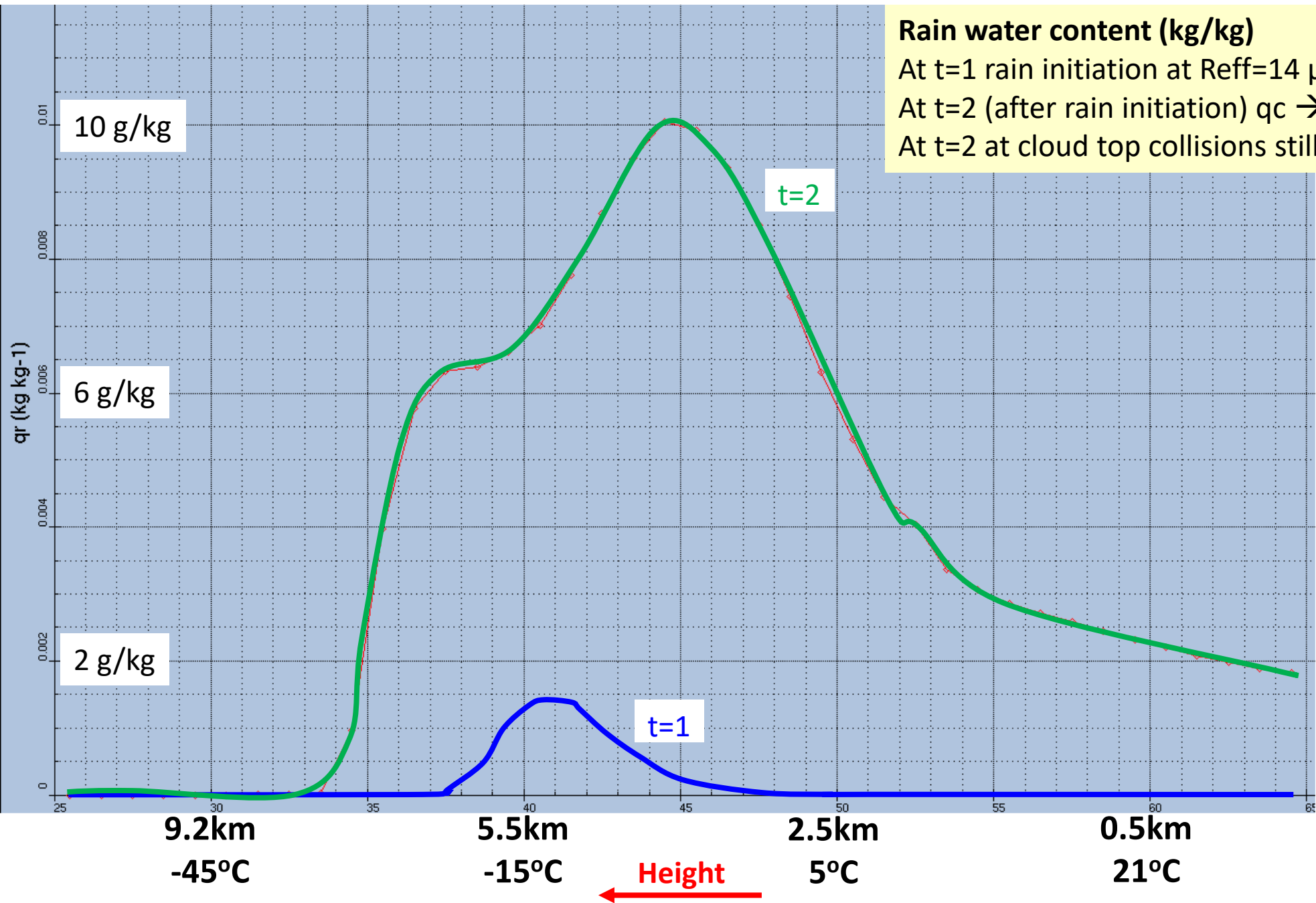
**Updraft  $W$  (m/s)**  
 $W$  reaches its maximum before rain initiation  
 Rain formation reduces  $W$  and leads to negative  $W$  below cloud (cold pool)



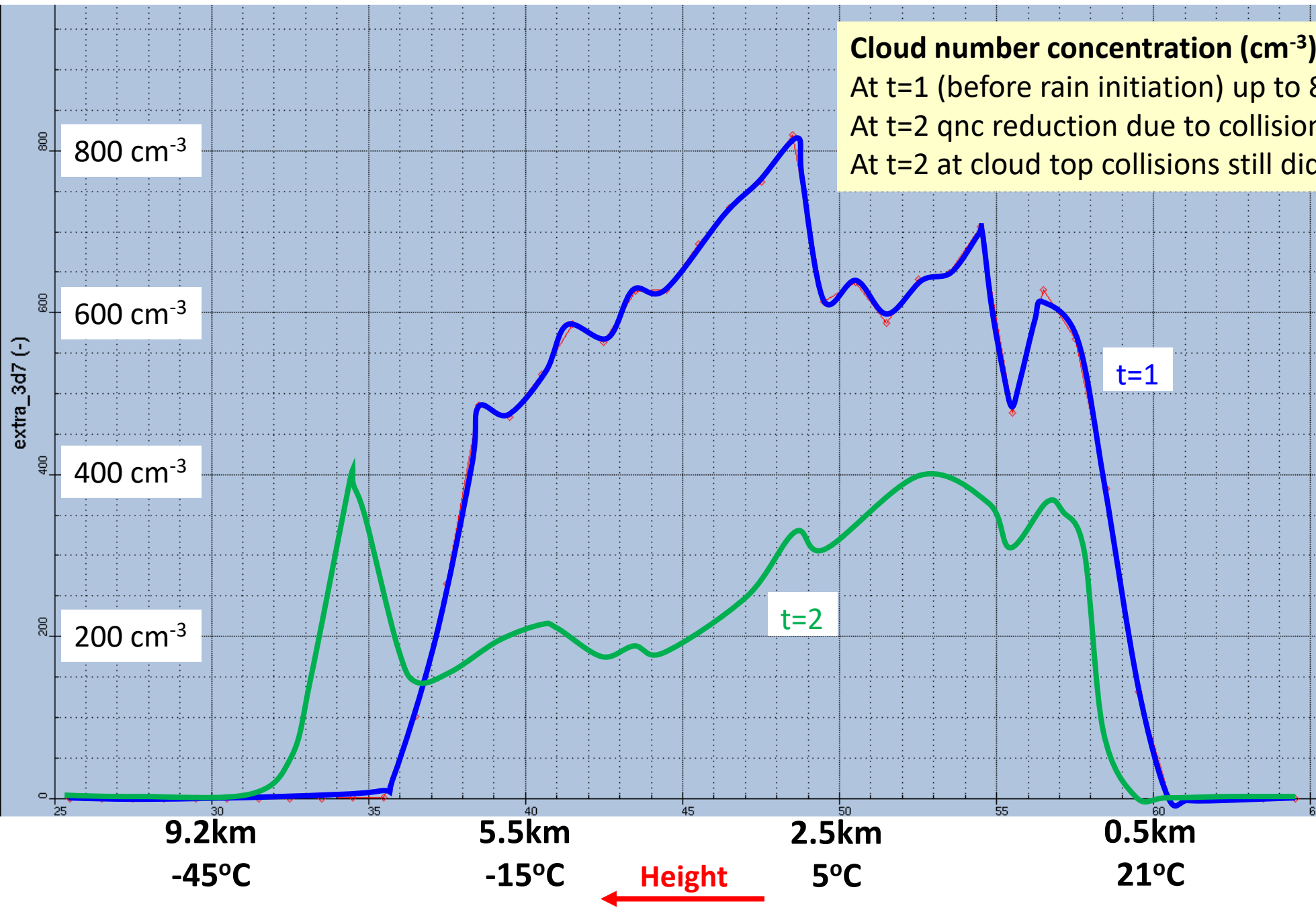


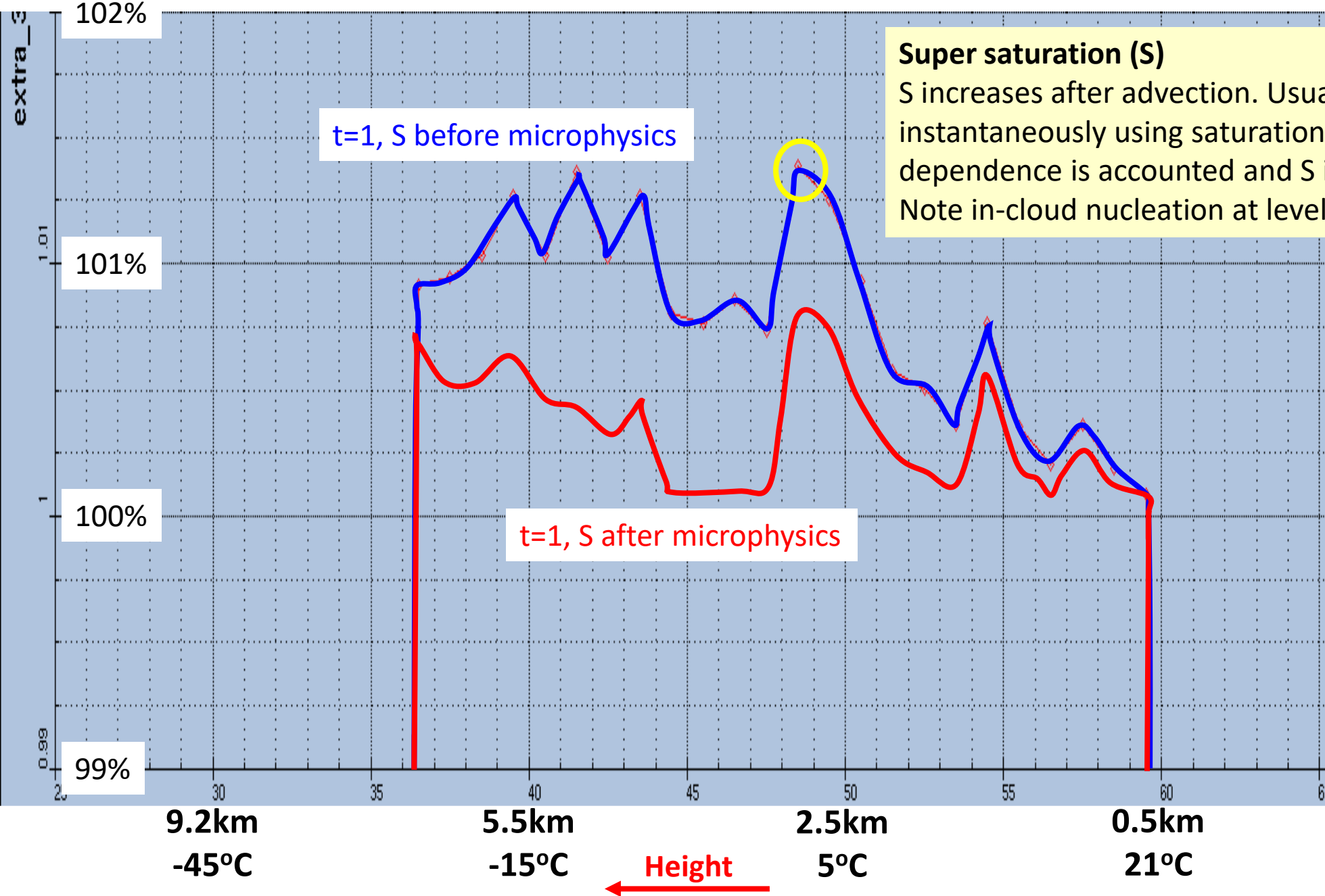






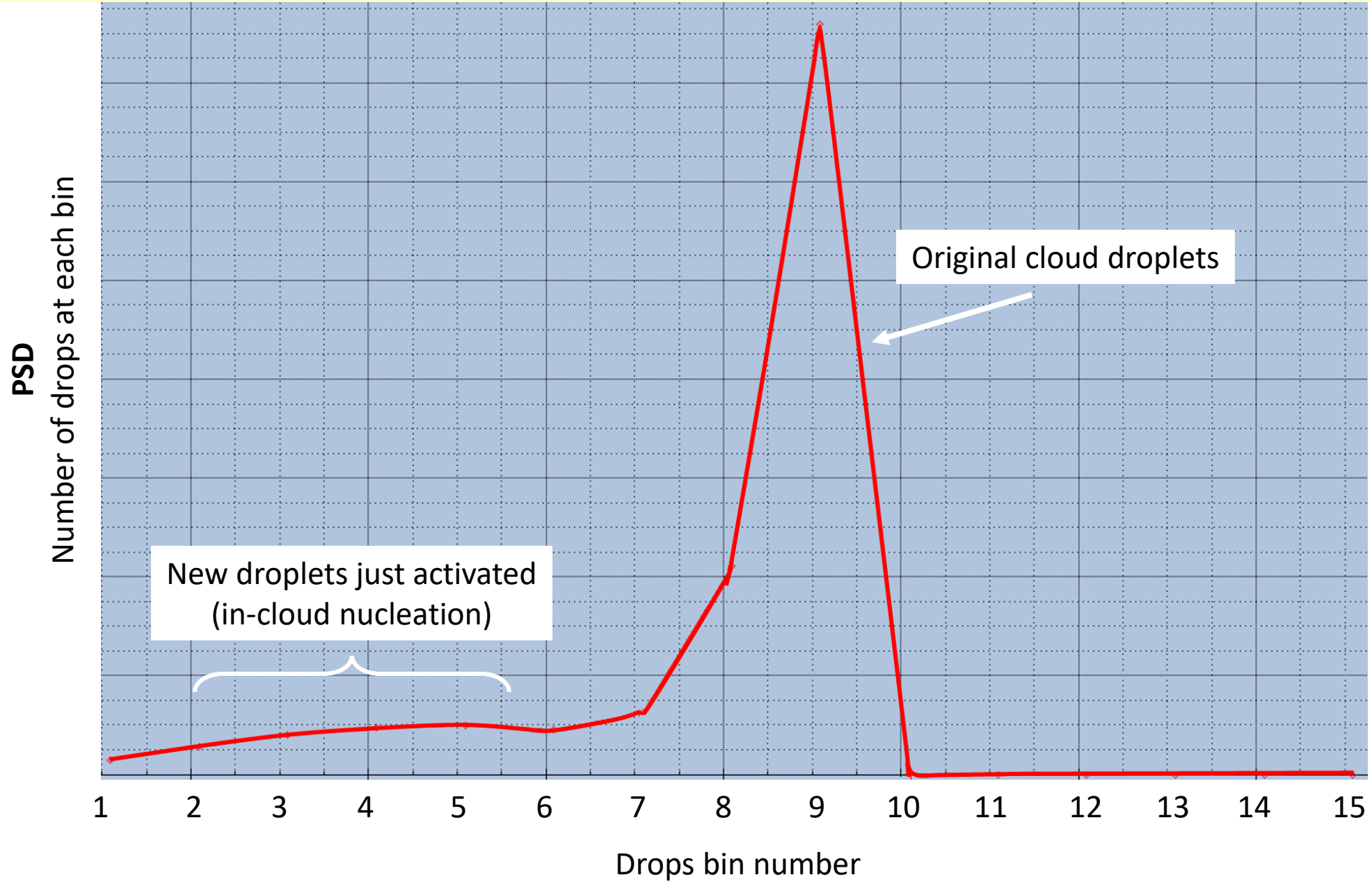
**Rain water content (kg/kg)**  
 At  $t=1$  rain initiation at  $Reff=14 \mu m$   
 At  $t=2$  (after rain initiation)  $q_c \rightarrow q_r$   
 At  $t=2$  at cloud top collisions still didn't form rain





# Droplet Size Distribution

at cloud core, level=49, step=26min, cloud core



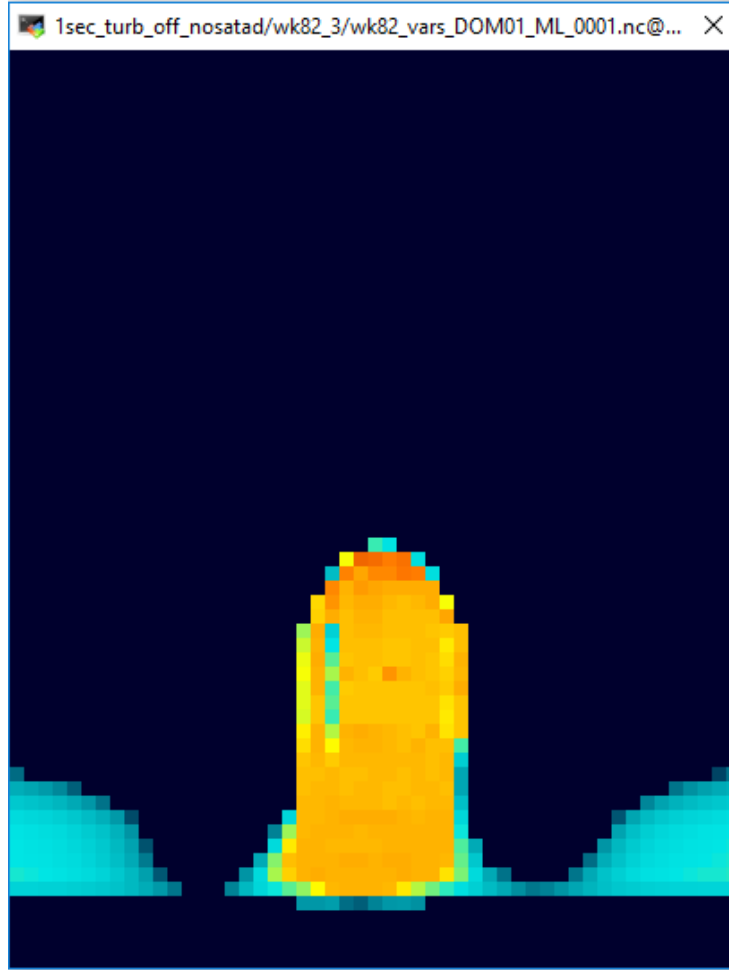
# Conclusions

- Warm-Phase Spectral-Bin Microphysics is implemented in ICON
- First test on WK82 case show reasonable results
- The updraft is much smaller than in 2M scheme because there is no saturation adjustment
- The turbulent diffusion (call `nwp_turbdiff`) is problematic with high S on input. Consider calling it after microphysics
- Plan: Mixed phase SBM → ICON

Additional slides ...



t=1



Ncview 2.1.2@cca-login4

### ICON simulation

displaying relative humidity  
frame 27/61  
displayed range: 5.51262 to 120.284 % (90 to 110 shown)  
Current: (i=11, j=39) 73.175 (x=31.36, y=40.5)

Quit ->1 << < || > >> Edit ? Delay: [ ] Opts

ssec Inv P Inv C M X8 Low Axes Range Repl Print

90 92 94 96 98 100 102 104 106 108 110

Var: height\_2\_bnds w temp rh

cape cape\_ml cin\_ml qv

qc qr qi qnc

qnr qs qh qg

extra\_3d1 extra\_3d2 extra\_3d3 extra\_3d4

extra\_3d5 extra\_3d6 extra\_3d7 extra\_3d8

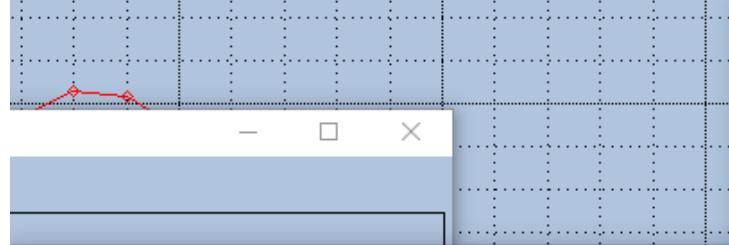
extra\_3d9 extra\_3d10 extra\_3d11 extra\_3d12

extra\_3d13 extra\_3d14 extra\_3d15 extra\_3d16

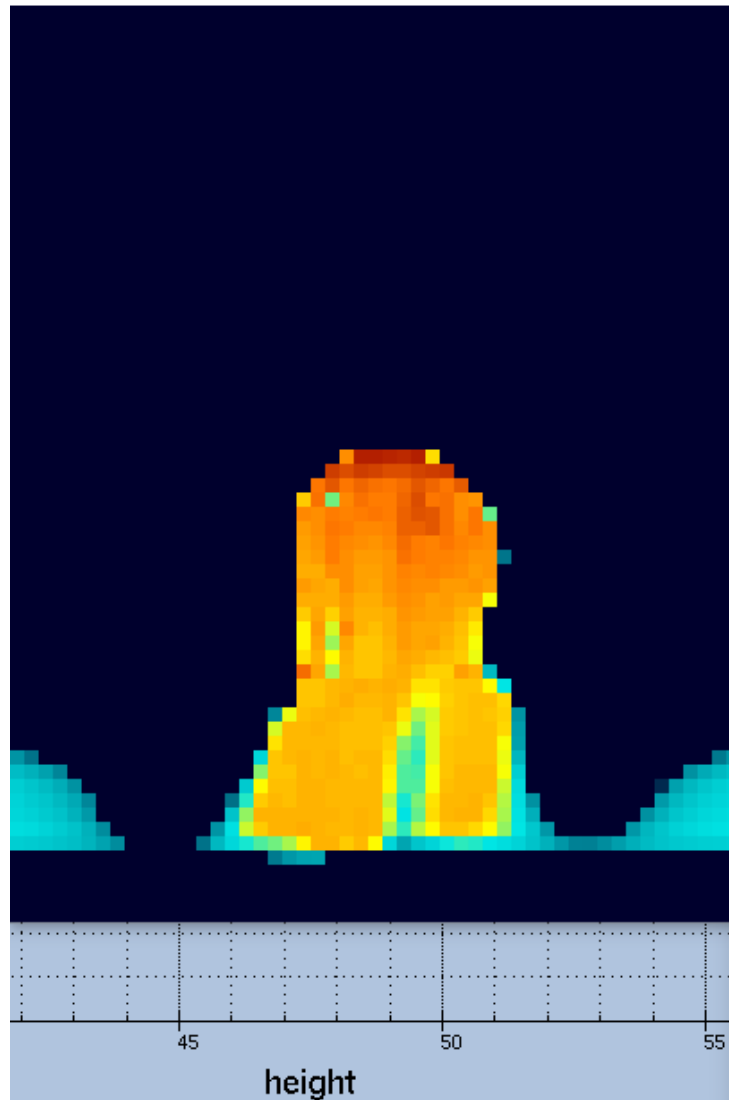
extra\_3d17 extra\_3d18 extra\_3d19 extra\_3d20

Dim:	Name:	Min:	Current:	Max:	Units:
Scan:	time	2.00809e+07	2.00809e+07	2.00809e+07	day as %Y%n
Y:	height_2	64.5	-Y-	1.5	-
	lat	31.25	31.5	31.75	degrees_norl
X:	lon	31.25	-X-	31.75	degrees_east

t=2



1sec\_turb\_off\_nosatad/wk82\_3/wk82\_vars\_DOM01\_ML\_0001.nc@...



Ncview 2.1.2@cca-login4

ICON simulation

displaying relative humidity  
frame 35/61  
displayed range: 5.51262 to 120.284 % (90 to 110 shown)  
Current: x=60.3814, y=12.8973

Quit ->1 << < || > >> Edit ? Delay: Opts

ssec Inv P Inv C M X8 Low Axes Range Repl Print

Var: height\_2\_bnds w temp rh

cape cape\_ml cin\_ml qv

qc qr qi qnc

qnr qs qh qg

extra\_3d1 extra\_3d2 extra\_3d3 extra\_3d4

extra\_3d5 extra\_3d6 extra\_3d7 extra\_3d8

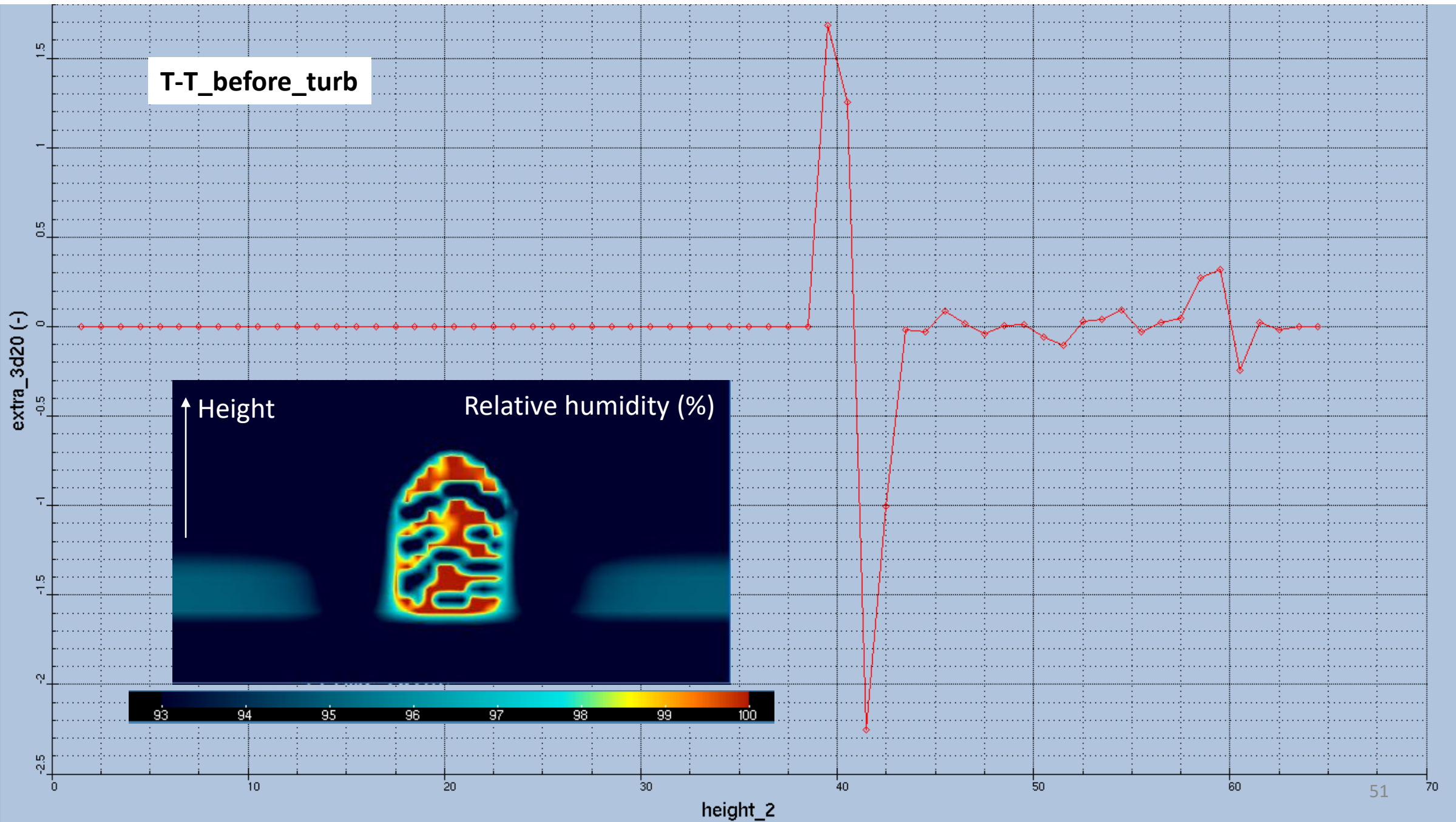
extra\_3d9 extra\_3d10 extra\_3d11 extra\_3d12

extra\_3d13 extra\_3d14 extra\_3d15 extra\_3d16

extra\_3d17 extra\_3d18 extra\_3d19 extra\_3d20

Dim:	Name:	Min:	Current:	Max:	Units:
Scan:	time	2.00809e+07	2.00809e+07	2.00809e+07	day as %Y%n
Y:	height_2	64.5	-Y-	1.5	-
	lat	31.25	31.5	31.75	degrees_nor
X:	lon	31.25	-X-	31.75	degrees_east

(A) call nwp\_turbdiff is problematic with high S on input



(A) call nwp\_turbdiff is problematic with high S on input

