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**PP KENDA-O**: Km-Scale Ensemble-Based Data Assimilation for the use of High-Resolution Observations (Sept. 2015 – Aug. 2020)

- Task 1: further development of LETKF scheme ٠
  - investigation of discrepancies betw. MCH and DWD KENDA performance
  - Mode-S operational at DWD: winter test + revision of QC
  - activities at MeteoSwiss  $\rightarrow$  climatological B
  - HMC: model-error perturbations
- Task 2: extended use of observations (radar, satellite, etc.)
- lower boundary: soil moisture analysis using satellite soil moisture data Task 3: • (up to now small benefit, fellowship ends 12/18, will continue with little FTE)
- adaptation to ICON-LAM, hybrid methods / particle filters Task 4: •





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### KENDA at DWD: operational setup

 $(\rightarrow Schraff et al. 2016, QJRMS)$ 

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operational settings:

- adaptive horizontal localisation (keep # obs constant,  $50 \text{ km} \le s \approx \text{ std dev} \le 100 \text{ km}$ )
- adaptive multiplicative covariance inflation (obs-f.g. statistics) + RTPP ( $\alpha_p = 0.75$ )
- additive covariance inflation (since Feb. 2017)
- explicit soil moisture perturbations
- lateral BC: from ICON-EnVar/LETKF ( $\Delta x = 20 \text{ km} / 6.5 \text{ km}$  for ensemble / deterministic run)





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#### DWD In Task 1: Investigation of discrepancies 6 between MeteoSwiss & DWD KENDA **Deutscher Wetterdienst DWD** verification MeteoSwiss analysis verification temperature +0h 2016/12/06 - 2017/02/08 INI: ALL UTC, DOM: ALL Mean error Standard deviation upper air temperature (K) upper air temperature (K) ME SD $COSMO-1 \rightarrow nudging$ 160 200 $COSMO-E \rightarrow KENDA$ (w/o S\_SO pert.) Winter 2016 290 $COSMO-7 \rightarrow nudging$ bias std. dev. Pressure [hPa] 400 -400 530 600 660 800 800 1000 960 -0.5 t .5.0 0.8. . ? .9.1 0.0 -1 -0.8-0.6-0.4-0.2 0 0.2 0.4 0.6 0.8 0 0.2 0.4 0.6 0.8 1 1.2 1.4 1.6 1.8 2 2.2 0.0 4 4 score value - COSMO-DE $\rightarrow$ with nudging 200 Spring 2017 (with SPPT) --- COSMO-DE-KENDA (without Pressure [hPa] additive inflation) 400 lead-time [h] -000600 -012 800 1000 -1 -0.8-0.6-0.4-0.2 0 0.2 0.4 0.6 0.8 0.6 0.8 0.2 1.2 0 0.4 1.4 1 upper air temperature (K) upper air temperature (K) Status of KENDA-O / WG1 christoph.schraff@dwd.de COSMO GM, St. Petersburg, 3 - 6 Sept. 2018



generally: same LETKF configurations at MCH and DWD relevant differences :

	MCH	DWD
model domain	COSMO-E, 2.2 km (16-bit coding of T_SO)	COSMO-DE, 2.8 km
lateral BC	IFS HRES + EPS perturb. age of perturb.: +30h to +36h	ICON EPS
radiosonde obs	BUFR reports (→ 100 % more RS obs), obs time = nominal synoptic time wind obs error: 1.7 – 2.1 m/s	TEMP reports obs time = launch time wind obs error: 1.9 – 2.4 m/s

**'Swiss experiment**' at DWD: comparison KENDA vs. Nudging for Dec. 2016 (winter, extended low stratus periods)

- DWD setup (KENDA, ICON-LBC, obs (no Mode-S)), but on COSMO-E domain •
- perform verification as at MCH (vs. at DWD): ٠
  - use BUFR radiosonde reports vs. TEMP radiosonde reports
  - MEC applied to cdfin-files vs. MEC applied to 'ekf' fdbk files from LETKF











- $\rightarrow$  MEC mode: no effect on wind scores, but affects T + RH at low levels
- → ekf-based MEC gives slightly too optimistic forecast scores in strong inversion periods (as long as the LETKF first guess check is not improved)







- ✓ COSMO first guess check (as in cdfin-based MEC verif.) rejects very few data
- ✓ LETKF first guess check rejects about 5% for T, RH and about 2.5% for wind, particularly near inversions (and in stratosphere)
  - $\rightarrow$  too many good obs are rejected (*in the presence of strong systematic model errors*)
- → discrepancies in upper-air analysis scores at MCH and DWD are (apparently)
  - mainly **not** due to difference in analysis and forecast performance of KENDA as a result of different model domains, ensemble LBC's, data input, etc.
  - but mainly due to different quality control in verification
- $\rightarrow$  solution: improve model, eliminate systematic model errors
  - refine first guess check in LETKF analysis (see later)





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# Mode-S aircraft derived from radar data from air-traffic control,

Mode-S EHS (Enhanced Surveillance)

processed + provided by KNMI (de Haan, Geophys. Res., 2011; de Haan and Stoffelen, Wea. Fcst., 2012)

- best results with thinning (40 % active), still 5 times more data than AMDAR
- wind vector (obs error similar as AMDAR) + temperature (obs error 50 – 100 % larger at low levels) (no humidity)

results shown last year:

aircraft data

- convective period:
   clear + long-lasting positive impact (precip, surface + upper-air verif.)
- much smaller positive impact in August
- $\rightarrow$  winter ?



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Status of KENDA-O / WG1 COSMO GM, St. Petersburg, 3 – 6 Sept. 2018

# Mode-S aircraft: winter test (Dec. 2016), verification

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#### DWD Mode-S aircraft: radiative low stratus in winter low-level cloud (vs. NWC-SAF) Deutscher Wetterdienst Mode-S REF exp\_8000.04\_HR\_det\_2016123012+18h exp\_8000.05\_HR\_det\_2016123012+18h clcĪ clcĪ 6N -5N -5N4N 4N 3N 3N 2N -2N· 1N-1N EQ-EQ-18-1S 2S-28-3S-3S 4S 2W 1W Ó. 1E 2E 3E 5W 3W 2W 1W 1F 2E correct cloudy / correct cloud-free / missed events / false alarms / undefined (observed higher cloud)

missed (black): 19956 false (red): 19707 hits (green): 52658 unclear (blue): 8240 ETS: 0.380 FBI: 0.996

missed (black): 17496 false (red): 19482 hits (green): 55118 unclear (blue): 8240 ETS: 0.412 FBI: 1.027





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### Mode-S aircraft: radiative low stratus in winter low-level cloud (vs. NWC-SAF)







## Mode-S aircraft: radiative low stratus in winter low-level cloud (vs. NWC-SAF)

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### Impact of Mode-S aircraft: summary

- impact of Mode-S depends on weather situation:  $\checkmark$ from very slightly to
  - clearly positive for
    - (radiative) low stratus —

→ Mode-S operational 4 October 2017

convective precipitation in summer  $\rightarrow$ \_



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# Mode-S aircraft: winter test, low-level cloud (low stratus)





#### DWD Mode-S aircraft: 6 winter test, low-level cloud (low stratus) **Deutscher Wetterdienst** REF Mode-S Dec. 2016 12-h forecasts for 1 dramatically $\rightarrow$ degraded forecast 21 Dec., 06 UTC ЗĒ Satellite: Satellite: missed (black): 19809 false (red): 18694 hits (green): 62042 unclear (blue): 4 missed (black): 29995 false (red): 17831 hits (green): 51856 unclear (blue): 4 ETS: 0.323 FBI: 0.986 ETS: 0.229 FBI: 0.851 next forecast $\rightarrow$ 6-h forecasts for (after using 0-UTC radiosondes) 21 Dec., 06 UTC still degraded, but much less 4E 5E 1E 2E ЗĖ 4₩ 2W 3F Satellite: Satellite: missed (black): 11425 false (red): 27725 hits (green): 70426 unclear (blue): 4 missed (black): 21272 false (red): 19492 hits (green): 60579 unclear (blue): 4 ETS: 0.298 FBI: 0.978 correct cloudy / correct cloud-free / missed events / false alarms / undefined Status of KENDA-O / WG1 SI MC 18 christoph.schraff@dwd.de

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first guess check: reject obs  $T_o$  if: (here: for temperature) threshold: (in LETKF)  $\Delta T_{thresh} = f \cdot \text{std}\{T_o - T_{fg}\} = f \cdot \sqrt{\sigma_0^2 + \sigma_{ens}^2}$   $\leq 4K$  f = 3  $\leq 4K$ f = 3

→ strong inversions with wintertime low stratus: many correct obs rejected ensemble spread considers only random errors (as intended)

strong systematic error: not accounted for

revision:

$$\Delta T_{thresh} = f \cdot \operatorname{std} \{T_o - T_{fg}\} = f \cdot \sqrt{\sigma_0^2 + \sigma_{ens}^2 + \left(\frac{1}{f} \cdot \varepsilon_{inv}\right)^2}$$

 $\varepsilon_{inv} \cong 0.8 \cdot \Delta T_{inv}$ 

(within 25 hPa; tapering above 800 hPa, ....)

 $\Delta T_{inv}$ : inversion observed by radiosonde

... similar revision for humidity threshold



#### DWD Revised first guess check thresholds: 9 winter test, low-level cloud (low stratus) **Deutscher Wetterdienst** REF Mode-S revised f.g. check Dec. 2016 6-h forecasts for 20 Dec., 18 UTC Satellite: Satellite: Satellite: missed (black): 35010 talse (red): 17226 hits (green): 70979 unclear (blue): 3 missed (black): 36054 talse (red): 17304 hits (green): 69935 unclear (blue): 3 missed (black): 34012 false (red): 19446 hits (green): 71977 unclear (blue): 3 ETS: 0.161 FBI: 0.862 ETS: 0.179 FBI: 0.832 ETS: 0.171 FBI: 0.823 analyses for 20 Dec., 18 UTC SE 5E \_5₩ Satellite: Satellite: Satellite: missed (black): 25078 false (red): 21965 hits (green): 80911 unclear (blue): 3 missed (black): 28577 false (red): 19405 hits (green): 77412 unclear (blue): 3 missed (black): 25843 false (red): 22286 hits (green): 80146 unclear (blue): 3 FTS: 0.185 FBI: 0.96 ETS: 0.200 FBI: 0.913 ETS: 0.195 FBI: 0.970 correct cloudy / correct cloud-free / missed events / false alarms / undefined Status of KENDA-O / WG1 SMC christoph.schraff@dwd.de 20 COSMO GM, St. Petersburg, 3 - 6 Sept. 2018



### Revised first guess check thresholds: winter test

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revised first guess check thresholds:

- $\checkmark$  positive impact on low stratus
- ✓ slightly positive for T2M, RH2M
- ✓ to be implemented in official code and to be tested further







important for low stratus / strong inversions (presence of strong systematic errors):

- $\checkmark\,$  additive covariance inflation
- ✓ additional data: Mode-S
- ✓ adjust quality control (for radiosondes)





### KENDA Activities at MeteoSwiss (1)



Daniel Leuenberger, Claire Merker, Marco Arpagaus, Alexander Haefele, Giovanni Martucci

- KENDA tests with COSMO in single precision (SP)
  - SP data assimilation part of COSMO in KENDA mode works fine, (but problems in nudging mode)
  - neutral results in all forecast verifications
  - but: slow drift of soil temperature and moisture in KENDA cycle
    - $\rightarrow$  potential problems in TERRA with SP
- assimilation of temperature and humidity profiles from Raman Lidar at Payerne (Task 2.7)
  - large benefit in a case study of convection
  - less impact in a case study of fog



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Daniel Leuenberger, Claire Merker, Marco Arpagaus, Alexander Haefele, Giovanni Martucci

- KENDA tests with additive covariance inflation (ACI)
  - (derived from climatological B-matrix of **global** ICON-3DVar of DWD; purpose: account for model error and thus improve ensemble spread)
  - large benefit for analyses (closer to observations)
  - benefit in forecasts less clear:
    - neutral or mixed impact already in first guess (more positive in winter)
    - reduction of T\_2m and Td\_2m spread in summer (?)

### • towards a climatological B-Matrix from COSMO data (Task 1 + 4)



# towards a climatological B- (background error covariance) matrixfrom COSMO dataClaire Merker, Daniel Leuenberger

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- KENDA additive covariance inflation (ACI) (to account for model error)
  - currently: climatol. background error covariance from global ICON
  - plan: climatol. background error covariance specific to limited-area COSMO
- NMC method: differences between forecasts valid at same time with different lead times
  - as proxy for background errors  $\rightarrow$  for 3DVar for ICON-LAM ( $\rightarrow$  Task 4)
  - as proxy for model errors:  $\rightarrow$  for ACI in LETKF ( $\rightarrow$  Task 1)
- comparison COSMO (≈ 2km) with IFS HRES (≈ 9km, driving model)
  - $\rightarrow\,$  assess differences of error correlation patterns in global and limited area model
  - exemplary results along South-North and West-East cross-sections through the COSMO-E domain





from COSMO data background / model error correlations in COSMO vs. IFS

• lead time difference:  $\mathbf{x}^{30h} - \mathbf{x}^{6h}$ 

- period: March 2017
- (auto-)correlation of temperature, with grid pt. 47.0°N, 8.97°E, 600hPa

towards a climatological B- (background error covariance) matrix



# towards a climatological B- (background error covariance) matrix from COSMO data



- expected benefits of climatological background / model error covariance matrix specific to limited area COSMO:
  - better representation of small-scale features
  - better consideration of orographic effects
  - improvements for KENDA especially in boundary layer and for short lead times
- computation of climatological **B**-matrix for limited area domain:
  - more generic approach needed compared to global model (for balances, e.g. height-dependent geostrophic coupling and correlation scales, ...)

 $\rightarrow$  need to identify the relevant balances

work in progress at MeteoSwiss and DWD



In Task 1: AMPT

Mikhail Tsyrulnikov, Dmitri Gayfulin et al.



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### SPPT: Stochastic Perturbations of Physical Tendencies

multiplicative  $\rightarrow$  SPPT pert. can be small where errors large (e.g. missing convection)

implies that relationships betw. the physical tendencies of different variables are error-free



2 d 00 h 00 min field T, SPPT

### AMPT: Additive Model-error perturbations scaled by Physical Tendencies

complement with additive perturbations, magnitude depends on average size of phys. tendencies

2 d 00 h 00 min field T, SPG

use independent driving random fields by 4D SPG for different model variables (T, u, v, p, qv, qc, qi)



AMPT pert. less localized (more spatially uniform) than SPPT pert., magnitudes comparable

- no significant biases due to AMPT pert. detected
- first results (11 days): in ensemble forecasts, the new schemes can outperform SPPT



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Task 2:	extend ongoin	led use of observations: g	Deutscher Wetterdlenst
✓ radar radial winds: (if also using Mode-S: very) small positive impact (e.g. on precip), in parallel suite			
✓ radar	reflectivity:	slightly better than latent heat is better vertical profiles in first gradient $\rightarrow$ promising	nudging for precip after +1h (DWD+ ARPAE) uess (with operational LETKF settings)





# Task 2.1:radar reflectivityimpact experiment (3 – 6 Feb. 2017, 8 daily forecasts)







 ✓ radar radial winds: (if also using Mode-S: very) small positive impact (e.g. on precip), in parallel suite

✓ radar reflectivity: slightly better than latent heat nudging for precip after +1h (DWD+ ARPAE) better vertical profiles in first guess (with operational LETKF settings)
 → promising

✓ GPS slant total delay:

bias correction & blacklisting of stations important

small positive impact on precip, upper-air wind, 2-m temperature + humidity, cloud

 SEVIRI WV, currently focusing on clear-sky (but in future also using cloudy data): bias correction important, small consistent positive impact, needs more work

✓ **T2M, RH2M**: preparatory work; more resources in 2019

✓ Mode-S aircraft : operational

✓ Raman lidar (T-, q- profiles): first case study with positive impact

in WG1:

✓ SEVIRI VIS (→ cloud): first impact exp. (18 days), slightly improved cloud, precip, T2M, surface pressure, upper-air fields, etc.





# Task 2.7:Ground-based remote sensing observations:Raman LidarAlexander Haefele, Daniel Leuenberger

- lack of temperature and humidity obs in PBL
- Raman Lidar can provide temperature and humidity profiles with high temporal and vertical resolution
- at MeteoSwiss: 1 Lidar at Payerne, average availability of 60 %, data quality approaching that of radiosondes
- 2 case studies: 1 low stratus case
  - + 1 convective case:
  - > 12 hours of 1-hrly KENDA assimilation cycle on 24 Aug. 2017, 00 12 UTC
    - CONV (LEKTF with conventional obs + radar precip by LHN)
    - LIDAR (additional assimilation of Lidar T and RH profiles)
  - COSMO-E forecasts (CTRL + ensemble) started at 12UTC



Task 2.7:Ground-based remote sensing obs, Raman Lidar:<br/>experiment, impact of Lidar obs on analysis mean

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Pre-convective Environment:

**CAPE** of analysis mean valid at 12UTC (IC of forecasts)



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#### Task 2.7: Ground-based remote sensing obs, Raman Lidar: experiment, impact on precipitation forecast



### probability that 24h precipitation sum exceeds 1mm

300 250 200

> 150 120

> 100 80





- lidar obs adjust pre-convective environment, resulting in a more skilful precipitation forecast
- low-stratus case: smaller impact (not shown)

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WG1: Latent heat nudging test of revised version



- revision of LHN for ICON, tested for COSMO for August 2017 (grid point search removed, much larger amplitude of the climatological latent heat profile)
  - → much larger trigger to initiate missing convective precip (where convective precip has been produced, the climatological profile is not used any more)



# Task 4.1: KENDA for ICON-LAM (incl. EnVar)



Hendrik Reich, Christoph Schraff, Klaus Stephan, Christian Welzbacher, Lilo Bach, et al.

### current KENDA: 4-D LETKF + LHN

- LHN: technically implemented (without grid point search) tuning not yet completed, but first tests show positive results
- full 4-D LETKF for ICON-LAM:
  - need to be able to call observation operators from ICON: not yet ready, work in progress
  - intermediate solution for first testing + tuning: 'MEC-LETKF' for ICON-LAM







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compare LETKF experiments: - 4-D COSMO-ONLINE (with COSMO-DE, 2.8 km) - 3-D COSMO-MEC (with COSMO-DE, 2.8 km) - 3-D ICON-MEC (with ICON-DE 2.5 km)

- same lateral BC (from ICON-EU), LETKF settings (incl. Mode-S), etc.
- LHN switched on, but in ICON-LAM not used due to a bug
- period: 26 31 May 2016  $\rightarrow$  ~ 5 days













# Task 4.1:KENDA for ICON-LAMICON-MEC-LETKF, surface verification



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#### DWD Task 4.1: KENDA for ICON-LAM 9 ICON-MEC-LETKF, radiosonde verification Deutscher Wetterdlenst







✓ ICON-LAM comparable to COSMO, despite being penalised without LHN in first few hours





- ICON-LAM-LETKF:
  - ICON-LAM with MEC-LETKF already outperforms COSMO for most variables; precipitation should be improved with LHN (currently running)
  - test IAU, hydrostatic balancing of analysis increments to reduce noise
  - tuning of model (e.g. lateral boundary relaxation, ...) and DA settings
- implement ICON-LAM-ONLINE for **4D-LETKF**: obs operators called by ICON
- first version of 3DVar / EnVar runs technically, to be tested + refined, e.g. use of LAM B-matrix
- ICON-LAM with KENDA in parallel suite end of 2019







- Localised Adaptive Particle Filter (LAPF) and Localised Markov Chain Particle Filter (LMCPF) implemented in an operational NWP system (global ICON)
- both Particle Filters are able to provide reasonable atmospheric analyses and are running stably over a period of one month !



- LMCPF outperforms LAPF but still worse (not much!) than LETKF (probably due to smaller spread; further tuning to improve this is in progess)
- paper accepted with minor revisions for MWR: Potthast et al.: "A Localised Adaptive Particle Filter within an Operational NWP Framework"









thank you for your attention !



