

# Status Overview on PP KENDA

## Km-scale ENsemble-based Data Assimilation

**Deutscher Wetterdienst**  
*Wetter und Klima aus einer Hand*



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- Task 1: General issues in the convective scale (e.g. non-Gaussianity)
- Task 2: Technical implementation of an ensemble DA framework / LETKF
- Task 3: Tackling major scientific issues, tuning, comparison with nudging
- Task 4: Inclusion of additional observations in LETKF





- modifications in COSMO in official code (V4\_24)  
(e.g. in order to have a sub-hourly update frequency)
- **COSMO-DE LETKF implemented in NUMEX** and tested  
(e.g. stand-alone 2-day experiment reproduced)
- **GME-LETKF & ensemble INT2LM for DA cycle implemented in NUMEX**,  
being tested, should be available end of Sept.  
→ in Oct., start first KENDA experiments in NUMEX over several days/weeks  
but:
  - direct interpolation from 60 km to 2.8 km !
  - deterministic analysis not yet implemented in NUMEX
- ensemble LBC 2013 – 2014 :  
ensemble perturbations of interpolated ensemble GME fields,  
added to deterministic COSMO-DE LBC



## LETKF : implementation / activities



- in past year, still only preliminary LETKF experiments possible, using Hendrik's scripts:
  - up to 2 days (7 – 8 Aug. 2009: quiet + convective day)
    - 3-hourly (15-min) cycles
  - 32 ensemble members
  - perturbed LBC: COSMO-SREPS, 3 \* 4 members
- therefore
  - theoretical studies, toy model experiments related to adaptive localisation
    - talk by Hendrik Reich
  - benchmark , winter school on DA , support for HErZ centre , testing (e.g. NUMEX) ...
  - only few COSMO-DE experiments related to adaptive localisation



- **production of 'full' NetCDF feedback files**
  - make clean interfaces to observation operators / QC in COSMO : done
  - ... integrate them into 3DVAR package : in progress
  - and extend flow control (read correct (hourly) Grib files etc.) : to be doneshould be ready by end of 2012 (for VERSUS)
- **ensemble-related diagnostic + verification tool**, using feedback files :  
(Iriza, NMA)
  - computes statistical scores for different runs ('experiments'),
  - **focus: use exactly the same observation set in each experiment !**
  - select obs according to namelist values (area, quality + status of obs, ... )
  - problems with observation selection solved
  - implementing ensemble scores (reliability, ROC, Brier Skill Score,  
(continuous) Ranked Probability Score )
  - main part of documentation written

## Task 3: scientific issues & refinement of LETKF



- lack of spread: (partly ?) due to model error and limited ensemble size which is not accounted for so far

to account for it: covariance inflation, what is needed ?

→ additive (see later)

→ multiplicative  $X_b \rightarrow \rho \cdot X_b$

(by tuning, or) adaptive

$$\langle (y - H(x_b))(y - H(x_b))^T \rangle = \mathbf{R} + \rho \mathbf{H} \mathbf{P}_b \mathbf{H}^T$$

→ pre-specified  $\mathbf{R}$  is used for adaptive  $\rho$  :

→ need for careful specification / tuning of obs errors

- observation error covariance  $\mathbf{R}$  :

also estimate adaptively (Li, Kalnay, Miyoshi, QJRMS 2009)

$$\langle (y - H(x_a))(y - H(x_b))^T \rangle = \mathbf{R}$$



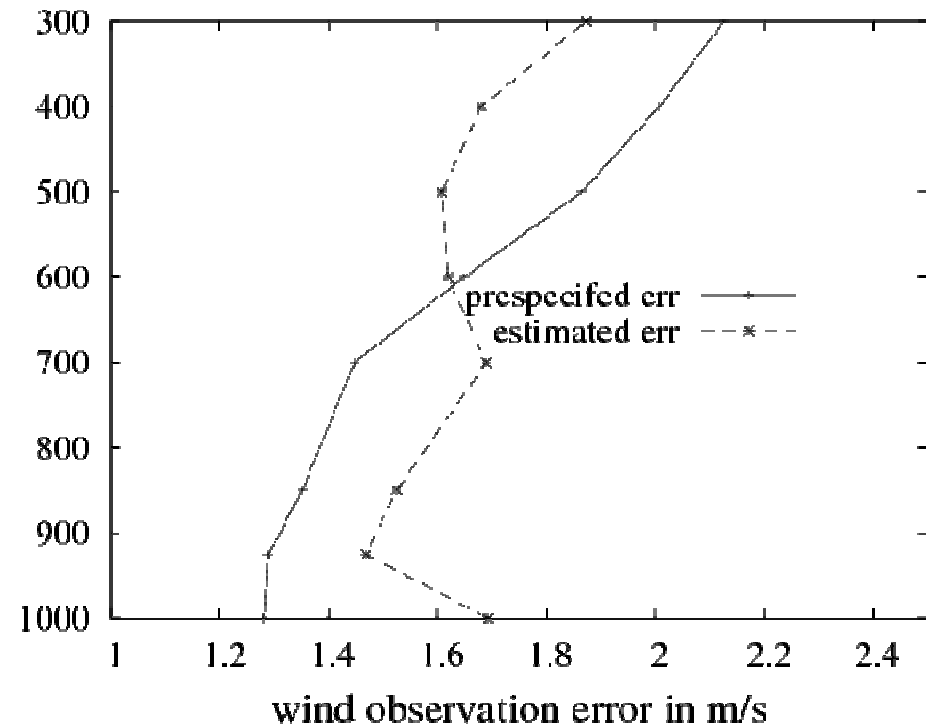
# Task 3: scientific issues & refinement of LETKF

- adaptive observation errors

$$\langle (y - H(x_a))(y - H(x_b))^T \rangle = \mathbf{R}$$

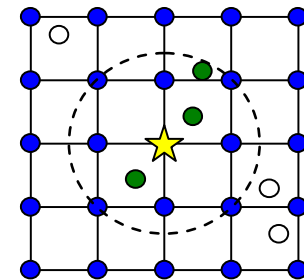
(in observation space)

pressure (hPa)

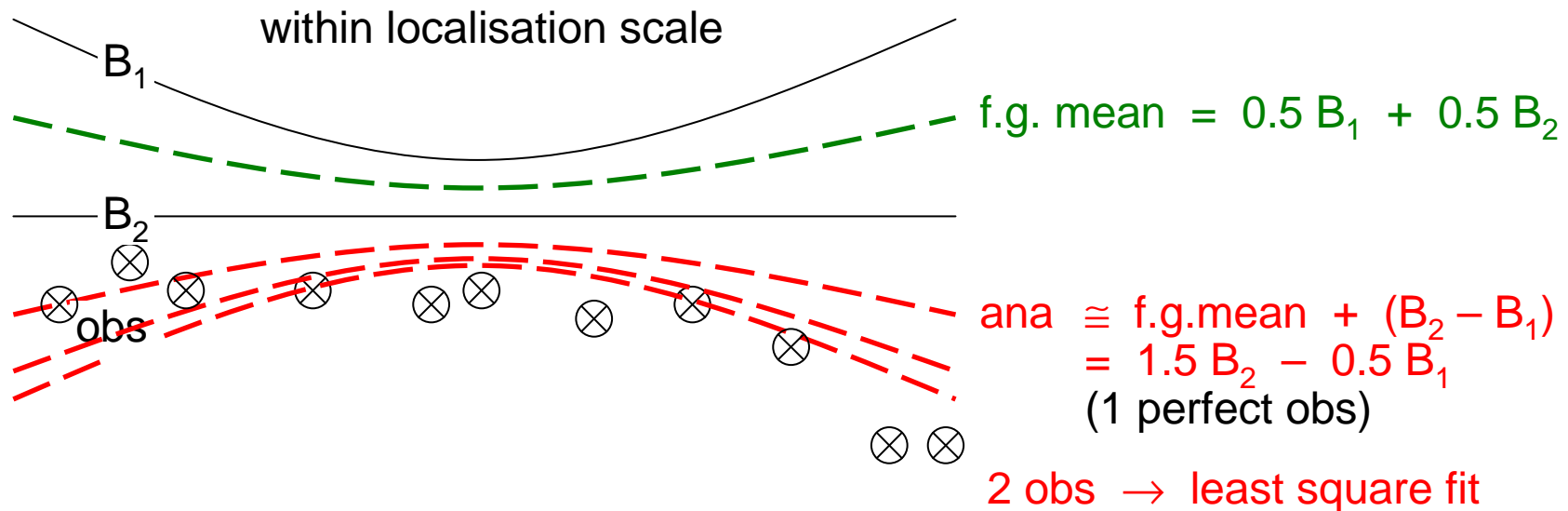


- adaptive  $\mathbf{R}$  in ensemble space:  
adjusts total weight, not relative weight of obs

- localisation



- adaptive estimation of obs error covariance  $\mathbf{R}$   
(Li, Kalnay, Miyoshi, QJRM 2009) , but our implementation: in ensemble space !



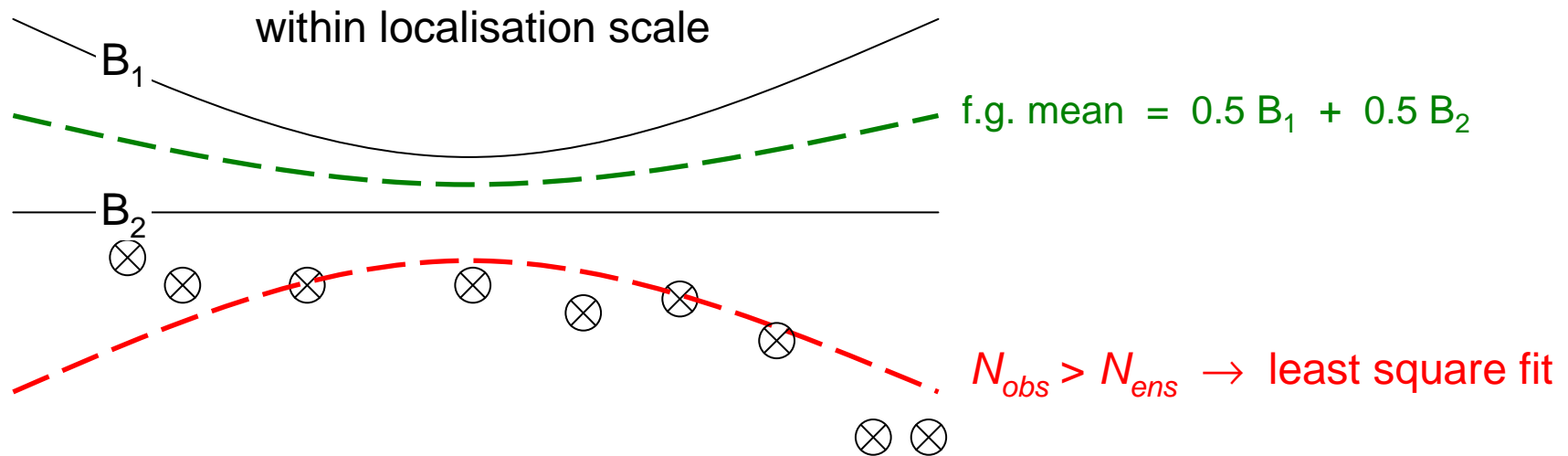
add obs, if already  $N_{obs} > N_{ens}$  :

- cannot be fitted well, improve analysis only slightly
- decrease analysis error !

$$\mathbf{P}_w^a = \left[ (k-1)\mathbf{I} + (\mathbf{Y}^b)^T \mathbf{R}^{-1} \mathbf{Y}^b \right]^{-1}$$

$\rightarrow$  adaptive  $\mathbf{R}$  takes that into account and increases  $\mathbf{R}$

however: large  $N_{obs}$  : adaptive increase of  $\mathbf{R}$  indicates non-optimal use of obs



$\rightarrow$  localisation !  $\rightarrow$  see also Hendrik's talk !

(or data selection / superobbing ?)

$\rightarrow$  basic idea for adaptive localisation : keep  $N_{obs}$  constant ( $> N_{ens}$  , not  $\gg N_{ens}$ ) !



# LETKF, preliminary results: horizontal localisation

Caspari-Cohn function: scale  $s = 100$  km

→ 0.4 at  $r \cong (2)^{1/2} \cdot s \cong 141$  km

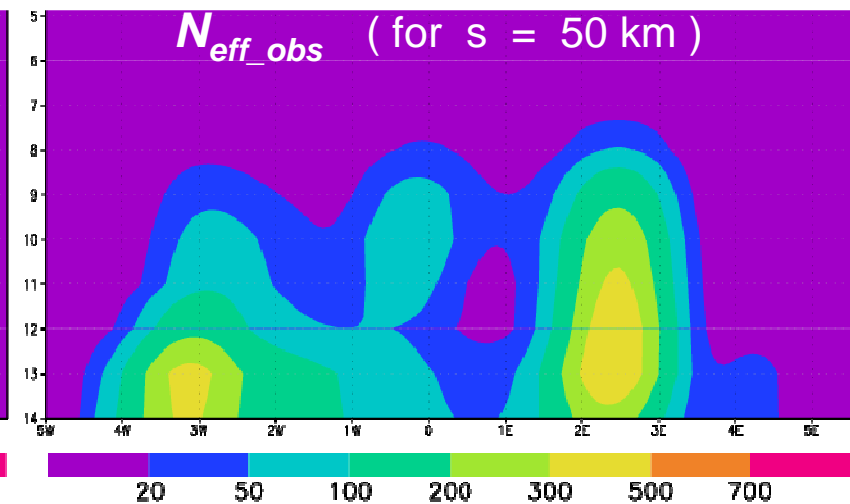
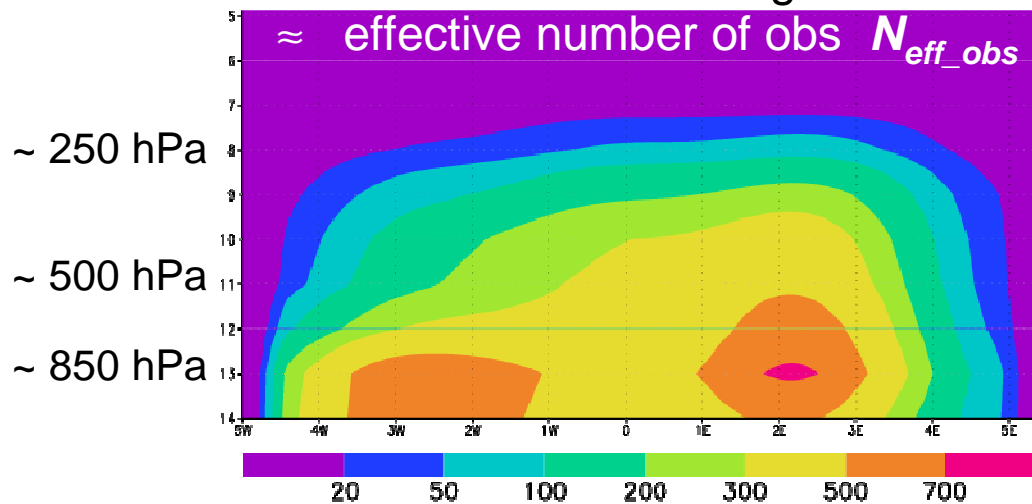
→ 0 at  $r = 2 \cdot (10/3)^{1/2} \cdot s \cong 365$  km

→ reduce scale :  $s = 50$  km

vertical cross section

(at rot lat =  $2^\circ$  , 8 Aug 2009 , 12 UTC)

sum of localisation weights of obs



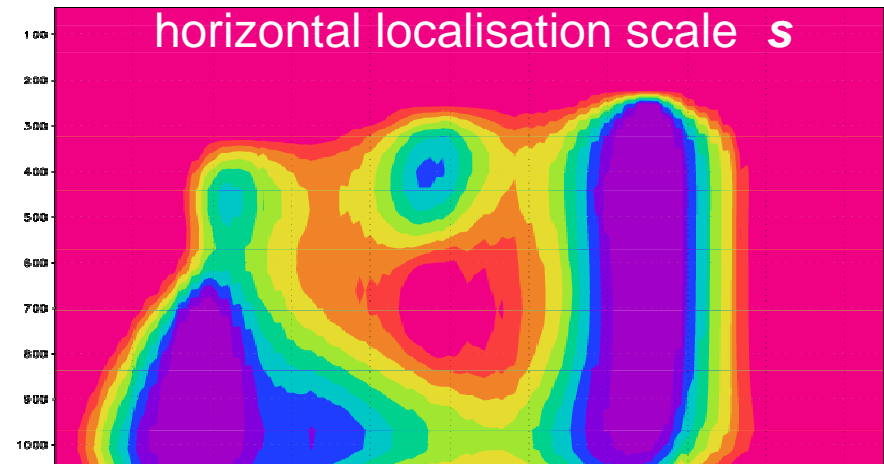
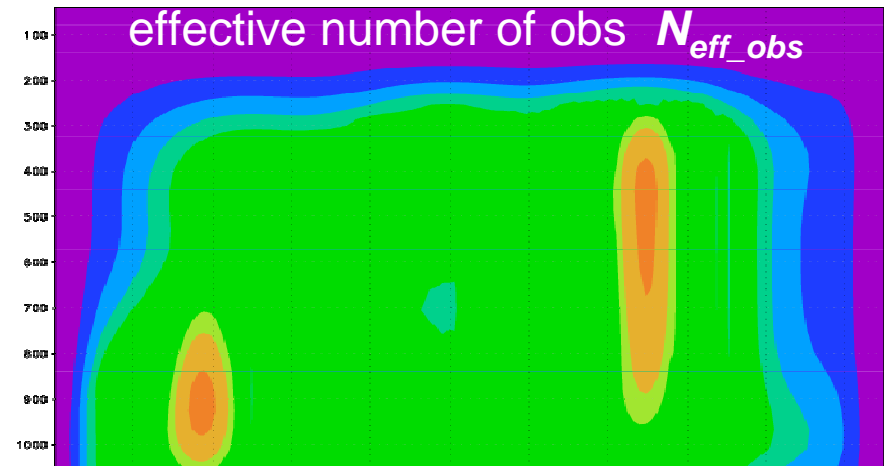
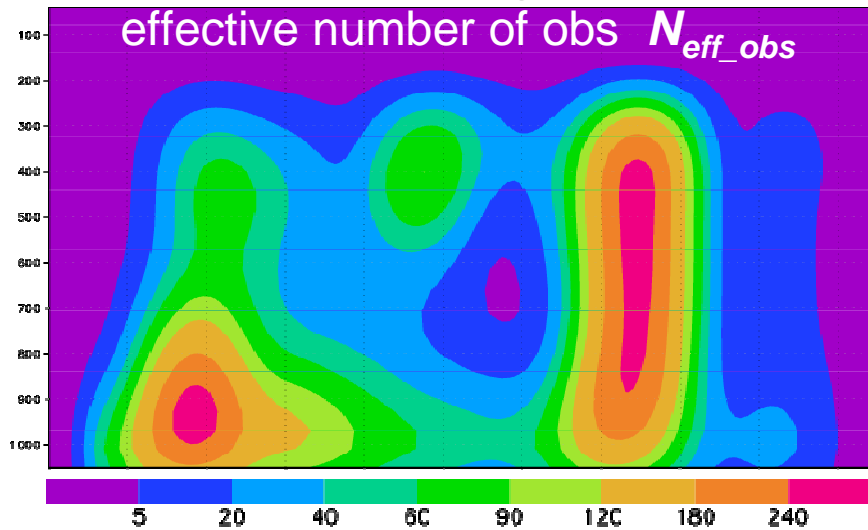
→  $N_{eff\_obs} \gg N_{ens}$   
→ too few degrees of freedom  
in order to fit the observations

# LETKF, preliminary results: adaptive horizontal localisation

Caspari-Cohn function: scale  $s = 50$  km

→ adaptive scale  $s$ :  
 adapt  $s$  such that  $N_{eff\_obs} \cong 70$   
 and  $30 \text{ km} \leq s \leq 80 \text{ km}$

vertical cross section  
(at rot lat = 2°, 8 Aug 2009, 12 UTC)



scale  $s \cdot (10/3)^{1/2}$

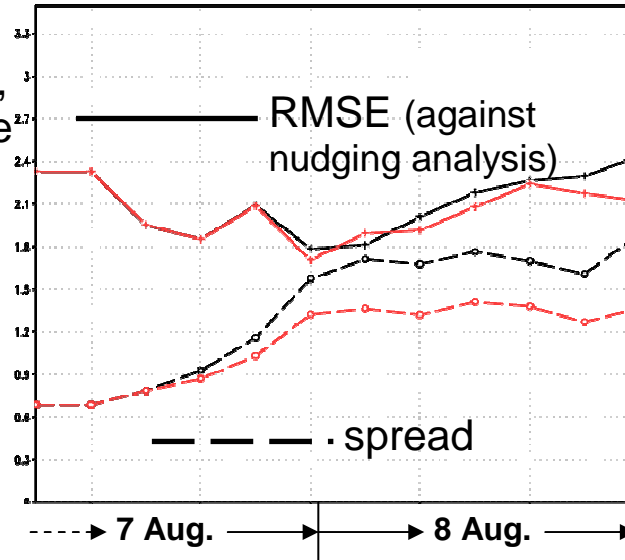


# LETKF, preliminary results: adaptive horizontal localisation

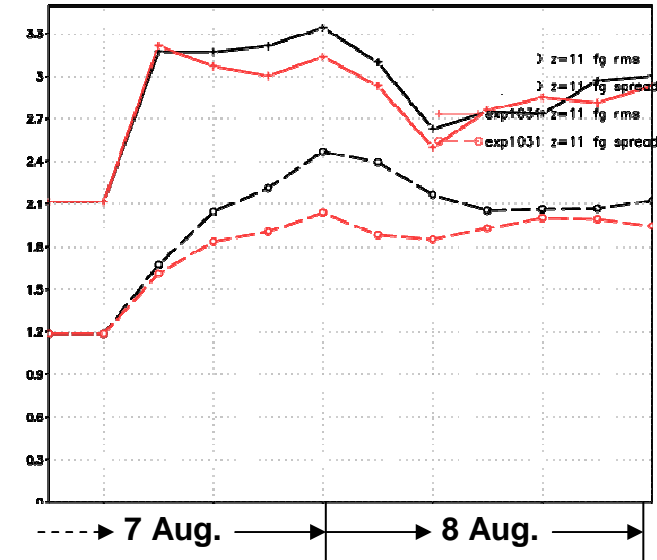
first guess mean  
(inner domain average)  
(variable vertical localisation,  
adaptive  $R$  and multiplicative  
covariance inflation  $\rho$ )

$s = 50$  km  
adaptive  $s$

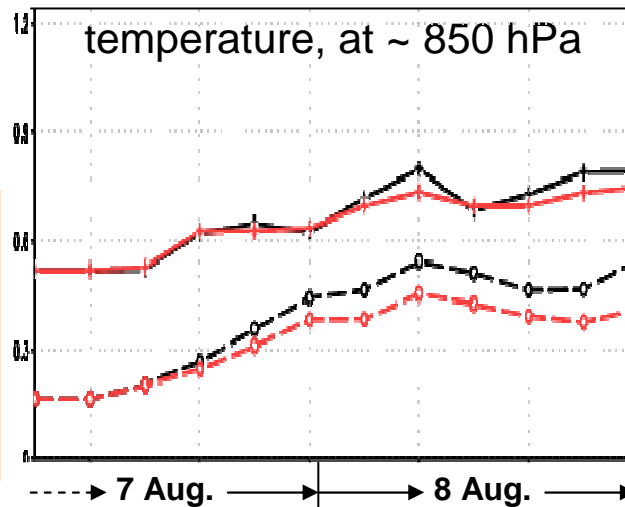
zonal wind, at ~ 850 hPa



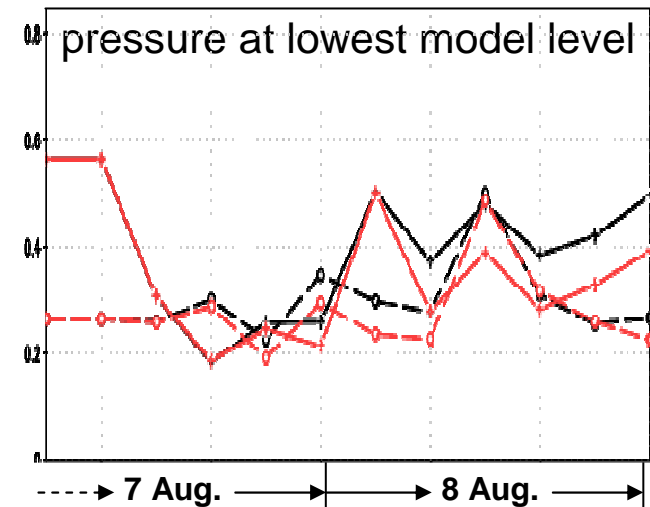
zonal wind, at ~ 250 hPa



temperature, at ~ 850 hPa



pressure at lowest model level



→ smaller spread  
→ mostly smaller RMSE  
(mixed results in verif  
vs. upper-air obs  
(T pos., wind neg.))



- **parameterisation of model error using statistics** (Tsyrunikov, Gorin) :

- parameterisation:  $e = \mu * F_{phys}(\mathbf{x}) + e_{add}$
- estimate parameters by fitting to statistics from forecast **tendency** and observation **tendency** data (using a maximum likelihood based method)

**failed in OSSE setup with simulated ME for finite-time 1 – 6 hr tendencies !!!**

main methodological cause of failure : instantaneous ME is contaminated in **finite-time** tendencies by other tendency errors :

- trajectory drift as a result of ME themselves
  - initial errors (plus the trajectory drift due to initial errors)
- conclusion: observation accuracy and spatio-temporal coverage far from being sufficient to reliably estimate ME !

→ **task is stopped !**



- new task for a **pattern generator** (PG)  
purely stochastic tool to generate 4-D pseudo-random fields with selectable scales / ampl.,  
used to generate additive perturbations / for stochastic physics  
(~ 0.4 FTE / y)
- **stochastic physics**: perturbing total physics tendency by a random factor  
at any given grid point (Palmer et al., 2009) (Torrissi)
  - basic Buizza version running, occas. crashed if microphysics tendencies perturbed  
→ tuning required
  - perturb all physics tendencies in same way ?
- 2013 Ekaterina Machulskaya from SFP for (more physically based) stochastic physics !  
+ 1 N.N. (renewable energy project)
- additional additive inflation: - by scaled forecast differences (e.g. Bonavita et al.) ?  
- 3DVAR – B ?



- **radar : assimilate 3-D radial velocity and 3-D reflectivity directly**
  1. observation operators implemented  
(Uli Blahak (DWD), Yuefei Zeng, Dorit Epperlein (PhD, KIT))
    - full, sophisticated
    - efficient (e.g. lookup tables for Mie scattering)
    - tested for sufficiently accurate and efficient approximations  
(e.g. 4/3 earth model for beam propagation)
  2. assimilation experiments
    - technical work (feedback files)
    - 1 - 2 assimilation case studies (Zeng)
    - 2013: Klaus Stephan : test periods, tuning ...



- **ground-based GNSS slant path delay SPD** (Michael Bender, Erdem Altuntac)
  - produce & use tomographic refractivity profiles (Erdem Altunac, PhD)
  - implement non-local SPD obs operator & use SPD (Michael Bender)
    - first implement SPD obs operator in 3DVAR package (environment for work on tomography)
      - implement simple operator (refractivity along straight line)
      - adjoint (sensitivities needed for tomography)
      - implement complex obs operator with ray tracer
      - monitoring, test e.g. impact of straight line approximation
    - then implement obs operator in COSMO (in 2013)

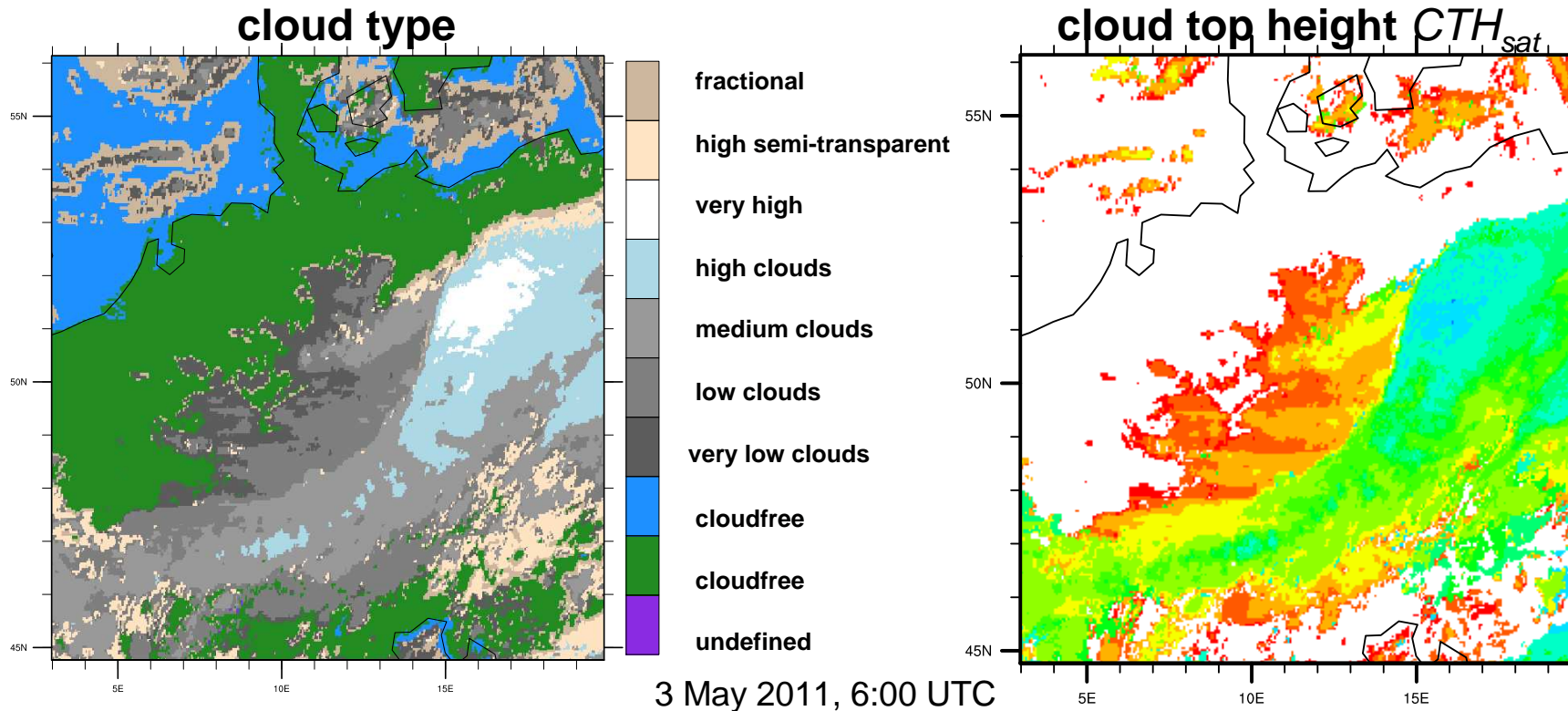
- **cloud information based on satellite and conventional data**
  1. derive incomplete analysis of cloud top + base height, using conventional obs (synop, radiosonde, ceilometer) and NWC-SAF cloud products from SEVIRI  
use cloud top height info in LETKF  
(Annika Schomburg , DWD / Eumetsat)
  2. use SEVIRI **brightness temperature** directly in LETKF in cloudy (+ cloud-free) conditions, in view of improving the horizontal distribution of cloud and the height of its top (2013: Africa Perianez, Annika Schomburg)

→ compare approaches

**Particular issues:** non-linear observation operators,  
non-Gaussian distribution of observation increments



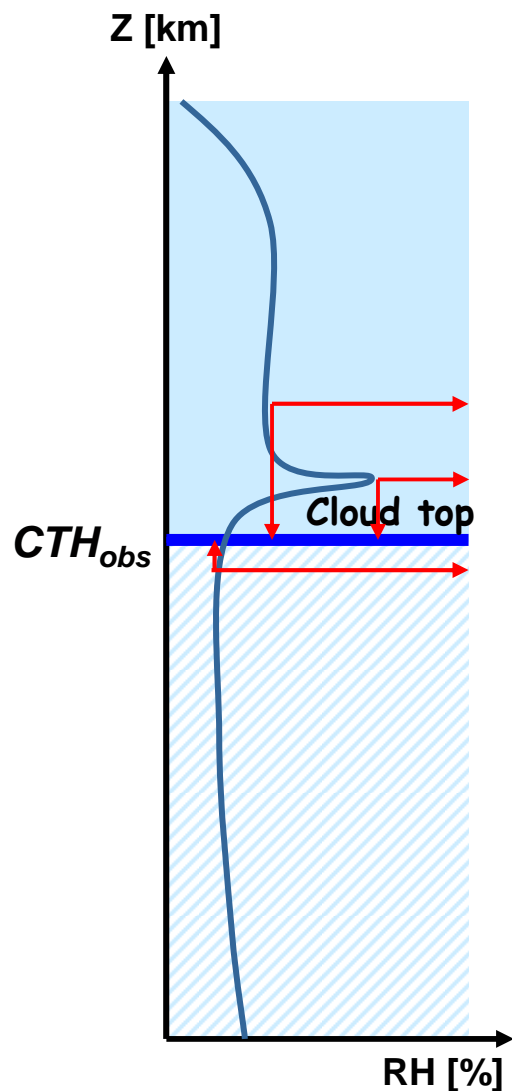
use of cloud info :  
NWCSAF cloud products (SEVIRI/MSG)



retrieval algorithm needs temperature and humidity profile from a NWP model  
→ cloud top height  $CTH_{sat}$  wrong if temperature profile in NWP model wrong !

→ combine good horizontal resolution of satellite info  
with good vertical resolution of radiosonde info :

use nearby radiosondes with same cloud type to correct  $CTH_{sat}$

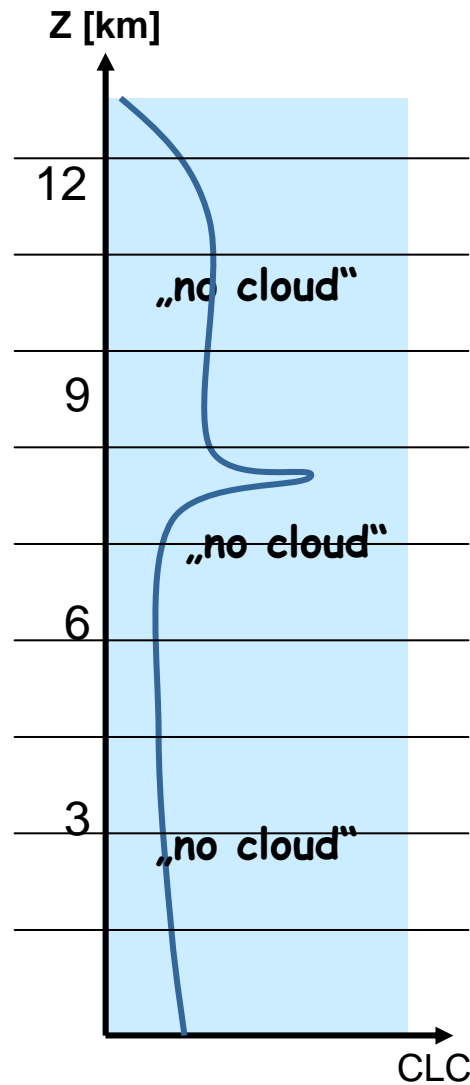


if cloud observed with cloud top height  $CTH_{obs}$ ,  
what is the appropriate type of obs increment ?

- avoid too strong penalizing of members with high humidity but no cloud
  - avoid strong penalizing of members which are dry at  $CTH_{obs}$  but have a cloud or **even only high humidity** close to  $CTH_{obs}$
- search in a vertical range  $\Delta h_{max}$  around  $CTH_{obs}$  for a 'best fitting' model level  $k$ , i.e. with minimum 'distance'  $d$ :

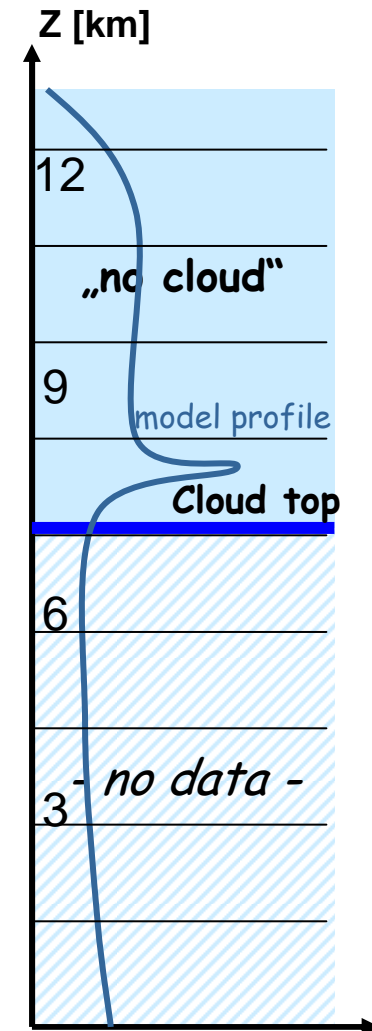
$$d = \min_k \sqrt{\underbrace{(f(RH_k) - f(RH_{obs}))^2}_{\substack{\text{function of} \\ \text{relative humidity}}} + \frac{1}{\Delta h_{max}} \underbrace{(h_k - CTH_{obs})^2}_{\substack{= 1 \\ \text{height of} \\ \text{model level } k}}}$$

- use  $f(RH_{obs}) - f(RH_k) = 1 - f(RH_k)$   
and  $CTH_{obs} - h_k$   
as 2 separate obs increments in LETKF



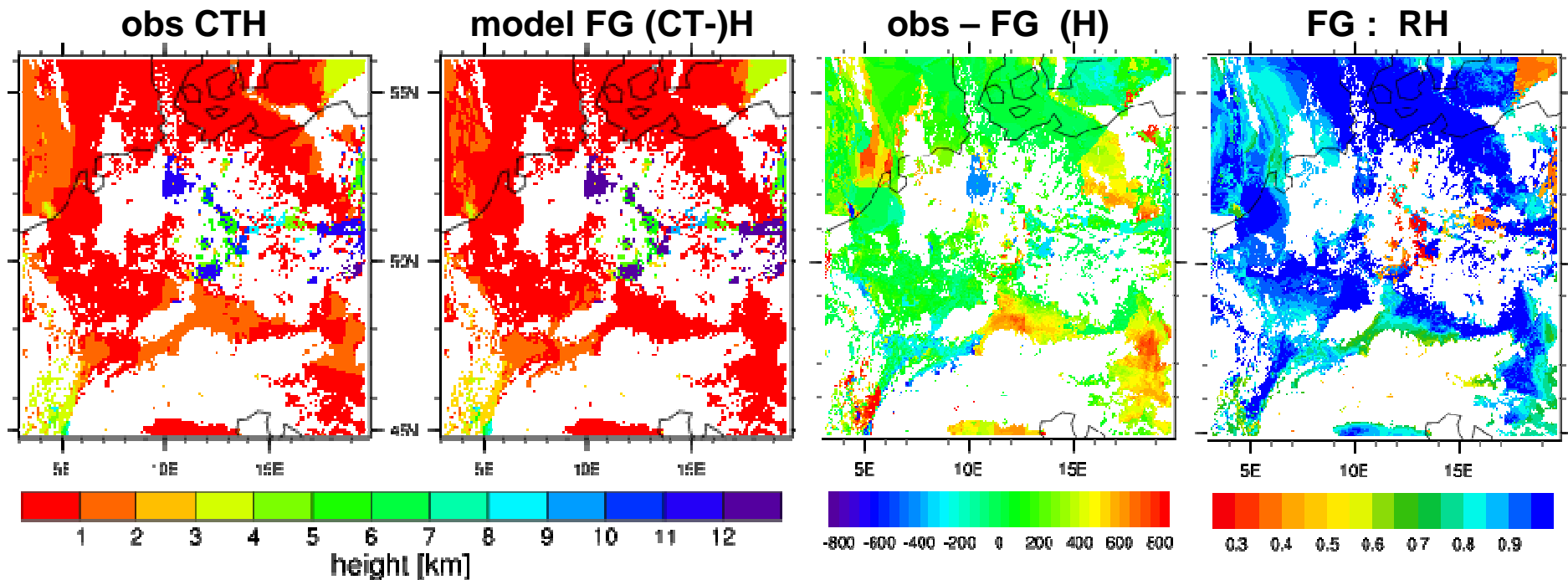
type of obs increment ,  
if **no cloud** observed ?

- assimilate  $CLC = 0$  separately for high, medium, low clouds
- model equivalent:  
maximum CLC within vertical range



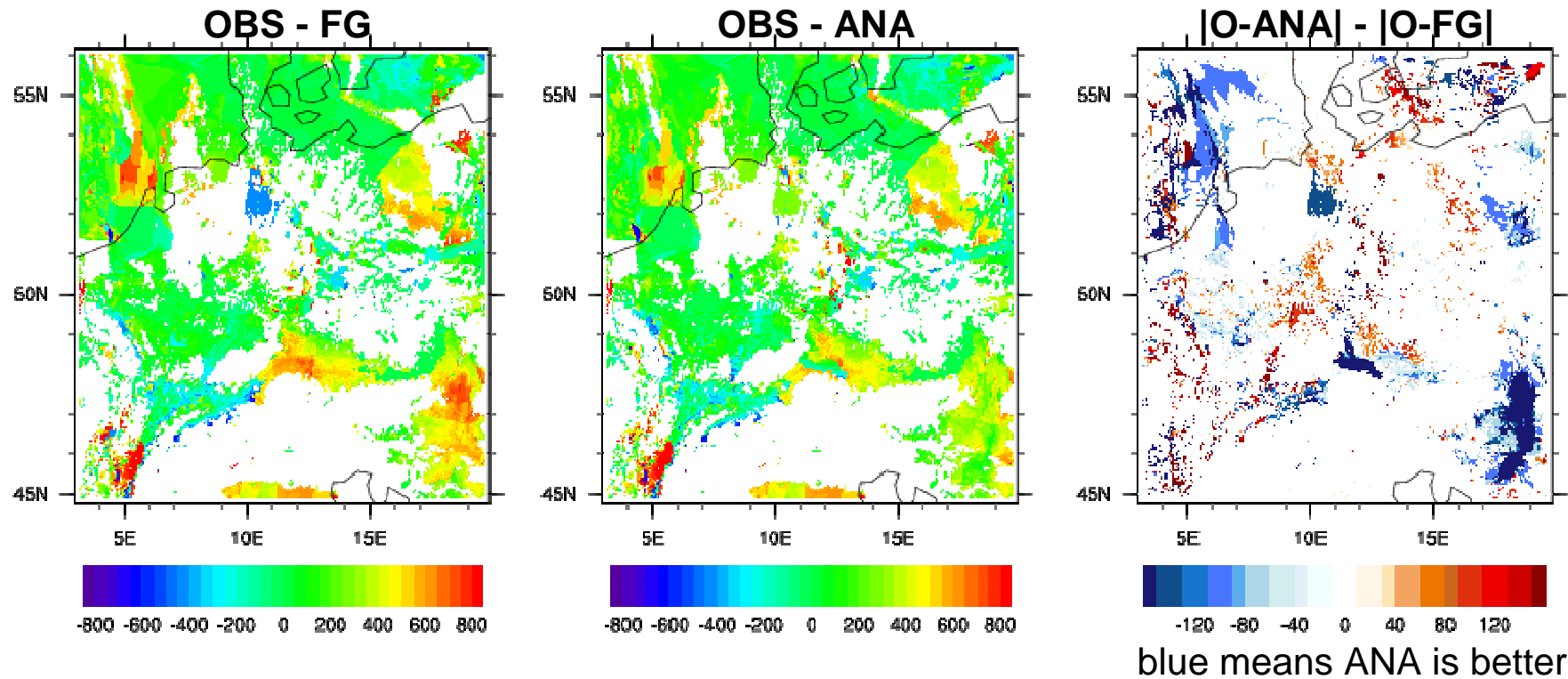
use of cloud info :  
assimilation of 'cloud analysis' : example

17 Nov 2011, 6 UTC (low stratus case)  
pixels where observation has clouds  
(output from feedback files)



use of cloud info :  
first assimilation experiment

'cloud' top height

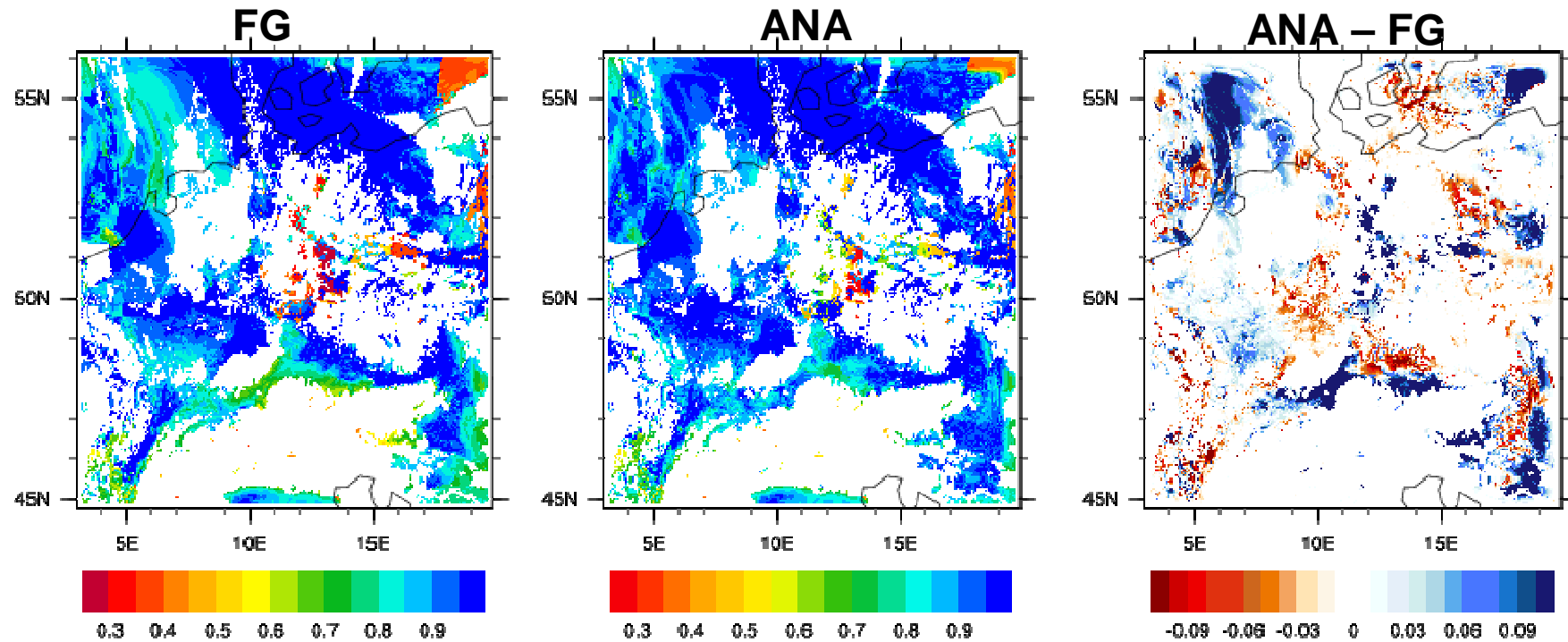


blue means ANA is better

Here: results of **deterministic** run in LETKF framework  
(Kalman gain matrix applied to standard (unperturbed) model integration)

use of cloud info :  
first assimilation experiment

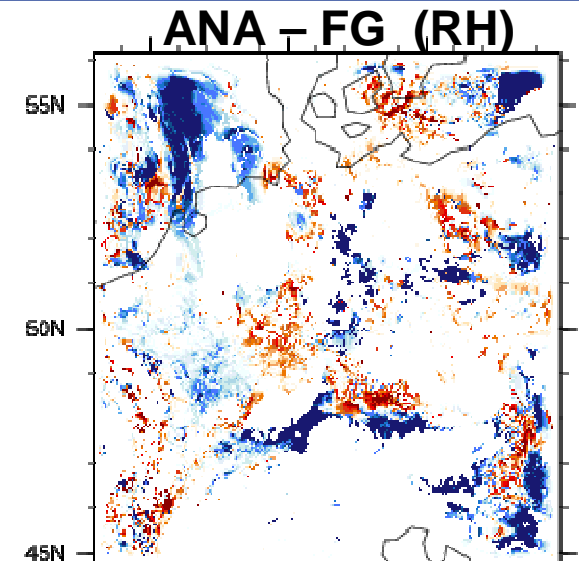
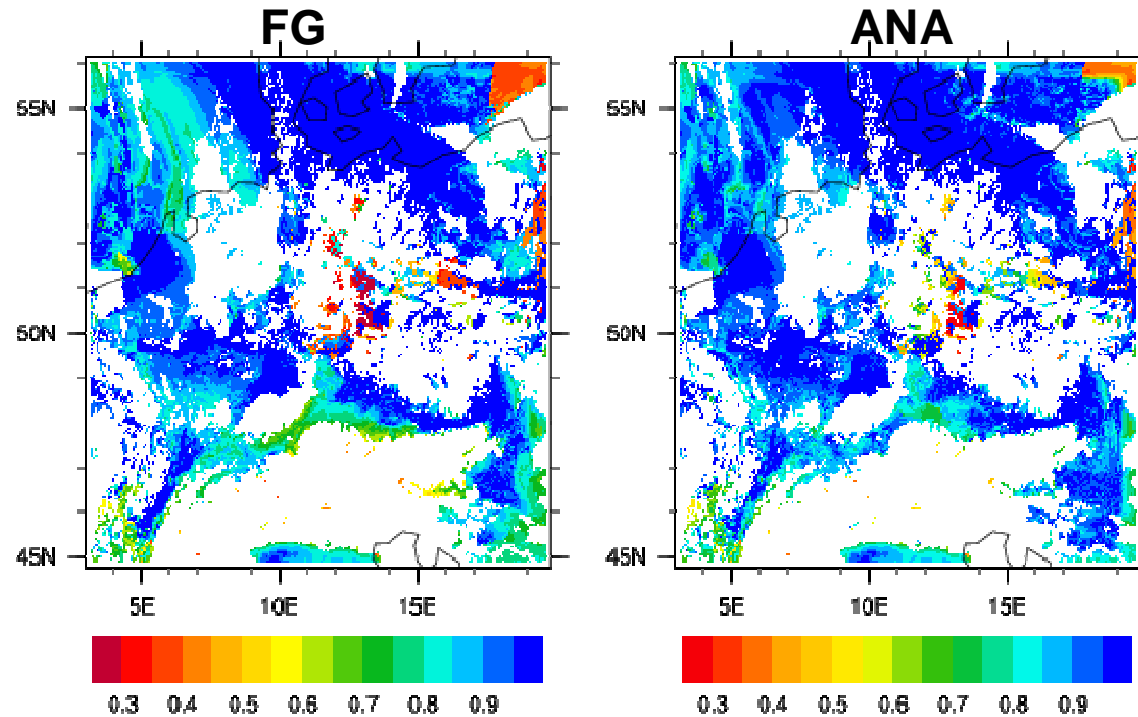
Relative humidity at 'cloud' level



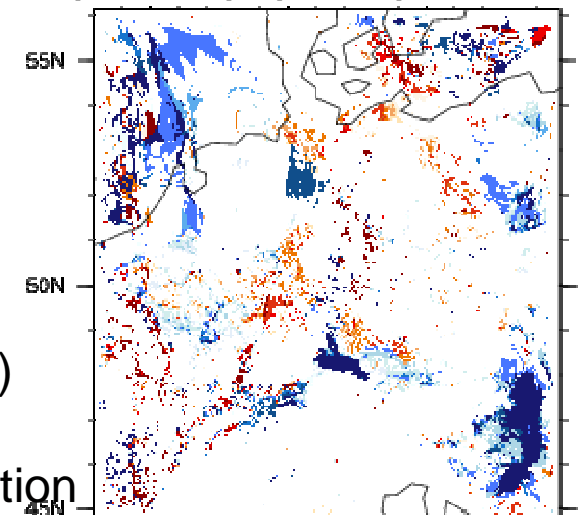
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use of cloud info :  
first assimilation experiment

Relative humidity at cloud level



**|O-ANA| - |O-FG| ('CTH')**



→ LETKF draws model cloud tops closer to obs

- next :
- detailed evaluation (cross section, profiles...)
  - single observation experiments
  - tuning of observation error, thinning, localization



thank you for your attention

